Appointments, Promotions, and Tenure

Criteria and Procedures for the
Department of Engineering Education (EED)

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1. Preamble

This document supplements general descriptions of appointment, promotion, and tenure (APT) criteria, procedures, and documentation outlined in the *Rules of the University Faculty* and the *Office of Academic Affairs Policies and Procedures Handbook*. It specifically details the APT criteria, procedures, and documentation outlined in Chapter 6 of the Rules of the University Faculty (Rules of the University Faculty Concerning Faculty Appointments, Reappointments, Promotion and Tenure); Chapter 7 of the Rules of the University Faculty (Rules of the University Faculty Concerning Clinical/Teaching/Practice and Research Faculty Appointment, Reappointment and Nonreappointment, and Promotion); the Office of Academic Affairs annually updated procedural guidelines for promotion and tenure reviews (see the current Office of Academic Affairs (OAA) *Policies and Procedures Handbook: Volume 3*); and other policies and procedures of the college and university to which the department and its faculty are subject.

Should those rules and policies change, the department shall follow the new rules and policies until such time as it can update this document to reflect the changes. In addition, this document must be reviewed, and either reaffirmed or revised, at least every four years on appointment or reappointment of the department chair.

This document must be approved by the dean of the college and the Office of Academic Affairs before it may be implemented. It sets forth the department’s mission and, in the context of that mission and the missions of the college and university, its criteria and procedures for faculty appointments, and its criteria and procedures for faculty promotion, tenure and rewards, including salary increases. In approving this document, the dean and Office of Academic Affairs accept the mission and criteria of the department and delegate to it the responsibility to apply high standards in evaluating continuing faculty and candidates for positions in relation to its mission and criteria.

For the purposes of this document, the faculty of this department includes tenure-track, practice, research, associated faculty with compensated full-time equivalents (FTEs) of at least 50% in the department, and faculty that hold partial FTE positions in more than one department (jointly appointed faculty). The faculty and the administration are bound by the principles articulated in Faculty Rule 3335-6-01. In particular, all faculty members accept the responsibility to participate fully and knowledgeably in review processes; to exercise the standards established in Faculty Rule 3335-6-02 and other standards specific to this department and college; and to make negative recommendations when these are warranted in order to maintain and improve the quality of the faculty.

Decisions considering appointment, reappointment, and promotion and tenure will be free of discrimination in accordance with the *University Policy 1.10* on equal opportunity.

2. Department Mission

The Department of Engineering Education (EED) advances the engineering profession and enables student success by developing and delivering state-of-the-art, innovative, multidisciplinary undergraduate- and graduate-level engineering and engineering education courses and programs; by modeling and advocating scholarly, evidence-based teaching within
the College of Engineering; and by conducting and disseminating world-class engineering
education research. We strive to create and communicate approaches to engineering education
that transform knowledge and enhance the technological workforce and society.

3. Definitions

3.1. Committee of the Eligible Faculty

The eligible faculty for all appointment (hiring), reappointment, contract renewal, promotion, or
promotion and tenure reviews must have their tenure home or primary appointment in the
department.

The department chair, the dean and assistant and associate deans of the college, the executive
vice president and provost, and the president may not participate as eligible faculty members in
reviews for appointment, reappointment, promotion, promotion and tenure, or contract
renewal.

3.1.1. Tenure-Track Faculty

Initial Appointment Reviews

• For an appointment (hiring or appointment change from another faculty type) review of
an assistant professor, the eligible faculty consists of all tenure-track faculty in the
department.

• For appointment (hiring or appointment change from another faculty type) at senior rank
(associate professor or professor), the eligible faculty consists of all tenure-track faculty in
the department. A vote on the appropriateness of the proposed rank must then be cast by
all tenured faculty of equal or higher rank than the position requested.

Reappointment, Promotion, or Promotion and Tenure Reviews

• For the reappointment and promotion and tenure reviews of assistant professors, the
eligible faculty consists of all tenured associate professors and professors.

• For the promotion reviews of associate professors and the tenure reviews of probationary
professors, the eligible faculty consists of all tenured professors.

3.1.2. Practice Faculty

Initial Appointment Reviews

• For an appointment (hiring or appointment change from another faculty type) review of
an assistant professor of practice, the eligible faculty consists of all tenure-track faculty
and all practice faculty in the department.

• For appointment (hiring) at senior rank (associate professor of practice or professor of
practice), the eligible faculty consists of all tenure-track and all practice faculty in the
department. A vote on the appropriateness of the proposed rank must then be cast by all
tenured faculty of equal or higher rank than the position requested and all non-
probationary practice faculty of equal or higher rank than the position requested.
Reappointment, Contract Renewal, and Promotion Reviews

- For the reappointment, contract renewal, and promotion reviews of assistant professors of practice, the eligible faculty consists of all tenured associate professors and professors, and all non-probationary associate professors of practice or professors of practice.

- For the reappointment, contract renewal, and promotion reviews of associate professors of practice, and the reappointment and contract renewal reviews of professors of practice, the eligible faculty consists of all tenured professors, and all non-probationary professors of practice.

3.1.3. Research Faculty

Initial Appointment Reviews

- For an appointment (hiring or appointment change from another faculty type) review of a research assistant professor, the eligible faculty consists of all tenure-track faculty, all faculty of practice, and all research faculty in the department.

- For appointment (hiring) at senior rank (associate professor of practice or professor of practice), the eligible faculty consists of all tenure-track faculty all practice faculty, and all research faculty in the department. A vote on the appropriateness of the proposed rank must then be cast by all tenured faculty of equal or higher rank than the position requested, all non-probationary practice faculty of equal or higher rank than the position requested, and all non-probationary research faculty of equal or higher rank than the position requested.

Reappointment, Contract Renewal, and Promotion Reviews

- For the reappointment, contract renewal, and promotion reviews of research assistant professors, the eligible faculty consists of all tenured associate professors and professors and all non-probationary research associate professors and professors.

- For the reappointment, contract renewal, and promotion reviews of research associate professors and the reappointment and contract renewal reviews of research professors, the eligible faculty consists of all tenured professors and all non-probationary research professors.

3.1.4 Associated Faculty

Initial Appointment

- Initial appointment of compensated associated faculty is decided by the chair or designee and is typically based on recommendations of an interview committee composed the Associate Chair for Undergraduate Studies and Learning Infrastructure, and the respective program director and/or course coordinator in the candidate’s area, and peers. If the initial appointment occurs within three weeks of the start of the semester or at a time when faculty on nine-month appointments are off duty, the appointment is based on joint recommendations of the Associate Chair for Undergraduate Studies and Learning Infrastructure and the director of the program in the candidate’s area.
• Initial appointments at the rank of senior lecturer require a vote by the eligible faculty (all non-probationary practice faculty and tenured faculty of equal or higher rank than the position requested) and prior approval of the college dean.

• Senior rank initial appointments of faculty with adjunct titles and tenure-track titles with service at 49% FTE require a vote by the eligible faculty (all non-probationary practice faculty and tenured faculty of equal or higher rank than the position requested) and prior approval of the college dean.

Reappointment and Contract Renewal

• Reappointment and contract renewal of compensated associated faculty are decided by the chair or designee and is typically based on annual review by the Associate Chair for Undergraduate Studies and Learning Infrastructure, in conjunction with the respective program director and/or course coordinator in the candidate’s area.

Promotion Reviews

• Associated faculty are eligible for promotion but not tenure if they have adjunct titles, tenure-track titles with service at 49% FTE or below and lecturer titles.

• For the promotion reviews of associated faculty with adjunct and tenure-track titles, the eligible faculty shall be the same as for tenure-track faculty as described in Section 3.1.1 above.

• The criteria and process for promotion of a lecturer to senior lecturer are described in the Policies and Procedures for Appointment, Promotion, & Contracts for Lecturers and Senior Lecturers (PPAPC), which is maintained by the department.

3.1.5 Conflict of Interest

A conflict of interest exists when an eligible faculty member is related to a candidate or has a comparable close interpersonal relationship, has substantive financial ties with the candidate, is dependent in some way on the candidate’s services, has a close professional relationship with the candidate, such as when a faculty member is co-author on a significant portion of the candidate’s publications, has collaborated with the candidate on major grants supporting research, has served as the candidate’s dissertation advisor, is dependent in some way on the candidate’s professional activities, or has a relationship with the candidate that has created a bias. Generally, faculty members who have collaborated with a candidate on at least 50% of the candidate’s published work since the last promotion will be expected to withdraw from a promotion or reappointment review of that candidate.

3.1.6 Minimum Composition

In the event that the department does not have at least three eligible faculty members who can undertake a review, the department chair, after consulting with the dean, will appoint additional faculty members from another department within the college.

3.2 Promotion and Tenure Committee

The department has a Promotion and Tenure Committee that assists the Committee of the
Eligible Faculty in managing the personnel and promotion and tenure issues. The committee consists of three members who must hold the rank of professor or associate professor. If the required number of faculty members at the specified ranks are not available in the department, the committee, in conjunction with the department chair, will identify as many as two eligible faculty members outside the department who are qualified and willing to serve. The committee’s chair and membership are appointed by the department chair. The term of service is three years, with reappointment possible.

When considering cases involving practice faculty the Promotion and Tenure Committee may be augmented by up to two non-probationary practice faculty members.

When considering cases involving research faculty the Promotion and Tenure Committee may be augmented by up to two non-probationary research faculty members.

3.3. Quorum

The quorum required to discuss and vote on all personnel decisions is two-thirds of the eligible faculty not on an approved leave of absence. Faculty on approved leave are not considered for quorum unless they declare, in advance and in writing, their intent to participate in all proceedings for which they are eligible during the leave. A member of the eligible faculty on Special Assignment may be excluded from the count for the purposes of determining quorum only if the department chair has approved an off-campus assignment.

Faculty members who recuse themselves because of a conflict of interest are not counted when determining quorum.

3.4. Recommendation from the Committee of Eligible Faculty

In all votes taken on personnel matters only “yes” and “no” votes are counted. Abstentions are not votes. Faculty members are strongly encouraged to consider whether they are participating fully in the review process when abstaining from a vote on a personnel matter.

Absentee ballots and proxy votes are not permitted but participating in discussion and voting via remote two-way electronic connection is allowed.

3.4.1. New Appointment

A positive recommendation from the Committee of Eligible Faculty for a new appointment is secured when two-thirds of the votes cast are positive. In the case of candidates being considered for appointments with partial FTEs in more than one department (jointly appointed faculty), the requirements for a positive recommendation are determined independently by each tenure-initiating unit (TIU) to which the candidate will be appointed. A positive recommendation is required from both TIUs in order to proceed with a joint appointment.

3.4.2. Reappointment, Promotion and Tenure, Promotion, and Contract Renewal

A positive recommendation from the Committee of Eligible Faculty for reappointment, promotion and tenure, promotion, and contract renewal is secured when a simple majority of
the votes cast are positive. In the case of jointly appointed faculty, a positive recommendation is determined by the TIU holding the primary (majority) appointment and defined by the APT documents of that TIU. For joint hires, a representative of the secondary TIU may be present in the discussion of the Committee of Eligible Faculty in the primary TIU as a resource in understanding aspects of a candidate dossier that might not conform to the primary TIU model or that might reflect a hiring MOU concerning the candidate’s responsibilities.

4. Appointments

4.1. Criteria

The department is committed to making only faculty appointments that enhance or have strong potential to enhance the quality of the department consistent with the Department Mission. Important considerations include the individual’s record to date in teaching, scholarship, and service; the potential for professional growth in each of these areas; and the potential for interacting with colleagues and students in a way that will enhance their academic work and attract other outstanding faculty and students to the department. No offer will be extended in the event that the search process does not yield one or more candidates who would enhance the quality of the department. The search is either cancelled or continued, as appropriate to the circumstances.

