Pattern of Administration for The Ohio State University Department of Chemical and Biomolecular Engineering

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1. INTRODUCTION

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- 2 1.1 **Purpose**: The purpose of this Pattern of Administration (POA) is to describe the guidelines and structure concerning the governance of the Department of **Chemical and Biomolecular**
- 4 **Engineering.** The goal of the guidelines and structure is to facilitate the orderly conduct of the
- 5 business of the Faculty of the Department of Chemical and Biomolecular Engineering. The
- 6 guidelines and structure supplement the <u>Rules of the University Faculty</u>. Academic Affairs
- 7 policies and procedures and those included in the College of Engineering Pattern of
- 8 Administration and any additional policies established by the University or College of
- 9 Engineering. The latter rules, policies and procedures, and changes in them, take precedence over
- statements in this document. Should these policies and rules change, the Department shall follow
- those new rules and policies until such time as it can update this document to reflect the changes.
- 12 This Pattern of Administration is subject to continuing revision. It must be reviewed and either
- revised or reaffirmed on appointment or reappointment of the Department Chair. However,
- revisions may be made at any time as needed. All revisions, as well as periodic reaffirmation, are subject to approval by the college office and the Office of Academic Affairs.
- 1.2 **Scope**: All Department matters concerning which the Faculty has power to act are governed by this POA and by the department's Appointment, Promotion and tenure (APT) document.
- Powers of the faculty are either outlined in this document, are delegated to committees, or delegated to the Chair of the Department.
- 20 1.3 **Interpretation**: This POA shall be interpreted consistently with applicable statutes, the By-21 Laws of the Board of Trustees, and the Rules of the University Faculty.

22 **2. DEPARTMENT MISSION**

- 23 The Mission of the Department of Chemical and Biomolecular Engineering is:
- To educate undergraduate and graduate students in Chemical and Biomolecular Engineering and foster cross-fertilization with other disciplines.
- To advance state-of-the-art knowledge of Chemical and Biomolecular Engineering and allied fields through novel and sustained research.
- To serve the public, academic and industrial communities through consultation, collaborative efforts, dissemination of research results, entrepreneurship and participation in conferences and professional societies.
 - To value diversity and inclusivity as defined broadly in scholarship, approaches to teaching and in student, faculty and staff make-up.

3. ACADEMIC RIGHTS AND RESPONSIBILITIES

The department of Chemical and Biomolecular Engineering adheres to the university's <u>reaffirmation</u> of academic rights and responsibilities.

4. FACULTY AND VOTING RIGHTS

Faculty Rule <u>3335-5-19</u> defines the types of faculty appointments possible at The Ohio State University and the rights and restrictions associated with each type of appointment. For purposes of governance, the faculty of this department are tenure track, professional practice, and research faculty with compensated FTEs of at least 50% in this department, and associated faculty. Associated faculty, emeritus faculty, and faculty joint appointees with FTEs below 50% in this department may be invited to participate in discussions on non-personnel matters, but may not participate in personnel matters, including promotion and tenure reviews, and may not vote on any matter.

The Department of Chemical and Biomolecular Engineering makes tenure-track appointments with titles of instructor, assistant professor, associate professor, or professor. Tenure-track faculty may vote in all matters of TIU governance.

The Department of Chemical and Biomolecular Engineering makes professional practice appointments. Professional practice faculty titles are professional practice assistant professor, professional practice associate professor, and professional practice professor. Professional practice faculty may vote in all matters of department governance except tenure-track appointment, promotion and tenure decisions and research faculty appointment, reappointment, and promotion decisions.

The Department of Chemical and Biomolecular Engineering makes research appointments. Research faculty titles are research assistant professor, research associate professor, and research professor. Research faculty may vote in all matters of department governance except tenure-track appointment, promotion and tenure decisions and professional practice appointment, reappointment, and promotion decisions.

4.1. Professional Practice Faculty Appointment Cap

In accordance with <u>Faculty Rule 3335-7-03</u>, unless an exception is approved by the University Senate and the Board of Trustees, professional practice faculty may comprise no more than 20% of the tenure-track, professional practice, and research faculty. The number of professional practice faculty members must be fewer than the number of tenure-track faculty members.

Practice faculty members may stand for election to serve as a representative in the University Senate subject to representation restrictions noted in <u>Faculty Rule 3335-7-11(C)(2)</u>.

4.2 Research Faculty Appointment Cap

In accordance with Faculty Rule <u>3335-7-32</u>, unless otherwise authorized by a majority vote of the tenure-track faculty, research faculty must comprise no more than 20% of the number of tenure-track faculty in the department. In all cases, however, the number of research faculty positions must constitute a minority with respect to the number of tenure-track faculty.

The Department of Chemical and Biomolecular Engineering makes associated faculty appointments. Associated faculty titles include tenure-track faculty on less than a 50% appointment, adjunct titles, lecturer titles, and visiting titles. Associated faculty may be invited to participate in discussions on non-

personnel matters, but may not participate in personnel matters, including promotion and tenure reviews, and may not vote on any matter.

