

**The Ohio State University  
Graduate School  
General Funds – Present Budget Allocation**

**August 2007**

The following is an overview of the Graduate School's Present Budget Allocation (PBA) and the college's fiscal philosophy. The summary identifies the General Funds Spending Authority (GFSA) funding sources and use of the funds as they support the academic mission of the Graduate School. This overview is intended to make the sources and uses of the Graduate School's funds transparent to the university community.

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**I - Graduate School Academic Mission and Fiscal Philosophy.**

**Academic Mission:**

The Graduate School's academic mission is to help fulfill the university's academic goals by fostering quality in graduate education and research and by promoting cultural diversity in the community of graduate faculty and students. The Graduate School provides leadership in graduate education and research in ways that exemplify the highest standards of the academy and contribute to the reputation of the university as one of the major public teaching and research institutions in the world. The Graduate School:

- Represents the university's graduate education interests and reputation in state, national, and international arenas.
- Facilitates and implements faculty and student governance of graduate education through the Council on Research and Graduate Studies.
- Ensures the integrity and standards of master's and doctoral degrees at The Ohio State University.
- Supports the university community by providing essential administrative services relating to graduate education.
- Promotes the professional development of graduate students and the Graduate Faculty.

**Fiscal Philosophy:**

With its academic mission as the foundation, the Graduate School’s fiscal philosophy is to ensure integrity, foster quality, and exemplify the highest standards. All budgetary decision-making and fiduciary responsibilities in the Graduate School are carried out in accordance with the rules and regulations set by the university, Board of Trustees, State of Ohio, and the federal government. The Graduate School’s fiscal philosophy, as well as its academic mission, are reflected in the services and initiatives provided by the Graduate School to the university’s graduate community.

**II - Graduate School General Funds Operating Budget Process.**

The general funds operating budgets for central support units such as the Graduate School are determined during an annual central budget decision-making process. The greater part of the general funds operating budget for these units are derived from a central tax, student services assessment, and/or a research assessment. The greater portion of the Graduate School’s general funds budget is derived from a student services assessment.

The Graduate School, as one of eight major operating units that report to the Office of Academic Affairs (OAA), submits its annual budget report (including external mandates, and/or service improvements requests) to OAA for an initial review. The provost and relevant staff compile one OAA budget report that is submitted to the Central Support Unit Subcommittee of the University Senate Fiscal Committee, the full University Senate Fiscal Committee, the Council of Deans, and the President’s Cabinet for consideration.

**III - Graduate School General Funds Sources.**

In fiscal year 2007, the Graduate School’s Present Budget Allocation (PBA) or general funds operating budget was \$17,765,822.51. The Graduate School also receives, on an annual basis, centrally funded cash allocations that support top graduate student researchers and scholars. The following table shows the breakdown of the Graduate School’s general funds sources for fiscal year 2007 and 2006.

**Table I – Graduate School General Funds Sources: FY 2007 & FY 2006**

<b>Graduate School General Funds</b>	<b>FY 2007</b>	<b>FY 2006</b>	<b>\$ Increase FY07 over FY06</b>	<b>% Increase FY07 over FY06</b>
Present Budget Allocation (PBA)	17,765,822.51	16,968,110.04	797,712.47	4.70%
Central Cash Allocation	250,000.00	250,000.00	0.00	0.00%
Innovation Incentive Program (IPP)	162,564.00	162,564.00	0.00	0.00%
Provost’s Strategic Investment Fund	170,654.00	170,654.00	0.00	0.00%

**IV - Graduate School General Funds Uses.**

Approximately 86% of the Graduate School’s general funds operating budget supports graduate programs and initiatives that promote graduate education and research. The remaining 14% of the general funds operating budget covers operational costs that include: personnel, benefits, and supplies and services (including technical and computer support).

The greater portion of the 86% funds the Graduate School Fellowship programs, associated benefits and fee authorizations, and Special Fee Authorization Agreements.

The remaining PBA is allocated to the Graduate Fellowship Recruitment Grants, diversity initiatives, and Postdoctoral Fellowships.

Centrally funded cash allocations support the Graduate Associate Teaching Awards (GATA), the Alumni Grants for Graduate Research and Scholarship (AGGRS) program, and a small number of additional Graduate School Fellowships.

The Graduate School uses its annual cash allocation from the Provost’s Strategic Investment fund to support diversity programs, specifically the Summer Research Opportunities Program (SROP).

The Innovation Incentive Program (IIP) funds received by the Graduate School provide fellowship support to IIP-funded college projects.