4.1.1. Tenure-Track Faculty

Background: Faculty Rule 3335-6-02 and Faculty Rule 3335-6-03.

An appointee to the rank of assistant professor will have strong potential to help the department achieve its mission and to enhance its quality and reputation. Specifically, an appointee will have:

- either an earned doctorate or other terminal degree in engineering, engineering education, or relevant field of study or possession of equivalent experience;
- demonstrated excellence in verbal and written communication;
- a potential for excellence in scholarship, associated primarily with scholarship that enhances the state-of-the-art in engineering education;
- a potential for excellence in teaching, both in the classroom and in student advising;
- a potential for leadership in service, both to the profession and to the university;
- an attitude conducive of good citizenship, including a commitment to interact with others in a professional, collegial, ethical, and constructive fashion; and
- a strong potential to achieve tenure and advance through the tenure-track faculty ranks.

Instructor. Appointment at the rank of instructor is made only when the offered appointment is that of assistant professor, but requirements for the terminal degree have not been completed by the candidate at the time of appointment. Procedures for appointment are identical to that of assistant professor. The department will make every effort to avoid such appointments. An appointment at the instructor level is limited to three years. Promotion to assistant professor
occurs without review the semester following completion of the required credentialing. When
an instructor has not completed requirements for promotion to the rank of assistant professor
by the end of the third year of appointment, the third year is a terminal year of employment
(Faculty Rule 3335-6-03).

Upon promotion to assistant professor, the faculty member may request prior service credit for
time spent as an instructor. This request must be approved by the department’s eligible faculty,
the department chair, the dean, and the Office of Academic Affairs. Faculty members should
carefully consider whether prior service credit is appropriate since prior service credit cannot be
revoked once granted except through an approved request to exclude time from the
probationary period. In addition, all probationary faculty members have the option to be
considered for early promotion.

**Assistant Professor.** Either an earned doctorate or other terminal degree in engineering,
engineering education, or a field of study relevant to the discipline of engineering education or
equivalent experience is required. Evidence of potential for scholarly productivity, high-quality
teaching, and high-quality service to the department and the profession is required.
Appointment at the rank of assistant professor is always probationary, with mandatory tenure
review occurring in the sixth year of service. Review for tenure prior to the mandatory review
year is possible when the Promotion and Tenure Committee (or the equivalent body of the TIU
of the primary appointment in the case of jointly appointed faculty if not the EED) determines
such a review to be appropriate. The granting of prior service credit, which requires approval of
the Office of Academic Affairs, may reduce the length of the probationary period, but is strongly
discouraged as it cannot be revoked once granted except through an approved request to
exclude time from the probationary period.

**Associate Professor and Professor.** Appointments at the rank of associate professor or professor
will be made consistent with the criteria for promotion to those ranks, as discussed later in
Sections 7.2.1 and 7.2.2, respectively (or in accordance with the criteria of the primary
appointment TIU for jointly appointed faculty if not the EED). Generally, an initial appointment at
one of these levels will require that the candidate has achieved higher and/or more sustained
levels of accomplishment in most of the above areas, as opposed to being based primarily on
potential or on number of years of experience. Appointment at senior rank normally entails
tenure. A probationary appointment at senior rank is appropriate only under unusual
circumstance, such as when the candidate has limited prior teaching experience or has taught
only in a foreign country. A probationary period of up to four years is possible, on approval of
the Office of Academic Affairs, with review for tenure occurring in the final year of the
probationary appointment. If tenure is not granted, an additional (terminal) year of employment
is offered.

Appointments at the rank of professor without tenure should not occur.

### 4.1.2. Practice Faculty

**Background:** Faculty Rule 3335-7-05.

The initial contract for practice faculty members must be for a period of five years. The initial
contract is probationary, with reappointment considered annually. Second and subsequent
contracts for practice assistant and associate professors must be for a period of at least three
years and for no more than five years. Second and subsequent contracts for practice professors
must be for a period of at least three years and no more than eight years. Tenure is not granted
to practice faculty. There is also no presumption that subsequent contracts will be offered,
regardless of performance. If the department wishes to consider contract renewal, a formal
review of the faculty member is required in the penultimate year of the current contract period.

Practice faculty members are primarily expected to develop, enhance, and teach courses
generally emphasizing engineering fundamentals, professional practice, and/or engineering
education issues by incorporating practical, multidisciplinary education and/or design
experiences. In addition, practice faculty are expected to contribute to engineering education
scholarship through curriculum development and development of assessment or pedagogy
related primarily to their teaching responsibilities. They will participate in faculty governance to
the extent outlined in Section 3.1 above and in the department’s Pattern of Administration
document.

Practice faculty members are referred to as “Instructor of Practice”, “Assistant Professor of
Practice”, “Associate Professor of Practice”, or “Professor of Practice” in Engineering Education.

Instructor of Practice. Appointment is normally made at the rank of instructor of practice when
the appointee has not completed the requirements for the terminal degree. The department will
make every effort to avoid such appointments. An appointment at the instructor level is limited
to a four-year contract. In such cases, if the instructor has not completed requirements for
promotion to the rank of assistant professor by the end of the penultimate year of the contract
period, a new contract will not be considered even if performance is otherwise adequate and the
position itself will continue.

Practice faculty members at the ranks of assistant professor of practice, associate professor of
practice, or professor of practice may choose to have their primary contributions to engineering
education scholarship evaluated based on either (1) their expertise and experience in the
emerging academic field of engineering education or (2) their expertise and experience outside
of the engineering education academic field, including industry. Additional descriptions are
found below:

   (1) Expertise and experience in engineering education include previous academic
       employment involving teaching, indicating advanced knowledge and capability in the
       appointee’s area of specialization within education relevant to engineering; documented
       contributions in areas of education; and academic expertise and experience applied to
       scholarship in engineering education and related academic fields.

   (2) Expertise and experience in relevant professional and academic settings include: previous
       employment involving professional practice, indicating advanced knowledge and capability
       in the appointee’s area of specialization within engineering; and contributions outside of
       the engineering education academic field; and experience outside of the engineering
       education academic field applied to academic program development involving
       professional practice and related state-of-the-practice activities that directly engage
       students.

Based on this choice, an appointee will have:

   • An earned doctorate or other terminal degree in engineering, engineering education, or
318 field of study relevant to the discipline of engineering education, or equivalent experience;
319 • A record of successful experiences and productive activities in previous employment,
indicating advanced knowledge and capability in the appointee's area of engineering-
related specialization;
320 • Potential for excellent scholarly contributions, typically based on applying their expertise
and experience to academic program development and/or scholarship;
321 • Potential for excellence in teaching courses in areas for which the department is
responsible;
322 • Potential for excellence in student advising responsibilities appropriate for the position;
323 • Demonstrated excellence in oral, written, and graphical communication;
324 • Potential for leadership in service, both to the profession and to the university;
325 • An attitude conducive of good citizenship, including a commitment to interact with others
in a professional, collegial, ethical, and constructive fashion; and
326 • Strong potential to advance through the practice faculty ranks.
Criteria for appointments at the rank of associate professor of practice or professor of practice
are consistent with the criteria for promotion to those ranks, as discussed in Section 6.2.4.
Generally, an initial appointment at one of these levels will require that the candidate has
achieved higher and/or more sustained levels of accomplishment in most of the above areas, as
opposed to being based primarily on potential or on number of years of experience

4.1.3. Research Faculty

Background: Faculty Rule 3335-7-32.

Appointment of research faculty entails one- to five-year contracts. The initial contract is
probationary, with reappointment considered annually. Tenure is not granted to research
faculty. There is also no presumption that subsequent contracts will be offered, regardless of
performance. If the department wishes to consider contract renewal, a formal review of the
faculty member is required in the penultimate year of the current contract period.

Research faculty members in the department are expected to focus their efforts on engineering
education scholarship. They will be expected to advise graduate students and may participate in
limited educational activities such as developing and teaching courses related to their research
but are not expected and will not be required to do the latter. They will participate in faculty
governance to the extent outlined in Section 3.1 above and in the department's Pattern of
Administration document. Research faculty members will be referred to as "Research Assistant
Professor", "Research Associate Professor", or "Research Professor" in Engineering Education.

An appointee to the rank of research assistant professor will have strong potential to help the
department achieve its mission and to enhance its quality and reputation, by contributing in the
manner described in the previous paragraph. Specifically, an appointee will have:

• an earned doctorate or other terminal degree in engineering or engineering education or
field of study relevant to the discipline of engineering education, or equivalent experience;
demonstrated excellence in verbal and written communication;
• a record of notable scholarship in the engineering education discipline;
• a potential for excellence in advising of graduate students;
• a potential for excellence in scholarship, associated primarily with scholarship that enhances the state-of-the-art in engineering education;
• an attitude conducive of good citizenship, including a commitment to interact with others in a professional, collegial, ethical, and constructive fashion; and
• a strong potential to advance through the research faculty ranks.

Appointments at the rank of research associate professor or research professor will be made generally consistent with the criteria for promotion to those ranks, as discussed in Section 6.2.5. Generally, an initial appointment at one of these levels will require that the candidate has achieved higher and/or more sustained levels of accomplishment in most of the above areas, as opposed to being based primarily on potential or on number of years of experience.

4.1.4. Associated Faculty

Background: Faculty Rule 3335-5-19.

Associated faculty are persons with adjunct titles, visiting titles, and lecturer titles. Professors, associate professors, assistant professors, and instructors who serve on appointments totaling less than 50% service to the university are also associated faculty members. Persons with tenure-track, practice, or research faculty titles with FTEs of 50% or more may not hold associated titles. Persons holding associated titles are not eligible for tenure and may not participate in the promotion and tenure reviews of tenure-track, practice, or research faculty. Persons with associated titles are permitted to participate in college governance and department governance as per EED Pattern of Administration. Associated faculty appointments may be made for a maximum of three consecutive years and, with the exception of visiting titles, may be renewed (Faculty Rule 3335-5-19).

Associated faculty appointments may be as short as a few weeks to assist with a focused project, a semester to teach one or more courses, or for up to three years when a longer contract is appropriate for the qualifications or contributions of the faculty member.

The majority of associated faculty in the EED are either lecturers or senior lecturers. An appointee to a lecturer or senior lecturer position will have strong potential to help the department achieve its mission and to enhance its quality and reputation, by contributing to teaching.

An appointee to either position will have:
• a potential for or demonstrated excellence in teaching;
• demonstrated excellence in verbal and written communication; and
• an attitude conducive of good citizenship, including a commitment to interact with others in a professional, collegial, ethical, and constructive fashion.

Senior Lecturer
An appointee to a senior lecturer position normally will have an earned doctorate in engineering, engineering education, or in a closely allied discipline appropriate to the appointee's area of specialization. An alternative option is a Master’s degree in engineering, engineering education, or in a closely allied discipline appropriate to the appointee's area of specialization plus one or more of the following:

- 7+ years of industry experience in a field relevant to the appointee’s area of expertise;
- 5+ years of experience in secondary or post-secondary teaching in the appointee’s area of expertise; and/or
- 5+ years of combined experience from industry and teaching as previously described.

Criteria for appointments at the rank of senior lecturer are generally consistent with the criteria for promotion to those ranks, as discussed in Section 6.2.5, but with the recognition that some of the criteria may not have been possible to meet in the case of new hires. Generally, an initial appointment at one of these levels will require that the candidate has achieved higher and/or more sustained levels of accomplishment in most of the above areas, as documented in candidate’s application materials (detailed in a separate EED departmental policy document: PPAPC) as opposed to being based primarily on potential or on number of years of experience.

Lecturer

An appointee to a lecturer position normally will have an advanced degree in engineering or engineering education, or in a closely allied discipline appropriate to the appointee's area of specialization, or equivalent experience. “Equivalent experience” may include the following: 3+ years of experience in a relevant field OR progress toward professional engineering certification OR 3+ years of experience with teaching in the appointee’s area of specialization OR an appropriate combination of experience with teaching and industry.