Emeritus faculty in this department may be invited to participate in discussions on non-personnel matters, but may not participate in personnel matters, including promotion and tenure reviews, and may not vote on any matter.

Detailed information about the appointment criteria and procedures for the various types of faculty appointments made in the Department of Chemical and Biomolecular Engineering is provided in the Appointments, Promotion and Tenure Document.

5. OVERVIEW OF DEPARTMENTAL DECISION-MAKING

Policy and program decisions are made in a number of ways: by the Department faculty as a whole, by standing or special committees of the Department, or by the Chair. The nature and importance of any individual matter determines how it is addressed. Department governance proceeds on the general principle that the more important the matter to be decided, the more inclusive participation in decision making needs to be. Open discussions, both formal and informal, constitute the primary means of reaching decisions of central importance.

6. DEPARTMENT ADMINISTRATION

6.1 CHAIR

The primary responsibilities of the Chair are set forth in Faculty Rule <u>3335-3-35</u>. This rule requires the chair to develop, in consultation with the faculty, a Pattern of Administration with specified minimum content. The rule, along with Faculty Rule <u>3335-6</u>, also requires the chair to prepare, in consultation with the faculty, a document setting forth policies and procedures pertinent to appointments, reappointments, promotion and tenure.

Other responsibilities of the Chair, not specifically noted elsewhere in this Pattern of Administration, are paraphrased and summarized below.

• To uphold expectations of the Leadership Philosophy:

 Uphold Ohio State's <u>Shared Values</u> and engender trust through words and actions.

• Care for people and create conditions for well-being and productivity.

 Set clear direction and goals for their teams and align to the mission of the department.

Solve problems and support their teams to adapt to changing contexts.
 Drive cross-functional collaborations to advance goals of the department.

 Drive cross-functional contaborations to advance goals of the department.
 Demonstrate commitment to continuous growth for themselves and their teams.

 • To have general administrative responsibility for Department programs, subject to the approval of the Dean of the College, and to conduct the business of the Department efficiently. This broad responsibility includes the acquisition and management of funds and the hiring and supervision of faculty and staff.

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- To plan with the members of the faculty and the Dean of the College a progressive program; to encourage research and educational endeavors.
- To assign workload according to the department's workload guidelines (see Section 8) and faculty appointment type (and rank).
- To evaluate and improve instructional and administrative processes on an ongoing basis; to promote improvement of instruction by providing for the evaluation of each course when offered, including written evaluation by students of the course and instructors, and periodic course review by the faculty.
- To evaluate faculty members annually in accordance with both University and Department established criteria; to inform faculty members when they receive their annual review of their right to review their primary personnel file maintained by the department and to place in that file a response to any evaluation, comment, or other material contained in the file.
- After consultation with the eligible faculty, to recommend appointments, reappointments, promotions, dismissals, and matters affecting the tenure of members of the Department faculty to the Dean of the College, in accordance with procedures set forth in Faculty Rules 3335-6 and 3335-7 and this department's Appointments, Promotion and Tenure document.
- To ensure that all faculty members, are offered departmental privileges and responsibilities appropriate to their rank; and in general, to lead in maintaining a high level of morale.
- To maintain a curriculum vitae for all personnel teaching a course in the department's curriculum.
- To prepare, after consultation with the faculty, annual budget recommendations for the consideration of the dean of the college.
- To ensure that adequate supervision and training are given to those members of the faculty and staff who may profit by such assistance.
- To facilitate and participate in prescribed <u>academic program review</u> processes, in collaboration with the dean of the college and the Office of Academic Affairs.
- To represent the Department to alumni and other groups outside the University.

Day to day responsibility for specific matters may be delegated to others, but the Chair retains final responsibility and authority for all matters covered by this POA, subject when relevant to approval of the Dean, Office of Academic Affairs, and Board of Trustees.

Operational efficiency requires that the Chair exercise a degree of autonomy in establishing and managing administrative processes. The articulation and achievement of Department academic goals, however, is most successful when all faculty participate in discussing and deciding matters of importance. The Chair will therefore consult with the faculty on all educational and academic policy issues and will respect the principle of majority rule. When a departure from majority rule is judged to be necessary, the Chair will explain to the faculty the reasons for the departure, ideally before action is taken.

6.2 ASSOCIATE CHAIR

In the absence of the chair, the associate chair has signature authority. The associate chair will attend meetings as requested by the chair. He/she will provide counsel and feedback to the chair as needed. He/she will also chair the Fiscal Committee.

6.3 COMMITTEES

Much of the development and implementation of the Department's guidelines and programs is carried out by standing and ad hoc committees. The Chair is an *ex officio* member of all Department committees and may vote as a member on all committees except the Promotion and Tenure Committee, which consists of the eligible faculty. Unless otherwise indicated, the chairs and members of the committees will be appointed by the Department Chair on an annual basis.