The Graduate School supports the annual Edward F. Hayes Graduate Research Forum and its Preparing Future Faculty (PFF) program with internal cash allocations. The Hayes Research Forum is hosted by the Council of Graduate Students, but the Graduate School and the Office of Research share the cost of the awards and recognition luncheon. The PFF program is administered and fully funded by the Graduate School. The following table summarizes the use of the Graduate School’s general funds in fiscal year 2007 and 2006.

**Table II – Graduate School General Funds Uses: FY 2007 & FY 2006**

<b>General Funds PBA</b>	<b>FY 2007</b>	<b>FY 2006</b>	<b>\$ Increase FY07 over FY06</b>	<b>% Increase FY07 over FY06</b>
<b>Personnel &amp; Operating:</b>				
Administrative & Professional Salaries *	1,117,560.87	1,085,010.55	32,550.32	3.00%
Classified Civil Service Salaries	272,429.80	264,494.95	7,934.85	3.00%
Employee Benefits (includes GAs, Graduate Fellows, & Students) *	1,102,785.79	1,048,001.11	54,784.68	5.23%
Specials Budget Pool	318,594.48	317,390.89	1,203.59	0.38%
Supplies & Services (includes technology & computer support)	146,987.57	146,987.57	0.00	0.00%
<b>Programs &amp; Initiatives:</b>				
Graduate Fellowship Stipends	5,214,229.52	5,062,358.76	151,870.76	3.00%
Graduate Fellowship Recruitment Grants	45,000.00	45,000.00	0.00	0.00%
Diversity Initiatives	35,000.00	35,000.00	0.00	0.00%
Postdoctoral Fellowships **	336,910.25	327,097.33	9,812.92	3.00%

<b>Fee Authorizations:</b>				
Benefits – fee authorizations (GAs)	102,908.45	97,083.44	5,825.01	6.00%
Student aid – fee authorizations (Fellows) ***	9,073,415.78	8,539,685.44	533,730.34	6.25%
<b>Central Cash Allocations</b>				
Graduate Associate Teaching Awards (GATA)	15,000.00	15,000.00	0.00	0.00%
Alumni Grants for Graduate Research & Scholarship (AGGRS)	120,000.00	120,000.00	0.00	0.00%
Graduate Fellowships	115,000.00	115,000.00	0.00	0.00%
<b>Provost's Strategic Investment Fund</b>				
Summer Research Opportunities Program (SROP)	170,654.00	170,654.00	0.00	0.00%
<b>Innovation Incentive Program (IPP)</b>				
Innovation Incentive Fellowships (IIF)	162,564.00	162,564.00	0.00	0.00%
<b>Graduate School Cash Allocation</b>				
Hayes Research Forum****	16,036.00	16,140.00	-104.00	-0.64%
Preparing Future Faculty (PFF) Program	12,000.00	12,000.00	0.00	0.00%

**Notes:**

- \* In January, 2007 the Graduate School returned PBA/Cash for an A&P position: \$92,700.00/salary and \$26,790.30/benefits to central administration.
- \*\* Due to a number of projected budget cuts, a moratorium was placed on the Postdoctoral Fellowship program effective January, 2005.
- \*\*\* Student Aid – fee authorizations include Graduate School Fellows and Special Fee Authorization Agreements.
- \*\*\*\* Funding for the annual Hayes Research Forum is a 50/50 cost share with the Office of Research.

**V - Graduate School General Funds – Value Added**

The Graduate School adds value to the Ohio State graduate education enterprise by efficiently carrying out the essential central services critical to all graduate programs: registration, graduation, fellowships, diversity/recruitment, and professional development. In addition, the Graduate School's business and information technology areas ensure compliance with the university's fiscal and human resource policies and procedures and the ability to communicate and share important data, respectively. These activities and personnel are funded with 14% of the Graduate School's general operational funds. This portion of the budget supports day to day operational expenses and the following personnel to carry out those activities: vice provost for graduate studies and dean of the Graduate School, one associate dean, two assistant deans, nine administrative and professional staff members, eight classified civil service staff members, and five graduate associates and/or student employees, plus the Graduate School's day-to-day operational expenses.

The Graduate School deans work with the appropriate councils and committees to review and establish policies that govern graduate education at Ohio State. It is the responsibility of the Graduate School deans and administrative and support staff to ensure that students and faculty are in compliance with the Graduate School and university academic policies and procedures and to ensure that the master's and doctoral degrees awarded by The Ohio State University are of the highest standard.

It is the responsibility of all staff members to infuse the academic mission and fiscal philosophy of the Graduate School into their daily job functions.