Adjunct Assistant Professor, Adjunct Associate Professor, Adjunct Professor

Adjunct appointments may be compensated or uncompensated. Adjunct faculty appointments are given to individuals who give academic service to the department, such as teaching a course or serving on graduate student committees, for which a faculty title is appropriate. Typically, the adjunct faculty rank is determined by applying the criteria for appointment of tenure-track faculty. Adjunct faculty members are eligible for promotion (but not tenure) and the relevant criteria are those for promotion of tenure-track faculty.

Adjunct Instructor of Practice, Adjunct Assistant Professor of Practice, Adjunct Associate Professor of Practice, Adjunct Professor of Practice

Associated practice appointments may either be compensated or uncompensated. Uncompensated appointments are given to individuals who volunteer uncompensated academic service to the department, such as committee service or evaluation of student projects, for which a faculty title is appropriate. Associated practice rank is determined by applying the criteria for appointment of practice faculty. Associated practice faculty members are eligible for promotion (but not tenure) and the relevant criteria are those for promotion of practice faculty.

Visiting Assistant Professor, Visiting Associate Professor, Visiting Professor

An appointee to positions of visiting assistant professor, visiting associate professor, or visiting
professor will have demonstrated potential to help the department achieve its mission and to enhance its quality and reputation. Visiting faculty appointments may either be compensated or not compensated. Visiting faculty members on leave from an academic appointment at another institution are appointed at the rank held in that position. The rank at which other (non-faculty) individuals are appointed is determined by applying the criteria for appointment of tenure-track faculty. Visiting faculty members are not eligible for tenure or promotion. They may not be reappointed for more than three consecutive years at 100% FTE.

**Assistant Professor, Associate Professor, Professor with FTE below 50%**

Appointment at tenure-track titles is for individuals at 49% FTE or below, either compensated (1-49% FTE) or uncompensated (0% FTE). The rank of associated faculty with tenure-track titles is determined by applying the criteria for appointment of tenure-track faculty. Associated faculty members with tenure-track titles are eligible for promotion (but not tenure) and the relevant criteria are those for promotion of tenure-track faculty.

### 4.1.5. Regional Campus Faculty

In recognition of the differing mission of the regional campuses, relatively less weight will be placed on the quantity of an applicant’s scholarship for regional campus faculty appointments compared to Columbus campus appointments and more emphasis will be placed on teaching potential or excellence. However, candidates must be involved in recognized scholarly activity appropriate to the discipline of engineering education. The quality of scholarship of regional campus appointments is expected to be comparable to that of Columbus appointments. The length of probationary period for regional campus faculty is the same as that for Columbus faculty.

Regional campus criteria for the appointment of practice faculty, research faculty, and associated faculty are the same as those for Columbus campus faculty in each of these categories.

### 4.1.6. Emeritus Faculty

Emeritus faculty status is an honor given in recognition of sustained academic contributions to the university as described in Faculty Rule 3335-5-36. Full-time tenure track, practice, research, or associated faculty may request emeritus status upon retirement or resignation at the age of sixty or older with ten or more years of service or at any age with twenty-five or more years of service.

Faculty will send a request for emeritus faculty status to the department chair (regional campus dean for associated faculty on regional campuses) outlining academic performance and citizenship. The Committee of Eligible faculty (tenured and nonprobationary practice associate professors and professors) will review the application and make a recommendation to the department chair. The department chair will decide upon the request, and if appropriate submit it to the dean. If the faculty member requesting emeritus status has in the 10 years prior to the application engaged in serious dishonorable conduct in violation of law, rule, or policy and/or caused harm to the university’s reputation or is retiring pending a procedure according to Faculty Rule 3335-5-04, emeritus status will not be considered.

Emeritus faculty members in the Department of Engineering Education have the following
perquisites:

- Emeritus complimentary parking (application provided by the BOT).
- Emeritus permanent university ID card permitting library privileges.
- Continuing use of OSU e-mail account (requested by calling the Office of Information Technology's Help Line at 614-688-4357).
- Reduced membership fee offered by the Faculty Club.
- Use of recreational facilities on same basis as university faculty.
- Athletic tickets, including football ticket applications, offered by the Department of Athletics at university faculty prices.
- Emeritus faculty are eligible to receive campus-wide news publications issued by the university.
- Use of hotel contracts and car rental contracts with OSU/Big Ten.

Emeritus faculty may not vote at any level of governance and may not participate in appointment, promotion, and tenure matters. If an emeritus faculty member is employed by the department, e.g., teaching one or more courses, then the emeritus faculty member is an associated faculty. Emeritus faculty members who are not employed by the department:

- Will not be allocated office space, secretarial support, office supplies, or computer use, either at retirement or anytime thereafter
- May not participate in meetings involving personnel decisions
- May not participate in department faculty and staff meetings or committee meetings.
- Will not be included in the departmental faculty-staff listserv
- Will not have access to departmental files or shared folders

4.1.7 Courtesy Appointments for Faculty

Courtesy appointments are no-salary joint appointments for Ohio State faculty (tenure-track, practice, research, or associated faculty) from other tenure-initiating units. Candidates for such appointments will have significant experience in their areas of expertise and will be ready and able to engage effectively with the department's faculty in activities that help the department achieve its mission and enhance its quality and reputation. Appropriate active involvement includes scholarly collaboration, graduate student advising, teaching some or all of a course, or a combination of these. A courtesy appointment is made at the individual's current Ohio State rank, with promotion in rank recognized.

4.2. Procedures

The department follows the Policy on Faculty Recruitment and Selection and the Policy on Faculty Appointments, which provide important information on the following topics:
Recruitment of tenure-track, practice, research faculty, and associated faculty;

Appointments at senior rank or with prior service credit;

Hiring faculty from other institutions after April 30;

Appointment of foreign nationals; and

Letters of offer.

4.2.1. Tenure-Track Faculty on the Columbus Campus

A national search is required to ensure a diverse pool of highly qualified candidates for all tenure-track positions. Exceptions to this policy must be approved by the college and the Office of Academic Affairs in advance. Search procedures must entail substantial faculty involvement and be consistent with the university and college policies and practices set forth in the most recent update of the OAA Policy on Faculty Recruitment and Selection and The Women’s Place Resources for Effective Searches.

Searches for tenure-track faculty proceed as follows:

The dean of the college provides approval for the department to commence a search process. This approval may or may not be accompanied by constraints with regard to salary, rank, and field of expertise, and may or may not include guidance on faculty with the potential for appointments to more than one TIU.

The department chair appoints a search committee consisting of three or more faculty who reflect the field of expertise that is the focus of the search (if relevant) as well as other fields within the department. In the case of searches targeting jointly appointed faculty, the primary appointment TIU will be responsible for assembling the search committee, which must include at least one representative from the secondary TIU.

Prior to any search, members of the search committee must undergo inclusive hiring practices training available through the college with resources from the Office of Diversity and Inclusion and implicit bias training, such as that available through the Kirwan Institute for the Study of Race and Ethnicity.

The search committee:

- Includes a Diversity Advocate appointed by the department chair who is responsible for providing leadership in assuring that vigorous efforts are made to achieve a diverse pool of qualified applicants. The Diversity Advocate is responsible for ensuring that the committee process conforms with University Policy 1.10 Affirmative Action, Equal Employment Opportunity and Non-Discrimination/Harassment.

- Develops a search announcement for internal posting in the university Personnel Postings through the Office of Human Resources and external advertising (including through Academic Jobs Online), subject to the department chair’s approval. The announcement will be no more specific than is necessary to accomplish the goals of the search, since an offer cannot be made that is contrary to the content of the announcement with respect to rank, field, credentials, salary. In addition, timing for the receipt of applications will be stated as a preferred date, not a precise closing date, in order to allow consideration of
any applications that arrive before the conclusion of the search.

- Develops and implements a plan for external advertising and direct solicitation of nominations and applications.

- Screens applications and presents to the full faculty a summary of those applicants (minimum of two, and usually three to five) judged worthy of interview. On-campus and/or virtual interviews are arranged by the search committee chair, assisted by the department office. In the case of searches targeting jointly appointed faculty, the primary appointment TIU will have responsibility in identifying candidates for interview, while all potential TIUs are to be included in the interview process.

On-campus and/or virtual interviews with candidates must include opportunities for interaction with faculty groups, including the search committee; graduate students; the department chair(s); and the dean or designee. In addition, all candidates make a presentation to the faculty and graduate students on their scholarship and teaching. The latter could be an actual class or a mock instructional situation. All candidates interviewing for a particular position must follow the same interview format and relevant accommodations for disability/impairment should be provided. In the case of searches targeting jointly appointed faculty, the presentation will be arranged by the primary appointment TIU and should be attended by relevant faculty from all proposed TIUs.

Following completion of on-campus and/or virtual interviews, EED faculty and staff will be given an opportunity to provide feedback about tenure-track faculty candidates’ faculty application packages to the search committee. The eligible faculty of all proposed TIUs will meet within each TIU to discuss perceptions and preferences, and to vote on each candidate. The search committee reports a recommendation on each candidate to the department chair of each TIU, which then conveys that preference to the department chair of the primary appointment TIU.

If the offer involves senior rank, the eligible faculty members vote also on the appropriateness of the proposed rank. If the offer may involve prior service credit, the eligible faculty members vote on the appropriateness of such credit. The eligible faculty reports a recommendation on the appropriateness of the proposed rank or the appropriateness of prior service credit to the department chair.

In the event that more than one candidate achieves the level of support required to extend an offer, the department chair of the primary appointment TIU decides which candidate to approach first. The details of the offer, including compensation, are determined by the department chair of the primary appointment TIU.

The department is advised to discuss potential appointment of a candidate requiring sponsorship for permanent residence or nonimmigrant work-authorized status with the Office of International Affairs. The university will not grant tenure unless an individual is a (1) U.S. citizen or national; (2) permanent resident (“green card” holder); (3) asylee or refugee; or (4) an individual otherwise described as a “protected individual” pursuant to Title 8 U.S. Code Section 1324b(a)(3)(b). The department will therefore be cautious in making such appointments and vigilant in seeking residency status for the appointee promptly and diligently.

4.2.1. Practice Faculty
Searches for practice faculty generally proceed identically as for tenure-track faculty, with the following two exceptions: (1) the candidate's presentation during the on-campus or virtual interview may be on professional or educational practice rather than scholarship in engineering education and (2) requests for exemption from a national search require approval only by the college dean.

4.2.2. Research Faculty

Searches for research faculty generally proceed identically as for tenure-track faculty, with the following two exceptions: (1) during the on-campus or virtual interview the candidate is not asked to present on teaching and (2) requests for exemption from a national search require approval only by the college dean.

4.2.3. Transfer from the Tenure-Track

Tenure-track faculty may transfer to a practice or research appointment if appropriate circumstances exist. Tenure is lost upon transfer, and transfers must be approved by the department chair, the college dean, and the executive vice president and provost.

The request for transfer must be initiated by the faculty member in writing and must state clearly how the individual’s career goals and activities have changed.

Transfers from a practice appointment and from a research appointment to the tenure-track are not permitted. Practice faculty members and research faculty members may apply for tenure-track positions and compete in regular national searches for such positions.

4.2.4. Associated Faculty

Appointment of all compensated associated faculty will occur via interviews with a committee that consists of the Associate Chair for Undergraduate Studies and Learning Infrastructure, the respective program director and/or course coordinator in the area in which the faculty candidate is applying, and peers. As part of the interview process, a candidate will micro teach (e.g., make a short presentation with accompanying slides, if necessary, for a course they might teach within their role). The interview committee will make recommendations to the chair, or other designee, for potential appointees, and offers will be made. If the initial appointment occurs within three weeks of the start of the semester or at a time when faculty on nine-month appointments are off duty, then the Associate Chair for Undergraduate Studies and Learning Infrastructure and the director of the program to which the candidates have applied will both interview the candidates. These interviews may occur in separate meetings or in a single meeting. The associate chair and program director will meet and formulate recommendations that will be submitted to the chair, or designee.