A list of current standing committees in the Department are:

• ABET

This committee prepares and collects documents needed for the ABET accreditation review. It consists of two faculty members.

Administrative Office Functions

 This committee ensures that the administrative office functions are performed efficiently and effectively. It consists of 4-5 staff members.

• Curriculum

This committee deliberates on all undergraduate curriculum issues. It consists of a committee chair and 3-6 additional committee members, including at least one undergraduate advisor, who is a staff member.

• Departmental Climate

 This committee is responsible for ensuring that Ohio State University Shared Values, including inclusion and caring, are part of the culture of the department. It also coordinates activities to enhance a positive culture in the department. It will consist of 2-4 faculty and at least one staff member.

3637 • Distance Learning

This committee provides a bridge between the COE Office of Distance Learning and faculty interested in participating in distance learning activities. It consists of two faculty.

• Engagement

This committee is involved in reaching out to and engaging in alumni relations. It consists of a chair, and 1-2 additional faculty or staff members.

• Faculty Search

This committee deliberates on all issues related to faculty recruitment. It consists of one committee chair and 2-4 additional committee members, including one staff member who provides staff assistance. Additional members can be appointed, and subcommittees can be formed as needed.

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• Fiscal

This committee reviews all financial documents with the business manager and develops budget projections for the department. The committee will consist of the Department Chair, associate chair, and 1-2 other faculty.

• Graduate Research Symposium

This committee provides guidance to the Graduate Student Organization that is organizing the Annual Graduate Research Symposium. It consists of the Graduate Studies Chair, Graduate Studies Coordinator and the Fiscal Officer.

• Graduate Studies

This committee deliberates on all issues related to the graduate program. It consists of one committee chair and 4-5 additional committee members, including the Graduate Program Coordinator, who is a staff member.

Honors and Awards

This committee deliberates on candidates for faculty and alumni awards and prepares nomination packages. It consists of one committee chair and 2-4 additional committee members, including one staff member.

• Petroleum Engineering Minor

This Committee oversees issues related to the Petroleum Engineering Minor. It consists of 2-3 faculty.

• Promotion and Tenure

This committee deliberates on all promotion and tenure actions. It consists of 1 committee chair, 1 Procedures Oversight Designee (POD) and the eligible faculty as defined in the department's <u>Appointments</u>, <u>Promotion and Tenure document</u>.

• Public Perception

This committee oversees activities that impact the public perception of the department, including social media presence. It consists of 2-3 faculty and one staff member.

Publications

This committee reviews and edits departmental publications, such as alumni newsletters. It consists of 2-3 faculty and one staff.

Safety

This committee seeks to create a safe working environment for all Department personnel, through adoption of proper safety protocols and laboratory inspections. It consists of one

committee chair and 3-6 additional committee members, including at least one staff member, who is the Laboratory Supervisor.

• Seminar

This committee selects seminar speakers for the Department Seminar Series. It consists of 1 committee chair and 2-3 additional faculty or staff committee members.

• Space & Facilities

This committee oversees office and lab space and upkeep of the facilities. The committee consists of the Department chair, a committee chair along with 2-3 faculty and staff members.

• Special Events

This committee is responsible for planning Department events. It consists of 1 committee chair and 2-3 staff members, and one faculty.

• Staff Awards

This committee deliberates on candidates for departmental staff awards. It consists of one committee chair and 1 additional faculty member.

• Student and Post-doc Awards

This committee deliberates on candidates for departmental graduate and undergraduate student awards and postdoc awards. It consists of one committee chair and 2-4 additional faculty committee members.

Wellness

This committee develops activities to improve student wellness in our undergraduate program. The committee consists of 1 committee chair, 2-3 additional faculty members and one undergraduate advisor.

In addition to the above committees, the Department Chair will assign faculty advisors/co-advisors for the undergraduate student chapters of the American Institute of Chemical Engineers, Chem_E Car, and Society of Petroleum Engineers. All of these are undergraduate student groups related to chemical engineering.

 General committee practices. Faculty and staff time is a limited commodity and should not be consumed with administrative tasks that could reasonably be accomplished in other ways. It is understood that, as much as possible, the committees will operate to achieve a consensus when specific courses of action are required. In the case in which a consensus is not achievable with respect to a specific action needed, a majority and minority report will be presented.

Committee mechanics. Voting membership of the committee consists of all members of the committee. Attempts will be made to include assistant professors, associate professors, and professors. The general concept is that no committee should be larger than 20 percent of the faculty, and at a minimum the committee should meet once a semester.

