# Pattern of Administration for 

## The Ohio State University College of Dentistry

Approved by the Office of Academic Affairs: 7/25/2022
TABLE OF CONTENTS ..... Page
I. Introduction ..... 1
II. College Mission ..... 1
III. Academic Rights and Responsibilities ..... 1
IV. Faculty and Voting Rights ..... 1
V. Organization of College Services and Staff ..... 2
VI. Overview of College Administration and Decision Making ..... 3
VII. College Administration ..... 3
A. Dean ..... 3
B. Other Administrators ..... 5
Assistant/Associate Deans/Executive Associate Dean/Chief Administrative Officer .....  5
Division Chairs ..... 6
Directors ..... 7
C. Committees ..... 9
VIII. Faculty Meetings ..... 9
IX. Distribution of Faculty Duties and Responsibilities ..... 10
A. Tenure-track Faculty ..... 11
i. Special Assignments ..... 12
B. Clinical Faculty ..... 13
C. Research Faculty ..... 13
D. Associated Faculty ..... 13
E. Modification of Duties ..... 13
X. Course Offerings and Teaching Schedule ..... 14
XI. Allocation of College Resources ..... 14
XII. Leaves and Absences ..... 15
A. Discretionary Absence ..... 15
B. Absence for Medical Reasons ..... 15
C. Unpaid Leaves of Absence ..... 15
D. Faculty Professional Leave ..... 15
E. Parental Leave ..... 16
XIII. Supplemental Compensation and Paid External Consulting ..... 16
XIV. Financial Conflicts of Interest ..... 16
XV. Grievance Procedures ..... 17
A. Salary Grievances ..... 17
B. Faculty Promotion and Tenure Appeals ..... 17
C. Faculty and Staff Misconduct ..... 17
D. Harassment, Discrimination and Sexual Misconduct ..... 18
E. Violations of Laws, Rules, Regulations or Policies ..... 18
F. Complaints by and about Students. ..... 18
XVI. Expectations for Honor and Professional Conduct ..... 18
APPENDICES
A. Organizational Chart ..... 19
B. Intramural Practice Plan ..... 20
C. Standing Committees ..... 26

## I. Introduction

From the viewpoint of the University, the College of Dentistry operates as a department for administering and performing its teaching, research, and service missions. The College of Dentistry is a tenure-initiating unit (TIU) with the Dean serving as chair.

This document provides a brief description of the College of Dentistry as well as a description of its guidelines and procedures. It supplements the Rules of the University Faculty, and other policies and procedures of the University to which the College of Dentistry and its faculty are subject. The latter rules, policies and procedures, and changes in them, take precedence over statements in this document.

This Pattern of Administration (POA) is subject to continuing revision. It must be reviewed and either revised or reaffirmed on appointment or reappointment of the Dean. However, revisions may be made at any time as needed. All revisions, as well as periodic reaffirmation, are subject to approval by the College faculty and the University Office of Academic Affairs.

## II. College Mission

The College of Dentistry is dedicated to addressing the evolving health care needs of communities in Ohio, the nation, and the world by:

- providing comprehensive oral and craniofacial health care in an inter-professional health science community;
- educating health care professionals and scientists in a collaborative and diverse academic environment;
- creating, discovering, and sharing knowledge;
- preparing socially responsible and engaged citizens;
- promoting a culture of excellence, respect, and inclusiveness.


## III. Academic Rights and Responsibilities

In April 2006, the university issued a reaffirmation of academic rights, responsibilities, and processes for addressing concerns.

## IV. Faculty and Voting Rights

Faculty Rule 3335-5-19 defines types of faculty appointments possible at The Ohio State University and the rights and restrictions associated with each type of appointment. For purposes of governance, the faculty of the College of Dentistry include tenure-track, clinical, and research faculty with compensated FTEs of at least 75\%, and associated faculty who have at least 75\% FTE.

The College makes tenure-track appointments with titles of instructor, assistant professor, associate professor, or professor. Tenure-track faculty may vote in all matters of College (TIU) governance.

The College makes clinical appointments. Clinical faculty titles are assistant clinical professor, associate clinical professor, and clinical professor. The appointment cap on clinical faculty in relation to the total of tenure-track, clinical and research faculty is $75 \%$. Clinical faculty with $75 \%$ and greater FTE may vote in all matters of College (TIU) governance except tenure-track faculty appointment, promotion and tenure decisions and research faculty appointment, reappointment and promotion decisions.

The College makes research appointments. Research faculty titles are research assistant professor of dentistry, research associate professor of dentistry, and research professor of dentistry. Research faculty can comprise no more than $20 \%$ of the tenure-track faculty. Research faculty with $75 \%$ and greater FTE may vote in all matters of College (TIU) governance except tenure-track appointment, promotion and tenure decisions, and clinical faculty appointment, reappointment and promotion decisions.

The College makes associated faculty appointments. Associated faculty titles include tenure-track faculty on less than a $50 \%$ appointment, adjunct titles, associated clinical titles, lecturer titles, and visiting titles. Associated faculty who have at least $75 \%$ FTE may vote in all matters of college governance except personnel decisions. Visiting faculty may be invited to participate in discussions on non-personnel matters, but may not participate in personnel matters, including appointment, promotion and tenure reviews, and may not vote on any matter. Associated faculty who have less than $75 \%$ FTE may not vote on College of Dentistry governance matters.