Initial appointments for lecturer and senior lecturers are generally made for a one-year period. Semester-by-semester, shorter, or longer periods will be considered in unusual circumstances and a justification for the exception to the one-year appointment guideline will be documented. All appointments for lecturers and senior lecturers expire at the end of the appointment term and must be formally renewed to be continued.

If they meet the eligibility criteria, lecturers and senior lecturers being reappointed to the department may apply for appointment via multi-year or rolling contracts via the process.
Review and reappointment are described in Section 5.6.

Other compensated appointments are generally made for a period of one year, unless a shorter or longer period is appropriate to the circumstances.

Appointment and reappointment of uncompensated adjunct or visiting faculty may be proposed by any faculty member in the department and are decided by the department chair or designee.

Appointments for visiting assistant professors, visiting associate professors, or visiting professors may be made for one term of up to three years or on an annual basis for up to three consecutive years. All associated visiting appointments expire at the end of the appointment term and must be formally renewed to be continued.

### 4.2.5. Regional Campus Faculty

The hiring of regional campus tenure-track faculty is initiated by the dean of the regional campus since funding for such positions comes from these campuses. The regional campus faculty have the primary responsibility for determining the position description for a regional campus faculty search, but it should consult with and reach agreement on the description with the department chair (or chairs in the case of proposed jointly appointed faculty). The regional campus search committee must include at least one representative from the Columbus campus unit that will be the primary appointment TIU. Candidates are interviewed by, at a minimum, the regional campus dean, department chair, and regional campus search committee. The regional campus may have additional requirements for the search not specified in this document. All appointments are subject to approval by the college dean and all senior rank appointments are subject to approval by OAA.

A decision to make an offer requires agreement by the department chair and regional campus dean. Until agreement is reached, negotiations with the candidate may not begin, and the letter of offer must be signed by the department chair(s) of all proposed TIU appointments and the regional campus dean.

No tenure-track appointment to a regional campus faculty position in EED will be made if it would result in the total number of FTE tenure-track faculty in EED on all regional campuses exceeding 20% of the number of FTE tenure-track faculty in the department on Columbus campus.

The process of hiring of regional campus practice faculty and regional campus research faculty is the same as that described above for tenure-track faculty.

No practice appointment to a regional campus faculty position in EED will be made if it would result in the total number of FTE practice faculty in EED exceeding 20% of the number of FTE faculty in the department.

Associated faculty are appointed by the regional campus associate dean, in consultation with the dean/director, department chair, program coordinators, and other relevant faculty members

### 4.2.6. Courtesy Appointments for Faculty

Any EED faculty member may propose a 0% FTE (courtesy) appointment for a tenure-track,
practice, research, or associated faculty member from another Ohio State department. A proposal that describes the uncompensated academic service to the department justifying the appointment is considered at a regular faculty meeting. If the proposal is approved by the eligible faculty, the department chair extends an offer of appointment. The department chair reviews all courtesy appointments every three years to determine whether they continue to be justified and takes recommendations for renewal or nonrenewal before the faculty for a vote at a regular meeting.

5. Annual Performance and Merit Review Procedures

The department follows the requirements for annual reviews as set forth in the Policy on Faculty Annual Review and Reappointment, which stipulates that such reviews must include a scheduled opportunity for a face-to-face or virtual meeting as well as a written assessment. According to the policy, the purposes of the review are to:

- Assist faculty in improving professional productivity through candid and constructive feedback and through the establishment of professional development plans;
- Establish the goals against which a faculty member’s performance will be assessed in the foreseeable future; and
- Document faculty performance in the achievement of stated goals in order to determine salary increases and other resource allocations, progress toward promotion, and, in the event of poor performance, the need for remedial steps.

Annual reviews of all faculty members must include a scheduled opportunity for a face-to-face meeting as well as a written assessment. In the case of jointly appointed faculty, the face-to-face or virtual meeting is to include the TIU department chairs and/or designees for all the TIUs to which the faculty member is appointed, while the written evaluation is to be prepared by the primary TIU chair or designee and signed by all of the TIU chairs or designees present at the meeting. The annual performance and merit reviews of every faculty member are based on expected performance in teaching, scholarship, and service as set forth in the department’s guidelines on faculty duties and responsibilities; on any additional assignments and goals specific to the individual; on progress toward promotion where relevant; and for jointly appointed faculty, to evaluate progress relative to the expectations of each TIU to which the faculty member is appointed. Meritorious performance in teaching, scholarship, and service is assessed in accordance with the same criteria that form the basis for promotion decisions.

In addition to the annual review by the department chair, a review aligned with faculty’s reappointment, promotion, and tenure is conducted by a subcommittee of the Promotion and Tenure Committee. This subcommittee provides general feedback to faculty members at critical junctures (e.g., 3rd year promotion and tenure review) prior to their reappointment, promotion, and tenure about their general accomplishments and progress towards promotion. The documentation noted below is shared with the subcommittee, and the subcommittee provides each faculty member with feedback, either written or by meeting with the faculty member.

The department chair is required (per Faculty Rule 3335-3-35) to include a reminder in the annual review letter that all faculty have the right (per Faculty Rule 3335-5-04) to view their
primary personnel file and to provide written comment on any material therein for inclusion in the file.

5.1. Documentation

For their annual performance and merit review, faculty members must submit the following document to the department chair in spring semester (the department chair will annually provide approximately one month’s notice to all faculty of the exact due date of this material):

- Office of Academic Affairs dossier, *Policies and Procedures Handbook*, Volume 3 (*required for probationary faculty*) or updated documentation of performance and accomplishments (*non-probationary faculty*). Faculty will be asked to highlight contributions to their dossier that occurred during the past year.

- Updated CV, which will be made available to all faculty in an accessible place (*all faculty*)

- Self-reflection with the following sections: (1) evaluation of goals set for the previous year, including comments on goals that were not achieved and (2) future plans, including objectives to be achieved in the upcoming year as well as longer-term goals

Supplementary information may be offered by the faculty member or may be requested by the department chair. All the materials submitted by the faculty member as part of the annual review are included in that faculty member’s personnel file.

Other documentation for the annual performance and merit review will be the same as that for consideration for promotion and/or tenure. That documentation is described in Section 6 of this document.

Under no circumstances should faculty solicit evaluations from any party for purposes of the annual performance and merit review, as such solicitation places its recipient in an awkward position and produces a result that is unlikely to be candid.

In addition to the annual review by the department chair, a review aligned with faculty’s reappointment, promotion, and tenure is conducted by a subcommittee of the Promotion and Tenure Committee. This subcommittee provides general feedback to faculty members at critical junctures (e.g., 3rd year promotion and tenure review) prior to their reappointment, promotion, and tenure about their general accomplishments and progress towards promotion. The dossier with annual activity highlighted is shared with the subcommittee, and the subcommittee provides each faculty member with feedback, either written or by meeting with the faculty member.

5.2. Probationary Tenure-Track Faculty

Background: Faculty Rule 3335-6-03.

The department chair will prepare a written annual review for each probationary tenure-track faculty member. This review will be conducted as follows:

- In the second year of the candidate's appointment, with written feedback from the
Promotion and Tenure Committee;

- In other years in which a more elaborate formal review is not required, with the advice of a subcommittee of the Promotion and Tenure Committee consisting of at least two members selected annually at a meeting of the Promotion and Tenure Committee.

The review will be based on relevant materials including the documentation (see Section 5.1 above) submitted by the faculty member, and normally will be given to the faculty member before the end of spring semester. The review will summarize strengths and weaknesses, contain a clear statement of the area(s) of performance needing improvement, and whenever possible suggest ways and means to bring about improved performance.

The department chair then will meet with the faculty member to discuss the review, which includes a recommendation on whether to renew the probationary appointment. In the case of jointly appointed faculty, the department chairs of all TIUs within the college to which the faculty member has been appointed must meet simultaneously with the faculty member in this meeting. The meeting must also include some discussion of the relative requirements and progress for each TIU relative to the percent appointment to the TIU.

If the department chair of the TIU holding the primary appointment recommends renewal of the appointment, this recommendation is final. The department chair's annual review letter to the faculty member renews the probationary appointment for another year and includes content on future plans and goals. The faculty member may provide written comments on the review. The department chair's letter (along with the faculty member's comments, if received) is forwarded to the dean of the college. In addition, the annual review letter becomes part of the cumulative dossier for promotion and tenure (along with the faculty member's comments, if he or she chooses).

If the department chair recommends nonrenewal, the Fourth-Year Review process (per Faculty Rule 3335-6-03) is invoked. Following completion of the comments process, the complete dossier is forwarded to the college for review and the dean makes the final decision on renewal or nonrenewal of the probationary appointment.

5.2.1 Fourth Year Review

The fourth-year review normally will be conducted during the spring semester of the candidate's fourth year of service and will be conducted similarly to a promotion and tenure review (see Section 6, with promotion and tenure criteria applied with respect to achievement to date and potential for achievement till the promotion and tenure review). The Promotion and Tenure Committee vote by written ballot to determine whether or not to recommend renewal of the faculty member’s appointment. The department chair of the primary appointment TIU, in consultation with the chairs of the secondary appointment TIUs (if applicable), conducts an independent assessment of performance and prepares a written evaluation that includes a recommendation on whether to renew the probationary appointment. At the conclusion of the departmental review, the formal comments process (per Faculty Rule 3335-6-04) is followed and the case is forwarded to the college for review, regardless of whether the department chair recommends renewal or nonrenewal. Other than the later review in the semester, the only major differences in procedure are that external evaluation letters are optional and the dean, not the department chair, makes the final decision regarding renewal or nonrenewal of the
probationary appointment.

5.2.2 Exclusion of Time from Probationary Period

Faculty Rule 3335-6-03 (D) sets forth the conditions under which a probationary tenure-track faculty member may exclude time from the probationary period. Additional procedures and guidelines can be found in the Office of Academic Affairs Policies and Procedures Handbook.

5.3. Tenured Faculty

The annual review evaluates the performance of tenured faculty members in the areas of teaching, research, and service and, in the case of associate professors, their progress toward promotion. The annual review is intended to encourage and advise faculty members in their professional development, and to identify departmental resources that may aid in furthering that development.

The annual review of professors is based on their having achieved sustained excellence in the discovery and dissemination of new knowledge relevant to the mission of the tenure initiating unit, as demonstrated by national and international recognition of their scholarship; ongoing excellence in teaching, including their leadership in graduate education in both teaching and mentoring students; and outstanding service to the department, the university, and their profession, including their support for the professional development of assistant and associate professors. Professors are expected to be role models in their academic work, interaction with colleagues and students, and in the recruitment and retention of junior colleagues. As the highest-ranking members of the faculty, the expectations for academic leadership and mentoring for professors exceed those for all other members of the faculty. If a professor has an administrative role, the impact of that role and other assignments will be considered in the annual review.

The department chair will prepare a written annual review for each tenured faculty member. The review will be based on the documentation submitted by the faculty member (see Section 5.1 above), and normally will be given to the faculty member before the end of spring semester. The review will summarize strengths and weaknesses, contain a clear statement of the area(s) of performance needing improvement, and whenever possible suggest ways and means to bring about improved performance. In the case of jointly appointed faculty, these reviews should include assessments from all TIUs to which the faculty member has been appointed within the college.

The department chair will meet with the faculty member to discuss the review, and the faculty member will be offered an opportunity to comment on the review in writing. If necessary, the department chair will prepare a response to the faculty member's comments, and a copy of this new statement will be sent to the faculty member. A copy of all summary statements and responses, if any, will be included in the faculty member's personnel file.