7. FACULTY MEETINGS

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7.1 General. The Chair will provide to the faculty a schedule of Department faculty meetings at the beginning of each academic term. The schedule will provide for at least one meeting per academic term and normally will provide for bimonthly meetings. A call for agenda items and completed agenda will be delivered to faculty by e-mail before a scheduled meeting. Reasonable efforts will be made to call for agenda items at least four days before the meeting, and to distribute the agenda by e-mail at least one business day before the meeting. A meeting of the Department faculty will also be scheduled on written request of 25% of the Department faculty. The Chair will make reasonable efforts to have the meeting take place within one week of receipt of the request. The Chair will distribute minutes of faculty meetings to faculty by e-mail—within seven days of the meeting if possible. These minutes may be amended at the next faculty meeting by a simple majority vote of the faculty who were present at the meeting covered by the minutes.

The Department accepts the fundamental importance of full and free discussion but also recognizes that such discussion can only be achieved in an atmosphere of mutual respect and civility. Normally Department meetings will be conducted with no more formality than is needed to attain the goals of full and free discussion and the orderly conduct of business. However, Robert's Rules of Order will be invoked when more formality is needed to serve these goals.

7.2 Voting. For purposes of discussing Department business other than personnel matters, and for making decisions where consensus is possible and a reasonable basis for action, a quorum will be defined as a simple majority of all faculty eligible to vote. Either the Chair or one-third of all faculty eligible to vote may determine that a formal vote conducted by written ballot is necessary on matters of special importance. For purposes of a formal vote, a matter will be considered decided when a particular position is supported by at least 51% of all faculty eligible to vote. Balloting will be conducted by mail or e-mail when necessary to assure maximum participation in voting. When conducting a ballot by mail or email, faculty members will be given one week to respond. Special policies pertain to voting on personnel matters, and these are set forth in the department's <u>Appointments</u>, <u>Promotion and Tenure (AP&T) document</u>. When a matter must be decided and a simple majority of all faculty eligible to vote cannot be achieved on behalf of any position, the Chair will necessarily make the final decision.

8. DISTRIBUTION OF FACULTY DUTIES, RESPONSIBILITIES, AND WORKLOAD

Faculty roles and responsibilities are described in the initial letter of offer. Workload assignments and expectations for the upcoming year are addressed as part of the annual performance and merit review by the Department Chair based on departmental needs as well as faculty productivity and career development. The Chair is responsible to make judgments with respect to balancing these various duties such that duties and responsibilities are commensurate with respect to individual faculty member's appointments as well as maintaining as much equitability among faculty as possible.

During on-duty periods, faculty members are expected to be available for interaction with students, research, and department meetings and events even if they have no formal course assignment. Every member of the faculty who is assigned instruction is expected to establish and maintain regular office hours in order to be readily available to students. Departmental expectations regarding office hours are that faculty post weekly meeting times for each course being taught. Further, within reason, faculty are expected to be flexible in meeting the needs of their students in terms of handling conflicts in scheduling meeting times. On-duty faculty members should not be away from campus for extended periods of time unless on an approved leave (see section 11) or on approved travel.

Telework exception: Faculty members with responsibilities requiring in-person interaction are to work at a university worksite to perform those responsibilities. Telework and the use of remote, virtual meetings are allowed at the discretion of the Department Chair if such work can be performed effectively and faculty members are able to fulfill their responsibilities. Telework will be encouraged under certain circumstances if it serves the needs of the department, college, university, and/or community. The Department Chair has the discretion to require faculty to work on campus if there are concerns that responsibilities are not being fulfilled through telework.

The guidelines outlined here do not constitute a contractual obligation. Fluctuations in the demands and resources of the department and the individual circumstances of faculty members may warrant temporary deviations from these guidelines.

A full-time faculty member's primary professional commitment is to Ohio State University and the guidelines below are based on that commitment. Faculty who have professional commitments outside of Ohio State during on-duty periods (including teaching at another institution; conducting research for an entity outside of Ohio State; external consulting) must disclose and discuss these with the Department Chair in order to ensure that no conflict of commitment exists. Information on faculty conflicts of commitment is presented in the university's <u>Policy on Outside Activities and Conflicts</u>.

In crisis situations, such as life-threatening disease (COVID, for example) or physical dangers (natural disasters, for example), faculty duties, responsibilities, and workload may be adjusted by the Department Chair to take into account the impact over time of the crisis. These adjustments may include modifying research expectations in order to maintain teaching obligations. These assignment changes must be considered in annual reviews.

8.1 TENURE-TRACK FACULTY

Tenure-track faculty members are expected to contribute to the university's tripartite mission of teaching, scholarship, and service. When a faculty member's contributions decrease in one of these three areas, additional activity in one or both of the other areas is expected.

Teaching

All tenure-track faculty are expected to contribute to the department's teaching, including large enrollment and specialized courses in both the undergraduate and graduate curriculums. The standard teaching workload expectation for full-time tenure-track faculty members is 40-50% time allocation to total workload according to the university <u>workload guideline</u>. Faculty members are also expected

to advise undergraduate and graduate students and supervise independent studies and thesis and dissertation work.

Adjustments to the standard teaching assignment may be made to account for teaching a new class, the size of the class, whether the class is taught on-line or team-taught, and other factors that may affect the preparation time involved in teaching the course.