5.4. Practice Faculty
The department chair will prepare a written annual review for each practice faculty member of each rank. The review will be based on relevant materials including the documentation (see Section 5.1 above) submitted by the faculty member, and normally will be given to the faculty member before the end of spring semester. The review will summarize strengths and weaknesses, contain a clear statement of the area(s) of performance needing improvement, and whenever possible suggest ways and means to bring about improved performance. In the case of jointly appointed faculty, these reviews should include assessments from all TIUs to which the faculty member has been appointed within the College.

In the penultimate contract year of a practice faculty member's appointment, the primary appointment department chair must determine whether the position held by the faculty member will continue. In the case of jointly appointed faculty, this evaluation is to be prepared in consultation with chairs of any secondary appointment TIUs and is to be signed by all chairs of TIUs to which the faculty member has been appointed if within the College. If the position will not continue, the faculty member is informed that the final contract year will be a terminal year of employment. The standards of notice set forth in Faculty Rule 3335-6-08 will be observed.

If the position continues, the annual review procedure will include an additional stage to be completed before the end of the penultimate year of the faculty member's current appointment contract. The department chair will appoint an ad hoc committee consisting of both practice faculty and tenure-track faculty. The former will be selected by the department chair. The latter will be a subset of the Promotion and Tenure Committee, selected by the department chair in consultation with the Promotion and Tenure Committee chair. This committee will review the cumulative performance of the faculty member whose appointment contract term is ending and will make recommendations to the department chair regarding whether the contract should be renewed, and if so whether the faculty member should be considered for promotion to the next practice faculty rank (in which case see Section 67). The department chair will make the final decision for renewal or nonrenewal of the contract. There is no presumption of contract renewal.

The department chair will meet with the faculty member to discuss the review, and the faculty member will be offered an opportunity to comment on the review in writing. If necessary, the department chair will prepare a response to the faculty member's comments, and a copy of this new statement will be sent to the faculty member. The primary appointment department chair letter (along with the faculty member's comments, if received) is forwarded to the dean of the college. A copy of all summary statements and responses, if any, will be included in the faculty member's personnel file.

5.5. Research Faculty

The department chair will prepare a written annual review for each research faculty member of each rank. The review will be based on the documentation (see Section 5.1 above) submitted by the faculty member, and normally will be given to the faculty member before the end of spring semester. The review will summarize strengths and weaknesses, contain a clear statement of the area(s) of performance needing improvement, and whenever possible suggest ways and means to bring about improved performance. In the case of jointly appointed faculty, these reviews

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should include assessments from all TIUs to which the faculty member has been appointed within the College.

In the penultimate contract year of a research faculty member's appointment, the primary appointment department chair must determine whether the position held by the faculty member will continue. If the position will not continue, the faculty member is informed that the final contract year will be a terminal year of employment. The standards of notice set forth in Faculty Rule 3335-6-08 will be observed. In the case of jointly appointed faculty, this evaluation is to be prepared in consultation with chairs of any secondary appointment TIUs and is to be signed by all chairs of TIUs to which the faculty member has been appointed if within the College.

If the position continues, the annual review procedure will include an additional stage to be completed before the end of the penultimate year of the faculty member's current appointment contract. The department chair will appoint an ad hoc committee consisting of tenure-track and research faculty. The research faculty will be selected by the department chair. The tenure-track faculty will be a subset of the Promotion and Tenure Committee, selected by the department chair in consultation with the Promotion and Tenure Committee chair. This committee will review the cumulative performance of the faculty member whose appointment contract term is ending and will make recommendations to the department chair regarding whether the contract should be renewed, and if so whether the faculty member should be considered for promotion to the next research faculty rank (in which case see Section 6). The department chair will make the final decision for renewal or nonrenewal of the contract. There is no presumption of contract renewal.

The department chair will meet with the faculty member to discuss the review, and the faculty member will be offered an opportunity to comment on the review. If necessary, the department chair will prepare a response to the faculty member's comments, and a copy of this new statement will be sent to the faculty member. The primary appointment department chair letter (along with the faculty member's comments, if received) is forwarded to the dean of the college. A copy of all summary statements and responses, if any, will be included in the faculty member's personnel file.

5.6. Associated Faculty

Compensated associated faculty members in their initial appointment must be reviewed before reappointment. The department chair or designee prepares a written evaluation and meets with the faculty member to discuss performance, plans, and goals as per EED Pattern of Administration. The respective program director and/or course coordinator may provide support materials for annual review. The recommendation on renewal or nonrenewal of the appointment is final.

Compensated associated faculty members on a multiple-year appointment are reviewed annually by the department chair or designee before reappointment or extension of the multi-year or rolling contract. The department chair or designee prepares a written evaluation and meets with the faculty member to discuss performance, plans, and goals as per EED Pattern of Administration. Respective program director and/or course coordinator may provide support...
materials for annual review. The recommendation on renewal or nonrenewal of the
appointment is final. For multi-year contracts, the chair will decide whether or not to reappoint
by no later than end of the penultimate year of the contract. For rolling contracts, the chair will
decide whether or not to reappoint by no later than end of the first year of the contract. The
department chair’s recommendation on reappointment is final.

Lecturers and Senior Lecturers appointed under at least 75% FTE will both be eligible for multi-
year and rolling contracts. The appendix of this document (Section 11) provides a description of
the eligibility criteria and process for multi-year and rolling contracts.

5.7. Regional Campus Faculty

The annual performance and merit review of a regional campus probationary tenure-track or
tenured faculty member is first conducted on the regional campus, with a focus on teaching and
service. The review then moves to the primary appointment TIU and proceeds as described
above. In the event of divergence in performance assessment between the regional campus and
the department, the department chair discusses the matter with the regional campus
dean/director in an effort to clarify and reconcile the divergence, so that the faculty member
receives consistent assessment and advice. In the case of jointly appointed faculty, these
discussions are to include the TIU chairs or designees for all TIUs to which the faculty member
has been appointed.

The annual performance and merit review of a regional campus practice faculty member is
conducted on the regional campus. The dean/director will provide the department chair a copy
of the practice faculty member’s annual performance and merit review letter.

The annual performance and merit review of regional campus research faculty is conducted by
the department and proceeds as described above for Columbus campus research faculty. The
department chair will provide the regional campus dean/director a copy of the faculty member’s
annual performance and merit review letter.

The annual performance and merit review of regional campus associated faculty is conducted
entirely on the regional campus.

5.8. Salary Recommendations

The department chair makes annual salary recommendations to the dean, who may modify
them. The recommendations are based on the current annual performance and merit review as
well as on the performance and merit reviews of the preceding 24 months.

In formulating recommendations, the department chair consults with the Promotion and Tenure
Committee. As a general approach to formulating salary recommendations, the department
chair divides faculty into at least four groups based on continuing productivity (high, average,
low, and unsatisfactory) and considers market and internal equity issues. Salary increases should
be based upon these considerations.

A salary increase can consist of one or more of the following: mandatory increases as dictated
across the board by the college, market salary adjustments, and merit increases. The procedures
and criteria described below are related to the merit component of a salary increase. The
separate procedures and criteria related to the merit component of a salary increase for
lecturers and senior lecturers may be found in the PPAPC.

Faculty on leave for part or all of an academic year will be evaluated without prejudice for being
on leave. If an individual is away for part of an academic year, then the evaluation of teaching
will be based on any course(s) taught while present. A similar procedure will be followed for
evaluation of department and university service.

Faculty with high-quality performance in all three areas of endeavor and a pattern of consistent
professional growth will necessarily be favored. Faculty members whose performance is
unsatisfactory in one or more areas are likely to receive minimal or no salary increases.

Faculty members who wish to discuss dissatisfaction with their salary increase with the
department chair should be prepared to explain how their salary (rather than the increase) is
inappropriately low, since increases are solely a means to the end of an optimal distribution of
salaries.

Faculty members who fail to submit the required documentation (see Section 5.1 above) for an
annual performance and merit review at the required time will receive no salary increase in the
year for which documentation was not provided, except in extenuating circumstances, and may
not expect to recoup the foregone raise at a later time.

6. Promotion and Tenure Reviews

6.1. Definitions

6.1.1. Teaching
Teaching in the Department of Engineering Education for all tracks (tenure-track, practice,
research, and associated) and ranks uses the definitions in the APT document for the College of
Engineering. Evaluation of teaching in the Department of Engineering Education for all tenure-
track, practice, and associated faculty at all ranks (including jointly appointed faculty) is based on
descriptions of evidence in the APT document for the College of Engineering.

6.1.2. Scholarship
Scholarship in the Department of Engineering Education for all tracks (tenure-track, practice,
research, and associated) and ranks uses the definitions in the APT document for the College of
Engineering. Evaluation of scholarship in the Department of Engineering Education for all tracks
(tenure-track, practice, research, and associated) and ranks is based on descriptions of evidence
in the APT document for the College of Engineering.

6.1.3. Service
Service in the Department of Engineering Education for all tenure-track, practice, and research
faculty at all ranks (including jointly appointed faculty) uses the definitions in the APT document
for the College of Engineering. Evaluation of service in the Department of Engineering Education
for all tenure-track, practice, and research faculty at all ranks (including jointly appointed faculty) is based on descriptions of evidence in the APT document for the College of Engineering. Elaboration is not required.

6.2. Criteria and Documentation that Support Promotion

Every candidate considered for promotion is held to a high standard of excellence in all aspects of performance. Above all, candidates are held to a very high standard of excellence in the areas central to their responsibilities. For example, if a candidate’s primary teaching role is and will continue to be undergraduate teaching, then excellence in undergraduate teaching is required. A mediocre performance in this area would not be adequately counterbalanced by excellent performance in another aspect of teaching that is a significantly smaller part of the individual’s responsibilities.

6.2.1. Promotion to Associate Professor with Tenure

Background: Faculty Rule 3335-6-02.

The criteria for an appointment to an assistant professor position (Section 4.1.1) involve potential. The criteria for promotion to associate professor with tenure involve achievement of an overall record that meets expectations (see Section 6.4.) combined with the potential for higher and more sustained achievement. The candidate must show strong and sustained evidence of substantial promise for continued growth and productivity. Scholarship will be a critical evaluation component in the tenure and promotion process. A faculty member with an average scholarship record will not be granted tenure even if he/she has an excellent teaching and service record. Specific criteria are:

- achievement of a record in teaching that meets expectations, both in the classroom and in student advising;
- achievement of a record in scholarship that meets expectations, associated usually with scholarship that enhances the state-of-the-art in engineering education and that has led to the establishment of an independent research identity and reputation;
- achievement of a record of service that meets expectations, including service to the profession, to the university, and/or to the department;
- demonstration of professional and ethical conduct consistent with the AAUP Statement on Professional Ethics; and
- a strong potential to achieve higher and more sustained levels of accomplishment and thereby to advance to professor.

In summary, tenure will be reserved for faculty members who (a) have made substantive achievements in scholarship and clearly demonstrated potential to become distinguished scholars and recognized leaders in engineering education, (b) are effective teachers in the classroom and advisors outside the classroom, and (c) provide high quality service to the university and to the community.

Tenure is not awarded below the rank of associate professor at The Ohio State University.
Section 6.4. lists the typical examples of evidence to support a case for promotion, and the methods that will be used to evaluate this evidence.

6.2.2. Promotion to Professor

Background: Faculty Rule 3335-6-02.

The criteria for promotion to professor involve sustained achievement combined with the attainment of distinction in the field. They are:

- sustained achievement of a record in teaching that meets expectations, both in the classroom and in student advising;
- sustained achievement of a record in scholarship that meets expectations, associated usually with scholarship that enhances the state-of-the-art in engineering education;
- sustained achievement of a record of service that meets expectations, including service to the profession, to the university, and/or to the department;
- demonstration of professional and ethical conduct consistent with the AAUP Statement on Professional Ethics; and
- a total body of high-quality work and recognition as a leader in the field of engineering education that leads to national or international distinction.