The standard teaching assignment may also vary for individual faculty members based on their research and/or service activity. Faculty members who are especially active in research can be assigned an enhanced research status that includes a reduced teaching assignment. Likewise, faculty members who are relatively inactive in research can be assigned an enhanced teaching status that includes an increased teaching assignment. Faculty members who are engaged in extraordinary service activities (to the department, college, university, and in special circumstances professional organizations within the discipline) can be assigned an enhanced service assignment that includes a reduced teaching assignment.

The Department Chair is responsible for making teaching assignments on an annual basis and may decline to approve requests for adjustments when approval of such requests is not judged to be in the best interests of the department. All tenure-track faculty members must contribute to the mission of education (formal and/or informal instruction, student advising) over the course of the academic year.

A reduction in teaching load can be made for a number of reasons: 1) new, untenured faculty member, 2) university administrative duties (i.e. chair, associate dean position, center director, etc.), and 3) other significant activities that may warrant such reduction. Conversely, an increase in teaching load can occur when it is determined that insufficient progress in scholarship is achieved.

Scholarship

All tenure-track faculty members are expected to be engaged in scholarship as defined in the department's Appointments, Promotion, and Tenure Document. The standard scholarship workload expectation for full-time tenure-track faculty members is 40-50% time allocation to total workload according to the university workload guideline. Over a four-year rolling period a faculty member who is actively engaged in scholarship will be expected to publish regularly in high quality peer-reviewed journals as well as in other appropriate venues, such as edited book chapters of similar quality and length as articles. Faculty engaged in basic or applied research are expected to attract extramural funding. When appropriate to the field of inquiry, faculty members are also encouraged to seek appropriate opportunities to obtain patents and engage in other commercial activities stemming from their research.

Service

Faculty members are expected to be engaged in service and outreach to the department, university, profession, and community. The standard service workload expectation for full-time tenure-track faculty members is 10-20% time allocation to total workload according to the university workload guideline. This will typically include service on two committees within the

department and one outside the unit. This pattern can be adjusted depending on the nature of the assignment (e.g. service as committee chair, service on a particularly time-intensive committee, organizing a professional conference, leadership in an educational outreach activity, service in an administrative position within the department, college, or university).

All faculty members are expected to attend and participate in faculty meetings, recruitment activities, and other department events.

The department recognizes that some of its faculty members bear an inherent additional service burden. That burden accrues when faculty members, often women and/or underrepresented colleagues, are recognized as uniquely positioned to assist with work at the department, college, or university levels. Such individuals may be expected to provide more service than normal because their particular expertise, perspective, or voice can help working groups, for example, or task forces or students (through their mentorship of them) understand context, options, and opportunities in new ways. This additional service burden does not derive from volunteerism. Rather, it is an unwarranted and inequitable expectation.

Service loads should be discussed and agreed to during annual performance and merit reviews. When heavy service obligations are primarily volunteer in nature, the Department Chair is not obligated to modify the service load of the faculty member (reduce teaching and/or scholarly obligations). If, however, a heavy service load is due to the faculty member's unique expertise, perspective, or voice, this should be noted in the annual performance review letter, considered when distributing the faculty member's other duties, and taken into account for the AMC Process. The Department Chair should also consider this additional service burden in managing equity of service loads among faculty.

8.2 SPECIAL ASSIGNMENTS

Untenured faculty will normally be provided a SA for research for two semesters distributed over the initial 2 years of appointment, during their probationary period. Reasonable efforts will be made to award SA opportunities to all other faculty members subject to the quality of faculty proposals,

Information on special assignments (SAs) is presented in the Office of Academic Affairs Special

Assignment Policy. The information provided below supplements this policy.

award SA opportunities to all other faculty members subject to the quality of faculty proposals,
 including their potential benefit to the Department or University, and the need to assure that sufficient
 faculty are always present to carry out Department work.

Faculty members who desire a SA should discuss the matter with the Department Chair during their annual evaluation or as soon thereafter as possible. The Department Chair will indicate whether submission of a full proposal articulating the purpose and nature of the SA is appropriate. The Chair will normally announce decisions regarding SAs for the next academic year no later than January1st of the previous academic year, but retains the option of making decisions regarding proposals at other times when circumstances warrant such flexibility.

8.3 PROFESSIONAL PRACTICE FACULTY

The Department of Chemical and Biomolecular Engineering appoints professional practice faculty. These appointments exist for faculty members who focus principally on the education needs of the department. The standard workload expectations for full-time professional practice faculty members are 65-100% teaching, 0-30% scholarship, and 0-30% service. Professional practice faculty members are expected to contribute to the department's research and education missions, as reflected by participation in graduate program development and teaching. Professional practice faculty members are expected to contribute to the university's mission via teaching and service, and to a lesser extent scholarship.

8.4 RESEARCH FACULTY

Research faculty members are expected to contribute to the university's mission via research.