When assessing a candidate’s national and international reputation in the field, a national and international reputation for the scholarship of teaching may be counted as either teaching or scholarship.

In addition, as further specified by Faculty Rule 3335-6-02, assessment is in relation to specific assigned responsibilities with reasonable flexibility being exercised in order to balance, where the case requires, heavier responsibilities and commitment in one area against lighter ones in another. Promotion should reflect the reality that (a) not all faculty members have the same distribution of assignments (b) not all faculty members will be able to contribute excellence equally in all evaluation dimensions; and (c) there is a multi-faceted institutional responsibility that must be achieved by the skills of the faculty collectively. Promotion to professor should be awarded not only to those faculty who have demonstrated impact in their scholarship of research and creative inquiry, teaching and learning, and service, but also to those who have exhibited excellence in leadership to make visible and demonstrable impact upon the mission of the department, college, and university.

Section 6.4. lists the typical examples of evidence to support a case for promotion, and the methods that will be used to evaluate this evidence.

In the evaluation of untenured professors for tenure, the same criteria apply, along with any others established in writing at the time a senior rank appointment without tenure was offered.

6.2.3. Promotion of Practice Faculty

Background: Faculty Rule 3335-7-05.

Promotion to Associate Professor of Practice

The criteria for promotion to associate professor of practice involve achievement combined with
the potential for sustained and higher achievement. Specifically, they are:

- achievement of a record that meets expectations in teaching courses for which the department is responsible, and a potential for exceeding expectations in teaching;
- achievement of a record that meets expectations in student advising responsibilities appropriate for the position;
- achievement of a record that meets expectations of contribution to scholarship, typically based on applying either (1) their academic expertise and experience to scholarship in engineering education and related academic fields, which includes, but is not limited to academic program development or (2) their expertise and experience outside of the engineering education academic field to academic program development involving professional practice and related state-of-the-practice activities that directly engage students;
- achievement of a record that meets expectations for service, including service to the profession, to the university, and/or to the department, and a potential for exceeding expectations in service;
- demonstration of professional and ethical conduct consistent with the AAUP Statement on Professional Ethics; and
- strong potential to achieve higher and more sustained levels of accomplishment and thereby to advance to professor of practice.

Teaching, academic program development, and scholarly contributions that meet or exceed expectations are the most critical evaluation components in the promotion process. Service is also an important criterion in the evaluation. The candidate must show strong and sustained evidence of substantial promise for continued growth and productivity.

**Promotion to Professor of Practice**

The criteria for promotion to professor of practice involve sustained achievement combined with the attainment of distinction in the field. They are:

- sustained achievement of a record that meets expectations in teaching courses for which the department is responsible;
- sustained achievement of a record that meets expectations in student advising responsibilities appropriate for the position;
- sustained achievement of a record that meets expectations for contribution to scholarship, typically based on applying either (1) their academic expertise and experience to scholarship in engineering education and related academic fields, which includes, but is not limited to academic program development or (2) their expertise and experience outside of the engineering education academic field to academic program development involving professional practice and related state-of-the-practice activities that directly engage students;
- sustained achievement of a record that meets expectations of service, including service to the profession, to the university, and/or to the department, and a potential for exceeding
expectations in service;

- demonstration of professional and ethical conduct consistent with the AAUP Statement on Professional Ethics; and

- a total body of high-quality work and recognition as a leader in the field that leads to national or international distinction in at least one of teaching, scholarship, or service.

Section 6.4. lists the typical examples of evidence to support a case for promotion, and the methods that will be used to evaluate this evidence.

6.2.4. Promotion of Research Faculty

Background: Faculty Rule 3335-7-32.

Promotion to Research Associate Professor

The criteria for an appointment to a research assistant professor position (Section 4.1.3) involve potential. The criteria for promotion to research associate professor involve achievement combined with the potential for higher and more sustained achievement. They are:

- achievement of a record that meets expectations in graduate student advising, and a potential for exceeding expectations in advising;
- achievement of a record that meets expectations in scholarship, associated primarily with scholarship that enhances the state-of-the-art in engineering education;
- demonstration of a sustainable research portfolio at designated funding levels;
- achievement of a record that meets expectations for service to the department;
- demonstration of professional and ethical conduct consistent with the AAUP Statement on Professional Ethics; and
- strong potential to achieve higher and more sustained levels of accomplishment and thereby to advance to research professor.

Scholarship will be a critical evaluation component in the promotion process. The candidate must show strong and sustained evidence of substantial promise for continued growth and productivity.

Promotion to Research Professor

The criteria for promotion to research professor involve sustained achievement combined with the attainment of distinction in the field. They are:

- sustained achievement of a record that meets expectations in graduate student advising;
- sustained achievement of a record that meets expectations in scholarship, associated primarily with scholarship that enhances the state-of-the-art in engineering education;
- demonstration of a sustainable research portfolio at designated funding levels;
- sustained achievement of a record that meets expectations for service to the department;
- demonstration of professional and ethical conduct consistent with the AAUP Statement on Professional Ethics; and
• a total body of high-quality work and recognition as a leader in the field that leads to
national or international distinction.

Section 6.4 lists the typical examples of evidence to support a case for promotion, and the
methods that will be used to evaluate this evidence.

6.2.5. Promotion of Lecturers

Eligibility: Promotion to Senior Lecturer

The criteria for promotion to senior lecturer involve achievement combined with the potential
for higher and more sustained achievement. They are:

• achievement of a record that meets expectations in teaching courses involving
  professional practice in engineering, engineering education, and/or a related field;
• demonstration of professional and ethical conduct consistent with the AAUP Statement on
  Professional Ethics; and
• strong potential for sustained levels of accomplishment.

Effective teaching, academic program development, program assessment, course development,
and other activities oriented toward student learning and its evaluation will be weighted most
heavily in the promotion process. The candidate must show strong and sustained evidence of
potential for continued growth and productivity, which should be documented in the candidate’s
dossier. More detail on the criteria, processes, and documentation regarding promotion of
lecturers to senior lecturers can be found in the PPAPC.

6.2.6. Regional Campus Faculty

Background: Faculty Rule 3335-6-07.

Expectations for regional campus faculty differ somewhat from those for faculty on the
Columbus campus. The primary mission of the regional campuses is to provide high quality
undergraduate education and serve the academic needs of their communities. Therefore, the
relative emphasis on teaching and service expected of regional campus faculty will typically be
greater. While the department expects regional campus faculty to achieve a record of high-
quality scholarship and publications, it recognizes that greater teaching and service
commitments and less access to research resources for regional campus faculty require different
research expectations. In general, regional campus tenure-track faculty are not expected to have
a research output that is as high as that for Columbus campus faculty, but the overall quality of
this research is expected to be comparable.

In evaluating regional campus practice faculty, research faculty, and associated faculty for
promotion, the department will use the same criteria as described above for the promotion of
faculty in each of these categories.

6.3. Procedures

Background: Faculty Rule 3335-6-04 and Faculty Rule 3335-7-08.

The department’s procedures for promotion and tenure and promotion reviews are fully
consistent with those set forth in Faculty Rules and the Office Academic Affairs annually updated procedural guidelines for promotion and tenure reviews found in Volume 3 of the Policies and Procedures Handbook. The following sections, which state the responsibilities of each party to the review process, apply to all tenure-track, practice, and research faculty in the department. Policies and procedures for promotion of lecturers to senior lecturer are described in the PPAPC.

6.3.1. Candidate Responsibilities

Candidates for promotion and tenure or promotion are responsible for submitting a complete, accurate dossier and providing a copy of the APT under which they wish to be reviewed. If external evaluations are required, candidates are responsible for reviewing the list of potential external evaluators compiled for their case according to TIU guidelines. Each of these elements is described in detail below.

6.3.1.1 Dossier

Candidates are responsible for submitting a complete, accurate dossier fully consistent with Office of Academic Affairs guidelines. Candidates should not sign the Office of Academic Affairs Candidate Checklist without ascertaining that they have fully met the requirements set forth in the Office of Academic Affairs core dossier outline including, but not limited to, those highlighted on the checklist. Supplementary documentation may be offered by the candidate or may be requested by the Promotion and Tenure Committee chair or the department chair.

In teaching, scholarship, and service, and in a few specific subcategories of each (outlined below), ratings of the candidate’s record will be provided on a scale ranging from does not meet expectations, meets expectations, to exceeds expectations. A record that “meets expectations” is a minimal expectation for promotion in that category. The Promotion and Tenure Committee rarely will rate the record of a promotion candidate as “does not meet expectations,” but these ratings may be seen more frequently in annual or fourth-year reviews in situations where substantial improvement is required to meet expectations.

While the Promotion and Tenure Committee makes reasonable efforts to check the dossier for accuracy and completeness, the candidate bears full responsibility for all parts of the dossier that are to be completed by him or her.

The time period for teaching documentation to be included in the dossier for probationary faculty is the start date to present. For tenured or nonprobationary faculty it is the date of last promotion or the last five years, whichever is less, to present.

The time period for scholarship documentation to be included in the dossier for probationary faculty is the start date to present. For tenured or nonprobationary faculty it is the date of last promotion to present. All scholarship outcomes will be reviewed for increasing independence over time. There should also be an increasing trajectory of significant scholarly outcomes over time.

The time period for service documentation to be included in the dossier for probationary faculty is the start date to present. For tenured or nonprobationary faculty it is the date of last promotion to present.

The complete dossier is forwarded when the review moves beyond the department. The
The documentation of teaching is forwarded along with the dossier. The documentation of scholarship and service is for use during the department review only unless reviewers at the college and university levels specifically request it.

Documentation for promotion and tenure or promotion is described below.

**Teaching**

The teaching component of the review will include summary evaluations of classroom teaching, curricular development, and advising of students. Expected documentation for these components is described in the follow three sections. Candidates are required to include peer evaluations of teaching as part of the dossier.

**Classroom Teaching**

Evidence that will be evaluated to assess the classroom teaching sub-category of teaching will include:

- Student evaluations of instruction (SEI) (a summary of SEIs and, in particular, SEI comments is presented in writing from another faculty member as part of the dossier)
- Peer observations of instruction

Evidence that will be evaluated to assess the classroom teaching sub-category of teaching could include:

- Awards for teaching
- Individual letters (not solicited by the candidate) from former students regarding teaching effectiveness
- Letters (not solicited by the candidate) from other faculty regarding teaching effectiveness

The EED approach to teaching assessment and feedback has two required components. Direct formal assessment of teaching will be conducted using the OSU student evaluation of instruction (SEI) questionnaires and the department’s official peer evaluation of teaching forms. A faculty member other than the candidate must create a summary of SEIs paying particular attention to student comments. This summary becomes part of the dossier. SEIs will be used in each regular course.

At least two peer reviews of teaching (by faculty selected in consultation with the Promotion and Tenure Committee chair) will be conducted within one year before the fourth-year review and any promotion and/or tenure review. Reports from the peer reviews will be part of the candidate's record to be considered in such reviews.

The candidate may include formative feedback on teaching in their dossier. Examples include, but are not limited to, EED student evaluation of teaching questionnaires and informal reviews by peers. These will not be part of the candidate’s record to be considered in promotion and tenure reviews unless SEIs are not available for the same course.