In accord with Faculty Rule 3335-7-34,

a research faculty member may, but is not required to, participate in limited educational activities in the area of his or her expertise. However, teaching opportunities for each research faculty member must be approved by a majority vote of the department's tenure-track faculty. Under no circumstances may a member of the research faculty be continuously engaged over an extended period of time in the same instructional activities as tenure-track faculty.

Standard workload expectations for full-time research faculty members are 0-10% teaching (student mentoring), 90-100% research, and 0-10% service, depending on specific expectations as spelled out in the letter of offer.

8.5 ASSOCIATED FACULTY

Standard workload expectations for compensated associated faculty members are 80-100% teaching, 0-20% scholarship, and 0-20% service, depending on the terms of their individual appointments.

Faculty members with tenure-track titles and appointments <50% FTE will have reduced expectations based on their appointment level.

Expectations for compensated visiting faculty members will be based on the terms of their appointment and are comparable to that of tenure-track faculty members except that service is not required.

8.6 MODIFICATION OF DUTIES

The Department of Chemical and Biomolecular Engineering strives to be a family-friendly unit in its efforts to recruit and retain high quality faculty members. To this end, the Department is committed to adhering to the College of Engineering's guidelines on modification of duties to provide its faculty members flexibility in meeting work responsibilities within the first year after

childbirth/adoption/fostering, or care for an immediate family member who has a serious health condition, or a qualifying exigency arising out of the fact that the employee's immediate family member is on covered active duty in a foreign country or call to covered active duty status. See the College Pattern of Administration for details. See also the OHR Parental Care Guidebook and the Parental Leave Policy in Section 11.

The faculty member requesting the modification of duties should inform the Department Chair as early as possible to provide enough time to arrange re-distribution of responsibilities as needed. The faculty member making the request and the Department Chair should be creative and flexible in developing a solution that is fair to both the individual and the unit while addressing the needs of the university. Expectations must be spelled out in an MOU that is approved by the dean.

9. COURSE OFFERINGS, TEACHING SCHEDULES, AND GRADE ASSIGNMENTS

The Associate Chair along with the Department Chair will annually develop a schedule of course offerings and teaching schedules in consultation with the faculty, both collectively and individually. While every effort will be made to accommodate the individual preferences of faculty, the Department's first obligation is to offer the courses needed by students at times most likely to meet student needs. To assure classroom availability, reasonable efforts must be made to distribute course offerings across the day and week. To meet student needs reasonable efforts must be made to assure that course offerings match student demand and that timing conflicts with other courses students are known to take in tandem are avoided.

A scheduled course that does not attract the minimum number of students required by Faculty Rule 3335-8-17 will normally be cancelled and the faculty member scheduled to teach that course will be assigned to another course for that or a subsequent semester. Finally, to the extent possible, courses required in any curriculum or courses with routinely high demand will be taught by at least two faculty members across semesters of offering to assure that instructional expertise is always available for such courses.

If an instructor of record is unable to assign grades due to an unexpected situation (i.e. health or travel), or if they have not submitted grades before the university deadline and are unreachable by all available modes of communication, the Department Chair may determine an appropriate course of action, including assigning a faculty member to evaluate student materials and assign grades for that class. The University Registrar will be made aware of this issue as soon as it is known and will be provided a timeline for grade submission.

10. ALLOCATION OF DEPARTMENT RESOURCES

The Chair is responsible for the fiscal and academic health of the Department and for assuring that all resources—fiscal, human, and physical—are allocated in a manner that will optimize achievement of Department goals. The Chair will discuss the Department budget at least annually with the faculty and attempt to achieve consensus regarding the use of funds across general categories. However, final decisions on budgetary matters rest with the Chair.

Research space shall be allocated based on research productivity including external funding and will be reallocated periodically as these faculty-specific variables change. The allocation of office space will include considerations such as achieving proximity of faculty in subdisciplines and productivity and grouping staff functions to maximize efficiency.

The allocation of salary funds is discussed in the **Appointments**, **Promotion and Tenure document**.

11. LEAVES & ABSENCES

In general, there are four types of leaves and absences taken by faculty (in addition to parental leave, which is detailed in the <u>Parental Care Guidebook</u>). The University's policies with respect to leaves and absences are set forth on the Office of Human Resources <u>Policies and Forms website</u>. The information provided below supplements these policies.

11.1 DISCRETIONARY ABSENCE

Faculty are expected to inform the Department Chair well in advance of a planned absence (e.g. as for attendance at a professional meeting or to engage in consulting or to seek collaboration at another institution) to provide time for its consideration and approval and time to assure that instructional and other commitments are covered. Discretionary absence from duty is not a right and the Chair retains the authority to disapprove a proposed absence when it will interfere with instructional or other comparable commitments. Such an occurrence is most likely when the number of absences in a particular semester is substantial. Faculty Rules require that the Office of Academic Affairs approve any discretionary absence of ten or more consecutive business days (see Faculty Rule 3335-5-08 and must be requested on or a request for absence form.

11.2 ABSENCE FOR MEDICAL REASONS

When absences for medical reasons are anticipated, faculty members are expected to complete or a request for absence form as early as possible. When such absences are unexpected, the faculty member, or someone speaking for the faculty member, should let the Chair know promptly so that instructional and other commitments can be managed. Faculty members are always expected to use sick leave for any absence covered by sick leave (personal illness, illness of family members, medical appointments). Sick leave is a benefit to be used—not banked. See OHR Policy 6.27 for details.

11.3 UNPAID LEAVES OF ABSENCE

The University's policies with respect to unpaid leaves of absence and entrepreneurial leaves of absence are set forth in OHR Policy 6.45. A faculty member may request an unpaid leave of absence for personal or professional reasons. Professional reasons would include an opportunity to accept a visiting appointment at another institution. A faculty member desiring an unpaid leave of absence should submit a written request for the absence as far in advance as possible of the time for which the leave is desired. Approval will be based on, but not limited to, the nature of the request, the extent to which the faculty member's responsibilities

can be covered or deferred during the proposed absence, and the positive or negative impact on the Department of the proposed absence. Unpaid leaves of absence require the approval of the Dean, Office of Academic Affairs, and Board of Trustees.

11.4 FACULTY PROFESSIONAL LEAVE

Information on faculty professional leaves is presented in the OAA <u>Policy on Faculty Professional</u> Leave.

A Faculty Professional Leave (FPL) constitutes a more formal departure from regular academic duties than a Special Assignment and may be one or two semesters in length for 9-month faculty and up to a full year for 12-month faculty. FPLs involve salary reductions and other considerations established by the Ohio legislature and University Board of Trustees and faculty considering an FPL should fully acquaint themselves with the FPL policy before applying for leave.

- Faculty members who desire FPL should inform the Department chair in writing a year and a half before the start of their professional leave and prepare a proposal. (e.g., for a professional leave that will start Autumn of 2026, the chair should be informed by the end of May 2025) and present a proposal. It is suggested that the proposal address the following issues:
- 1) Ohio Law requires completion of 7 academic years of service to be eligible for FPL.
- 2) A plan for professional development and possible collaboration to be developed should be provided. Suggested topics in the plan include:
 - a) present the opportunity for new international or national collaborations
 - b) propose the completion of a body of scholarly work such as a book or a unique series of publications
 - c) propose developing new collaborations at Ohio State
 - d) propose developing new courses or improvements in teaching pedagogy
 - e) propose exploration of unique opportunities with colleagues from industry
 - f) propose participation in technology transfer from OSU to corporate entities, particular to help start-up companies get off the ground
 - (Note: In the case of limited availability of leave positions, the proposals will be evaluated on their quality content with a bias in descending order from a-f)
- 3) The Department should have adequate faculty members to maintain its teaching obligations during the leave period.
- 4) The Chair must support the request by letter to the Dean.
- 5) A report on professional progress made during the leave should be filed with the Chair no later than 1 month following the faculty member's return to the department.

 The Department Chair will indicate whether submission of a full proposal articulating the purpose and nature of the FPL is appropriate. Because FPL proposals must be approved by the Dean, Office of Academic Affairs, and Board of Trustees before they may be implemented, faculty should submit FPL proposals to the College for a particular year no later than the end of Autumn Semester of the preceding year, except when the development of an unexpected opportunity precludes such timing.

11.5 PARENTAL LEAVE

The university, the college, and this department recognize the importance of parental leave to faculty members. Details are provided in the OHR <u>Parental Care Guidebook</u>, Paid Time Off <u>Policy 6.27</u>, and the <u>Family and Medical Leave Policy 6.05</u>.

12. ADDITIONAL COMPENSATION AND OUTSIDE ACTIVITIES

Information on additional compensation is presented in the OAA <u>Policy on Faculty Compensation</u>. Information on outside activities is presented in the university's <u>Policy on Outside Activities and Conflicts</u>. The information provided below supplements these policies.

This Department adheres to these policies in every respect. In particular, this Department expects faculty members to carry out the duties associated with their primary appointment with the University at a high level of competence before seeking other income-enhancing opportunities. All activities providing additional compensation must be approved by the Department Chair regardless of the source of compensation. External consulting must also be approved. Approval will be contingent on the extent to which a faculty member is carrying out regular duties at an acceptable level, the extent to which the extra income activity appears likely to interfere with regular duties, and the academic value of the proposed consulting activity to the Department. In addition, it is University policy that faculty may not spend, on average, more than one business day per week on additionally compensated activities and external consulting, combined.

Faculty who fail to adhere to the University's policies on these matters, including seeking approval for external consulting, will be subject to disciplinary action.