The department will follow the procedures for peer review of teaching as set forth in Section 9.2 of this document.
Curricular Development

Evidence that will be evaluated to assess the curricular development sub-category of teaching will often include:

- Curricular and content development and innovations
- Textbook and course material development
- Pedagogical innovations
- Publications about teaching
- Awards for curricular development
- Letters (not solicited by the candidate) from other faculty regarding curricular contributions

Advising

Each faculty member is expected to perform academic advising as appropriate to their rank and track and as assigned by the department to graduate students, and to provide appropriate advice regarding course and program matters as well as career and graduate school choices. The primary distinguishing factor in this sub-category of teaching will be the role of the candidate in advising graduate student scholarship leading to Ph.D. and M.S. (thesis) degrees, and (to a lesser extent) research by undergraduates, including senior theses. Evidence that will be evaluated to assess the advising sub-category of teaching will often include:

- Achievements (e.g., publications and awards) of Ph.D., M.S. thesis, and senior honors thesis students advised
- Sustained progress toward the degree by Ph.D. and M.S. thesis students advised
- Service on Ph.D. dissertation and M.S. thesis committees of students who have other primary advisors
- Service as a faculty mentor for student organizations or student-led initiatives
- Letters (not solicited by the candidate) from other faculty regarding advising contributions

Scholarship

Scholarship for tenure-track, practice, and research faculty involves primarily scholarship that advances the state-of-the-art in engineering education. Scholarship for practice faculty typically involves scholarship of application, which often includes course development and evaluation, curriculum development and evaluation, assessment of student learning outcomes, and instructional approaches. The scholarship component of the review will include summary evaluations of quality, quantity, significance/impact, and funding. Expected documentation for these components is described in separate sections.

Quality

"Quality" refers to the degree to which the candidate's scholarship represents superior intellectual achievement: the originality, novelty, and intrinsic value of scholarly contributions.
Evidence that will be evaluated to assess the quality of scholarship will often include:

- Independent external evaluators' opinions of the quality of the work (when available);
- Prestige (reputation and visibility), selectivity, impact factors, and relevance to the scholarship of publication outlets;
- Patents, patent applications, and similar evidence of technological innovation;
- Competitive peer-reviewed grants, contracts, and gifts for which the candidate is the PI or a critically important co-PI;
- Invited presentations at other institutions;
- Invitations to serve on editorial boards of prestigious journals;
- Invitations to serve on editorial boards or program committees of prestigious journals or conferences;
- Invitations to serve on government or professional organization policy-making panels and boards; and
- Special commendations and honors for the quality of scholarship, e.g., professional society Fellow designation.

Because of the wide range of areas within engineering education, research papers may appear in diverse journals and proceedings. In many areas of the discipline, conference publications are rigorously reviewed and prestigious, and can be as significant as publications in premier journals. The appendix of this document (Section 12) includes a discussion of legitimate and community-recognized publishing strategies for Engineering Education faculty members.

**Quantity**

"Quantity" refers to the total body of scholarly results the candidate has produced and effectively disseminated to the broader community, typically through publication. Evidence that will be evaluated to assess the quantity of scholarship will include:

- Number of equivalent papers (i.e., accounting for multiple authorship and paper length) appearing in or fully accepted by top publication outlets, and that can be attributed to the candidate's research publication efforts;
- Number of publications appearing in other outlets; and
- Number of substantial work products other than traditional publications (such as software), if applicable.

The number of other publications will be considered evidence of quantity, but generally will have less weight than publications in top publication outlets. Similarly, work products that have been considered in hiring the candidate generally will be of less importance in quantity-of-scholarship determination than those produced later. For faculty members hired as associate professor or with years credited toward tenure, the totality of the record will be considered when assessing quantity, along with the expectation for productivity while at OSU.

Ohio State specifically asks the candidate to include in the dossier, for each publication that the
candidate wishes to be considered as a serious contribution, a description of both the intellectual contribution (qualitative) and effort contribution (quantitative). The Promotion and Tenure Committee may contact non-student co-authors to confirm such descriptions. In some situations, non-traditional scholarly products and methods of dissemination will need to be evaluated. The candidate should provide appropriate documentation to permit adequate evaluation.

**Significance/Impact**

"Significance/impact" refers to the degree to which the candidate's work is fundamentally important for the field, as well as the extent to which it has been recognized, cited, adopted, and/or built upon by others. Evidence that will be evaluated to assess the significance/impact of scholarship will often include:

- Independent external evaluators' opinions of the significance/impact of the work (when available);
- Promotion and Tenure Committee members' assessments of the significance/impact of the work;
- Citations of the candidate's work by others; and
- Adoption and use of the candidate's scholarly results and techniques, or other work products, by others.

**Funding**

As noted above, competitive peer-reviewed grants, contracts, and gifts to support scholarship (and where they are from) will be considered in evaluating the quality of the candidate's scholarly program. Such funding is also an independently important aspect of scholarship because of the expectation that tenure-track and research faculty will obtain funding to support graduate students to do research and will contribute to the financial stability of the department. Evidence that will be evaluated to assess funding of scholarship will often include:

- Grants, contracts, and gifts for which the candidate is the PI or a critically important co-PI;
- Number of graduate students supported with external funding;
- Total amount of external funding for the candidate's research program; and
- Letters (not solicited by the candidate) from collaborators, especially the PI, documenting the importance of the candidate's role in obtaining the funding and accomplishing the work for funded projects where the candidate is a co-PI.

All external funding that supports students and for which the EED and/or an EED-related center receives appropriate expenditure credit will be considered equally important in rating the funding sub-category of scholarship.

**Service**

The service component of the review will include summary evaluations of internal service and external service. Expected documentation for these components is described in separate
sections.

**Internal Service**

Every faculty member is expected to contribute to the effective governance of the department, and senior faculty are expected to contribute to the effective governance of the college and university as well. Evidence that will be evaluated to assess the internal (department, college, and university) sub-category of service will often include:

- Effective involvement and active participation in assigned department, college, and university committees;
- Demonstration of initiative and follow-through in identifying and helping to address specific departmental problems; and
- Observations made by Promotion and Tenure Committee members who have served with the candidate on committees and/or have been served by the candidate's activities.

**External Service**

Evidence that will be evaluated to assess the external (professional and community) sub-category of service will often include:

- Professional activities such as service on conference organizing and program committees, editorships, reviewing, etc.;
- Reviewing of proposals for funding agencies;
- Public service related to the candidate's professional expertise;
- Outreach and funding of outreach activities; and
- Consulting activities.

External service is not required for research faculty. Neither internal nor external service is required for associated faculty.

**6.3.1.2 Appointments, Promotion and Tenure (APT) Document**

Candidates are also responsible for submitting a copy of the APT document under which they wish to be reviewed. Candidates may submit the department’s current APT document; or, alternatively, they may elect to be reviewed under either (a) the APT document that was in effect on their start date, or (b) the APT document that was in effect on the date of their last promotion or reappointment, whichever of these two latter documents is the more recent. However, the current APT document must be used if the letter of offer or last promotion, whichever is more recent, was more than 10 years before April 1 of the review year. The APT document must be submitted when the dossier is submitted to the department.

**6.3.1.3 External Evaluations**

If external evaluations are required, candidates may submit a list of potential external evaluators to the chair of the Promotion and Tenure Committee. The chair of the Promotion and Tenure Committee will consider the list submitted by the candidate. The majority of the list of the
external evaluators who submit letters must be names that were not recommended by the candidate. The candidate may request the removal of no more than two names, providing the reasons for the request. The department chair decides whether removal is justified.

6.3.2. Promotion and Tenure Committee Responsibilities

The responsibilities of the Promotion and Tenure Committee are as follows:

• To review this document annually and to recommend proposed revisions to the faculty.

• To consider annually, in spring semester, requests from faculty members seeking a non-mandatory review in the following academic year and to decide whether it is appropriate for such a review to take place. Only professors on the committee may consider promotion review requests to the rank of professor. A two-thirds majority of those eligible to vote on a request must vote affirmatively for the review to proceed.

• The committee first determines if all required documentation for a full review (e.g., student and peer evaluations of teaching) is available. Lack of the required documentation is necessary and sufficient grounds on which to deny a non-mandatory review. Then, the committee bases its decision on assessment of the record as presented in the package that includes documents submitted by the faculty member, as well as external letters and on information provided by all TIUs to which the candidate has been appointed, taking into consideration any MOU concerning a jointly hired candidate’s expectations for performance.

• A tenured faculty member may only be denied a formal promotion review under Faculty Rule 3335-6-04 for one year. If the denial is based on lack of required documentation and the faculty member insists that the review go forward in the following year despite incomplete documentation, the individual should be advised that such a review is unlikely to be successful.

• A decision by the committee to permit a review to take place in no way commits the eligible faculty, the department chair, or any other party to the review to making a positive recommendation during the review itself.

• Annually, in late spring through early autumn semester, to provide administrative support for the promotion and tenure review process as described below.

  • Late Spring: Select from among its members a Procedures Oversight Designee who will serve in this role for the following year. The Procedures Oversight Designee cannot be the same individual who chairs the committee. The Procedures Oversight Designee's responsibilities are described in the Office of Academic Affairs annual procedural guidelines.

  • Late Spring: Solicit external evaluations from a list including names suggested by the Promotion and Tenure Committee, the department chair, and the candidate. This is the responsibility of the committee chair. (Also see External Evaluations below.)

  • Early Autumn: Review candidates' dossiers for completeness, accuracy (including citations), and consistency with Office of Academic Affairs requirements; and work with candidates to assure that needed revisions are made in the dossier before the
formal review process begins.

- Meet with each candidate for clarification as necessary and to provide the candidate an opportunity to comment on his or her dossier. This meeting is not an occasion to debate the candidate's record.

  o Draft an analysis of the candidate's performance in teaching, scholarship and service based on information provided by all TIUs to which the candidate has been appointed, taking into consideration any MOU concerning a jointly hired candidate's expectations for performance to provide to the full eligible faculty with the dossier; and seek to clarify any inconsistent evidence in the case, where possible. The committee neither votes on cases nor takes a position in presenting its analysis of the record.

  o Revise the draft analysis of each case following the meeting of the full eligible faculty, to include the faculty vote and a summary of the faculty perspectives expressed during the meeting; and forward the completed written evaluation and recommendation to the department chair.

  o Provide a written response, on behalf of the eligible faculty, to any candidate comments that warrant response, for inclusion in the dossier.

  o Provide a written evaluation and recommendation to the department chair in the case of joint appointees whose tenure-initiating unit is another department. The full eligible faculty does not vote on these cases since the department's recommendation must be provided to the other tenure-initiating unit substantially earlier than the committee begins meeting on this department's cases.

6.3.3. Eligible Faculty Responsibilities

The responsibilities of the members of the eligible faculty are as follows:

- To review thoroughly and objectively every candidate's dossier in advance of the meeting at which the candidate's case will be discussed.

- To attend all eligible faculty meetings except when circumstances beyond one's control prevent attendance; to participate in discussion of every case; and to vote.

6.3.4. Department Chair Responsibilities

The responsibilities of the department chair are as follows:

- To charge each member of the Promotion and Tenure Committee to conduct reviews free of bias and based on criteria.

- To determine whether a candidate is authorized to work in the United States and whether a candidate now, or in the future, will require sponsorship for an employment visa or immigration status. (The department must ensure that such questions are asked of all applicants in a non-discriminatory manner.)

- To make each candidate's dossier available in an accessible place for review by the Promotion
and Tenure Committee at least two weeks before the meeting at which specific cases are to be discussed and voted.

- To remove any member of the Promotion and Tenure Committee from the review of a candidate when the member has a conflict of interest but does not voluntarily withdraw from the review.

- **Mid-Autumn Semester**: To provide an independent written evaluation and recommendation for each candidate, following receipt of the eligible faculty's completed evaluation and recommendation.

- To meet with the eligible faculty to explain any recommendations contrary to the recommendation of the committee.

- To inform each candidate in writing after completion of the department review process:
  - of the recommendations by the Promotion and Tenure Committee and department chair;
  - of the availability for review of the written evaluations by the Promotion and Tenure Committee and department chair; and
  - of the opportunity to submit written comments on the above material, within ten days from receipt of the letter from the department chair, for inclusion in the dossier. The letter is accompanied by a form that the candidate returns to the department chair, indicating whether or not he or she expects to submit comments.

- To provide a written response to any candidate comments that warrants response for inclusion in the dossier.