Faculty with an administrative position (for example, chair, associate/assistant dean, center director) remain subject to the <u>Policy on Outside Activities and Conflicts</u> and with appropriate approval, are permitted to engage in paid external work activities. However, faculty members with administrative positions are not permitted to accept compensation/honoraria for services that relate to or are the result of their administrative duties and responsibilities.

Should a department faculty member wish to use a textbook or other material that is authored by the faculty member and the sale of which results in a royalty being paid to him or her, such textbook or material may be required for a course by the faculty member only if (1) the department chair and dean or designee have approved the use of the textbook or material for the course taught by the faculty member, or (2) an appropriate committee of the department or college reviews and approves the use of the textbook or material for use in the course taught by the faculty member.

13. FINANCIAL CONFLICTS OF INTEREST

The University's policy with respect to financial conflicts of interest is set forth in the university's Policy on Outside Activities and Conflicts.

A conflict of interest exists if financial interests or other opportunities for tangible personal benefit may exert a substantial and improper influence upon a faculty member or administrator's professional

judgment in exercising any University duty or responsibility, including designing, conducting or reporting research.

Faculty members are required to file conflict of interest screening forms annually, and more often if prospective new activities pose the possibility of financial conflicts of interest. Faculty who fail to file such forms or to cooperate with University officials in the avoidance or management of potential conflicts will be subject to disciplinary action.

In addition to financial conflicts of interest, faculty must disclose any conflicts of commitment that arise in relation to consulting or other work done for external entities. See Section 8 above.

14. GRIEVANCE PROCEDURES

Members of the Department with grievances should discuss them with the Chair who will review the matter as appropriate and either seek resolution or explain why resolution is not possible. The content below describes procedures for the review of specific types of complaints and grievances.

14.1 SALARY GRIEVANCES

A faculty or staff member who believes that his or her salary is inappropriately low should discuss the matter with the Chair. The faculty or staff member should provide documentation to support the complaint.

Faculty members who are not satisfied with the outcome of the discussion with the chair and wish to pursue the matter may follow the College of Engineering POA policy on the appeals process. A formal salary appeal can also be filed with the Office of Faculty Affairs (see Chapter 4, Section 2 of the Office of Academic Affairs *Policies and Procedures Handbook*).

Staff members who are not satisfied with the outcome of the discussion with the chair and wish to pursue the matter should contact <u>Employee and Labor Relations</u> in the Office of Human Resources

14.2 FACULTY AND STAFF MISCONDUCT

Complaints alleging faculty misconduct or incompetence should follow the procedures set forth in Faculty Rule <u>3335-5-04</u>.

Any student, faculty, or staff member may report complaints against staff to the Department Chair. The <u>Office of Employee and Labor Relations</u> in the Office of Human Resources can provide assistance with questions, conflicts, and issues that arise in the workplace.

14.3 FACULTY PROMOTION AND TENURE APPEALS

Promotion and tenure appeal procedures are set forth in Faculty Rule <u>3335-5-05</u>.

14.4 HARASSMENT, DISCRIMINATION, AND SEXUAL MISCONDUCT

The Office of Institutional Equity exists to help the Ohio State community prevent and respond to all forms of harassment, discrimination, and sexual misconduct.

- 1 Ohio State's policy and procedures related to affirmative action and equal employment opportunity are set forth in the university's <u>policy on affirmative action and equal employment opportunity</u>.
- 2 Ohio State's policy and procedures related to nondiscrimination, harassment, and sexual misconduct are set forth in the university's <u>policy on nondiscrimination</u>, <u>harassment</u>, <u>and sexual misconduct</u>.

14.5 VIOLATIONS OF LAWS, RULES, REGULATIONS, OR POLICIES

Concerns about violations of laws, rules, regulations, or policies affecting the university community should be referred to the <u>Office of University Compliance and Integrity</u>. Concerns may also be registered anonymously through the <u>Anonymous Reporting Line</u>.

14.6 COMPLAINTS BY AND ABOUT STUDENTS

Normally student complaints about courses, grades, and related matters are brought to the attention of individual faculty members. In receiving such complaints, faculty should treat students with respect regardless of the apparent merit of the complaint and provide a considered response. When students bring complaints about courses and instructors to the Department Chair, the Chair will first ascertain whether the students require confidentiality. If confidentiality is not required, the Chair will investigate the matter as fully and fairly as possible and provide a response to both the students and any affected faculty. If confidentiality is required, the Chair will explain that it is not possible to fully investigate a complaint in such circumstances and will advise the student(s) on options to pursue without prejudice as to whether the complaint is valid or not. See Faculty Rule 3335-8-23.

Faculty complaints regarding students must always be handled strictly in accordance with University rules and policies. Faculty should seek the advice and assistance of the chair and others with appropriate knowledge of policies and procedures when problematic situations arise.

14.7 ACADEMIC MISCONDUCT

Faculty members will report any instances of academic misconduct to the <u>Committee on Academic Misconduct</u> in accordance with the <u>Code of Student Conduct</u>. See also Board of Trustees Rule 3335-23-05.