- To forward the completed dossier to the college office by that office's deadline, except in the case of associated faculty for whom the department chair recommends against promotion. A negative recommendation by the department chair is final in such cases.

- To receive the Promotion and Tenure Committee's written evaluation and recommendation of candidates who are joint appointees from other tenure-initiating units, and to forward this material, along with the department chair's independent written evaluation and recommendation, to the department chair of the other tenure-initiating unit by the date requested.

### 6.3.5. Procedures for Regional Campus Faculty

Regional campus faculty are first reviewed by the regional campus faculty according to the process established on that campus and then by the regional campus dean. The regional campus review focuses on teaching and service.

The regional campus dean forwards the written evaluation and recommendation of the regional campus review to the department chair, from which point the review follows the procedures described for the Columbus campus faculty. A request to promote requires agreement by the dean/director and the TIU head.

Regional campus practice faculty are reviewed by the regional campus faculty according to the process established on that campus and then by the regional campus dean/director. Following
the review, the dean/director consults with the faculty member’s department chair. A request to promote follows the same procedures as tenure-track faculty except that external letters are not needed unless scholarship is a component of the assigned role.

The review of regional campus research faculty takes place on the Columbus campus and follows the same procedures as those described above for Columbus campus research faculty. Following the review, the department chair will consult with the regional campus dean/director. A request to promote requires agreement by the regional campus dean/director and the department chair.

Associated faculty are reviewed by the regional campus faculty according to the process established on that campus and then by the regional campus dean/director. The decision of the regional campus dean/director is final.

6.3.6. External Evaluations

External evaluations of scholarly contributions are to be obtained for all promotion reviews in which scholarship must be assessed. These include all tenure-track promotion and tenure or promotion reviews, all practice faculty reviews, all research faculty promotion reviews.

A minimum of five credible and useful evaluations must be obtained for tenure-track, practice, and research faculty.

For tenure-track and research faculty candidates, the department will only solicit evaluations from professors at institutions comparable to Ohio State. In the case of an assistant professor seeking promotion to associate professor with tenure, a minority of the evaluations may come from associate professors.

For practice faculty candidates, the department will solicit evaluations that assess the quality and impact of practice faculty candidates under consideration for promotion. The source and content of evaluations for practice promotion candidates are expected to reflect the contributions expected of practice faculty members. Evaluations are expected to address the extent and quality of teaching as characterized by evaluations of instruction and the quality of contributions through outreach and engagement with industry, the educational community, and the broad community of practitioners as appropriate for the individual under review. Evaluations should also address the extent and quality of professional service to the EED, college and university. Evaluations need not be restricted to national or international peers but should derive from authoritative and reputable sources qualified to comment substantively on the contributions and accomplishments of the faculty member.

A credible and useful evaluation:

- Is written by a person highly qualified to evaluate the quality and impact of one or more of the elements in a candidate’s record. The candidate’s record includes multiple elements and priority of these elements depends on the desired rank or tenure status of the candidate. These elements include:

  (a) Scholarly contributions, including contributions to the Scholarship of Discovery or the Scholarship or Integration (typically for tenure-track or research faculty) or contributions to the Scholarship of Application (e.g., improving courses and curricula to apply the best of industry and/or educational practices) (typically for practice faculty),
(b) Teaching, 
(c) Service, and 
(d) Relationships the candidate maintains with industry or education professionals to stay current with their associated practice;

- Is not written by a close personal friend, research collaborator, or former academic advisor or post-doctoral mentor of the candidate; and

- Provides sufficient analysis of the candidate's performance to add information to the review. A letter's usefulness is defined as the extent to which the letter is analytical as opposed to perfunctory. Under no circumstances will “usefulness” be defined by the perspective taken by an evaluator on the merits of the case.

Since the department cannot control who agrees to write and or the usefulness of the letters received, at least twice as many letters are sought as are required, and they are solicited no later than the end of the spring semester prior to the review year. This timing allows additional letters to be requested should fewer than five useful letters result from the first round of requests.

Qualifications of the external evaluator are generally judged based on the evaluator's expertise, record of accomplishments, and institutional affiliation.

The Promotion and Tenure Committee and the department chair of the primary appointment TIU will assemble the list of evaluators. In cases of jointly appointed faculty, additional evaluators may be suggested by the Department Chair of the secondary appointment TIU as appropriate. Each candidate will be asked to submit three or four names for external evaluators, none of which should be collaborators, and a list of collaborators. If the evaluators suggested by the candidate meet the criteria for credibility, at least one and at most two, will obtained. The Promotion and Tenure Committee and the department chair will generate additional names for external evaluators avoiding the collaborators named by the candidate. Faculty Rule 3335-6-04 requires that no more than half the external evaluation letters in the dossier be written by persons suggested by the candidate. In the event that the person(s) suggested by the candidate do not agree to write, neither the Office of Academic Affairs nor this department requires that the dossier contain letters from evaluators suggested by the candidate.

The department follows the Office of Academic Affairs suggested format for letters requesting external evaluations. A sample letter for tenure-track faculty can be found here. A sample letter for practice faculty can be found here. Under no circumstances may a candidate solicit external evaluations or initiate contact in any way with external evaluators for any purpose related to the promotion review. If an external evaluator should initiate contact with the candidate regarding the review, the candidate must inform the evaluator that such communication is inappropriate and report the occurrence to the department chair, who will decide what, if any, action is warranted (requesting permission from the Office of Academic Affairs to exclude that letter from the dossier). It is in the candidate's self-interest to assure that there is no ethical or procedural lapse, or the appearance of such a lapse, in the course of the review process.

In the event that the chair is unable to obtain the required five external evaluations, the chair must document all efforts, noting the individuals who were contacted, how they were
contacted, and the dates and number of times they were contacted. The department is to notify
the college and the Office of Academic Affairs as soon as it becomes apparent that it will not be
able to obtain the required letters in time for the meeting of the eligible faculty. The lack of five
external letters will not stop a mandatory review from proceeding but will halt a non-mandatory
review from proceeding unless the candidate, chair of the committee of eligible faculty, and the
department chair all agree in writing that it may proceed and agree that it will not constitute a
procedural error.

All solicited external evaluation letters that are received must be included in the dossier. If
concerns arise about any of the letters received, these concerns may be addressed in the
department's written evaluations or brought to the attention of the Office of Academic Affairs
for advice.

7. Appeals

The Rules of the University Faculty regarding appeals will apply. Faculty Rule 3335-6-05 sets forth
general criteria for appeals of negative promotion and tenure decisions. Appeals alleging
improper evaluation are described in Faculty Rule 3335-5-05.

Disagreement with a negative decision is not grounds for appeal. In pursuing an appeal, the
faculty member is required to document the failure of one or more parties to the review process
to follow written policies and procedures.

8. Seventh Year Review

Faculty Rule 3335-6-05 sets forth the conditions of and procedures for a Seventh-Year Review for
a faculty member denied tenure as a result of a sixth-year (mandatory tenure) review.

9. Procedures for Student and Peer Evaluation of Teaching

9.1. Student Evaluation of Teaching

Use of the Student Evaluation of Instruction (SEI) is required in every course offered in this
department. Faculty members should choose a day late in the semester when attendance is
likely to be high if they are going to provide in-class time for students to complete the evaluation
using a computer or mobile application. The faculty member must leave the classroom during
the time allotted for completing the evaluation. The faculty member should reiterate to students
that the feedback provided in the evaluations is used both for performance reviews and to
provide feedback that can be considered when prepared for future teaching assignments.

9.2. Peer Evaluation of Teaching

The department chair or designee oversees the department's peer evaluation of teaching
process.
The purpose of the EED Peer Review of Teaching (PRT) Program is to help department faculty members engage in a variety of intentional, evidence-based ways of enhancing the teaching and learning of our students. In addition, it supports faculty of all ranks and roles in completing and documenting the peer review of teaching engagement required for promotion applications and dossiers.

Based on the research on teaching and extensive conversations with the Michael V. Drake Institute for Teaching and Learning, the PRT Committee has developed options that have been designed to support faculty members in their efforts to improve their teaching. These options are described on the department peer review of teaching page. The department expects that each faculty member will engage in three of these options each year.

In addition to the expectation that every faculty member participates each year in three options for peer review of teaching, the department PRT program has the following expectations:

- Probationary tenure-track and practice faculty will have their teaching reviewed by a peer at least once per year during the first two years of service and at least twice more before the commencement of the mandatory tenure review;
- Tenured associate professors and non-probationary associate professors of practice will have their teaching reviewed at least once every other year, with the goal of having at least two peer reviews of teaching before the commencement of a promotion review.

The two items are conducted specifically for the purposes of promotion and tenure reviews. In these cases, the class visitation is conducted by one or more senior peers whom the promotion and tenure chair has identified in consultation with the candidate. The peer reviewer will meet with the candidate to establish a time for the visit and to understand the goals of the course and the candidate's teaching philosophy. The scheduled peer teaching evaluations are comprehensive and include, in addition to class visitation, review of course syllabi and related instruction materials. If feasible, the peer reviewer will attend two different class sessions over the course of the semester.

In observing the course and reviewing the syllabus and other materials, the peer reviewer should focus on such issues as the appropriateness of the course design given the goals and level of the course, the quality and effectiveness of the instructional materials and assessment tools, and the appropriateness of the approach relative to current disciplinary knowledge. At the conclusion of the class visits, the reviewer meets with the candidate to give feedback and also submits a written report to the department chair, copied to the candidate. The candidate may provide written comments on this report and the reviewer may respond if he/she wishes. The reports are included in the candidate's promotion and tenure dossier.

In addition to the expectations articulated in the department PRT program, the following interventions related to teaching in the department may be undertaken:

- The department chair may request a review of the teaching of any faculty member not currently scheduled for review. Such reviews are normally triggered by low or declining student evaluations or other evidence of the need for providing assistance in improving teaching.
- Any faculty member not currently scheduled for review may request a review of their
teaching, to the extent that time permits. Reviews conducted at the request of the faculty member are considered formative only. The department chair is informed that the review took place, but the report is given only to the faculty member who requested the review. Faculty seeking formative reviews should also seek the services of the Michael V. Drake Institute for Teaching and Learning.

Reviews conducted upon the request of the department chair or the faculty member focus on the specific aspects of instruction requested by the chair or faculty member and may or may not include class visitations

The department PRT program is administered by the department chair in concert with the PRT committee. Each year, the department chair appoints a Peer Review of Teaching (PRT) Committee. The composition of the PRT Committee is described in the POA document. Reasonable efforts are made to distribute service among the senior faculty from year to year in order to support and encourage attention to the quality of teaching in the department.

10. Appendix A: Associated Faculty Contracts

10.1. Multi-Year Contract Eligibility Criteria and Process

To be considered for appointment to a multi-year contract, Lecturers and Senior Lecturers with three or more years of sequential service at 75% FTE or above, may submit documents and follow procedures as described in the PPAPC.

Presentation of these documents by a Lecturer or Senior Lecturer to their immediate supervisor will initiate the candidate’s appointment to a multi-year contract.

10.2. Rolling Contract Eligibility Criteria and Process

To be considered for appointment to a rolling contract, Lecturers and Senior lecturers must have completed two, sequential appointments at 75% FTE or above on multi-year contracts. The two rounds of appointment on multi-year contracts must be completed by the end of the autumn semester in the year prior to the spring semester when the person wants to be considered for appointment to a rolling contract. To apply Lecturers and Senior Lecturers may submit documents and follow procedures as described in the PPAPC.

Presentation of these documents by a Lecturer or Senior Lecturer to their immediate supervisor will initiate the candidate’s appointment to a rolling contract. If appropriate, it may be agreed that the department’s formal annual review documentation is sufficient for consideration.

Rolling contracts are intended to recognize faculty members based on their strong record of teaching and commitment to the department; individuals who receive a rolling contract are expected to contribute to the long-term growth and development of the department.