Emeritus faculty are faculty who, upon retirement, are recommended by the Division Chair, the Dean, and the Executive Vice President and Provost for emeritus status, which is approved by the Board of Trustees. These faculty are invited to participate in discussions on non-personnel matters, but may not participate in discussions on personnel matters, including promotion and tenure reviews, and may not vote on any matter.

Detailed information about the appointment criteria and procedures for the various types of faculty appointments made in the College of Dentistry is provided in the Appointments, Promotion and Tenure Document.

## V. Organization of College Services and Staff

The College has ten Divisions: Biosciences, Dental Hygiene, Endodontics, Oral and Maxillofacial Pathology, Oral and Maxillofacial Radiology, Oral and Maxillofacial Surgery and Dental Anesthesiology, Orthodontics, Pediatric Dentistry, Periodontology, and Restorative and Prosthetic Dentistry. Each Division has a Chair appointed by the Dean to a four-year term which is renewable, along with clerical support staff. Faculty are assigned to one Division and are responsible to that specific Division Chair for overall performance, which is reviewed annually. Annual performance of faculty who are Associate/Assistant Deans and Division Chairs are evaluated by the Dean. The organizational chart is shown in Appendix A.

The College Office of Academic Affairs is led by the Associate Dean for Academic Affairs, who oversees the educational mission of the College for the dental hygiene and dental programs. This Office includes the Assistant Dean (or Director) of Admissions, Registrar, Director of Educational Resources, Director of Student Affairs, and a Financial Aid Advisor-the Financial Aid Advisor reports to the Director of Student Affairs.

The Office of Advanced Education and Graduate Studies provides support services for nine advanced education (residency) programs and three graduate programs housed in the College. This Office includes the Associate Dean for Advanced and Graduate Education and the Graduate Program Coordinator.

The Associate Dean for Clinical Administration and Patient Care is responsible for all student clinic operations including the dental laboratories, and is assisted by the Assistant Dean for Student Clinic Operations.

The Associate Dean for Research oversees the research mission of the College, including the allocation of research space and awarding of College grants to faculty who submit high-quality research proposals. This office includes the Grants and Contract Administrator.

The Executive Associate Dean primarily assists the Dean with management of high-level initiatives related to all College functions, and is the first choice to represent the Dean at functions when the Dean is unavailable.

The Chief Administrative Officer is the senior fiscal officer and is responsible for administrative support services, and coordination with central university services including the college's Human Resources Business partner as well as building-related functions. The major administrative support services in the College include Building Services, Finance, Information Systems, and Patient Financial Services/Clinic Support Staff.

There is also an intramural clinical practice plan, Ohio State Dental Faculty Practice (OSDFP), that is classified as a Section 501(c)(3) organization. Every full-time ( $75 \%$ and greater FTE) faculty member with a valid license to practice has an opportunity to join the OSDFP and receive additional compensation for the clinical care that is delivered according to the terms of the contract between the practitioner and the OSDFP.

## VI. Overview of College Administration and Decision Making

Policy and program decisions are made in a number of ways: by the faculty as a whole, by standing or special committees or task forces, by the Assistant/Associate Deans/Chief Administrative Officer and Division Chairs, or the Dean. The nature and importance of any individual matter determines how it is addressed. College governance proceeds on the general principle that the more important the matter to be decided, the more inclusive the participation in decision making needs to be. Open discussions, both formal and informal, at the committee, Division, or College level constitute the primary means of reaching decisions of central importance.

## VII. College Administration

## A. Dean

The primary responsibilities of the Dean, who is the TIU head, are found in Faculty Rule 3335-3$\underline{29}$ for administrationError! Hyperlink reference not valid.. This rule requires the Dean to develop, in consultation with the faculty, a Pattern of Administration with specified minimum content. The rule, along with Faculty Rule 3335-6, also requires the Dean to prepare, in consultation with the faculty, a document setting forth policies and procedures pertinent to appointments, reappointments, promotion, and tenure.

## The Dean:

- has general administrative and oversight responsibility for all programs of the College and is in charge of conducting the business of the College and implementing the Strategic Plan that has been developed with the University administration. This broad responsibility includes the acquisition and management of funds and the hiring and supervision of faculty and staff.
- appoints faculty consistent with University Rules, and consults with the faculty in the initiation process, review, and selection of new faculty members for appointment.
- selects and appoints Division Chairs after consultation with the faculty of the Division.
- plans with the Assistant Deans/Associate Deans and faculty a progressive program that encourages basic science, translational, clinical and educational research investigations.
- provides leadership for the evaluation and improvement of instructional and administrative processes on an ongoing basis, and promotes the improvement of instruction by providing through the Office of the Associate Dean for Academic Affairs a process for the evaluation of each course when offered, including written evaluation by students of the course and instructors, and periodic course review by the faculty.
- maintains a curriculum vitae for all personnel teaching a course in the College's curriculum.
- evaluates faculty members annually, in consultation with the Division Chairs, in accordance with both University and College established criteria, informs faculty members when they receive their annual review of their right to review their primary personnel file maintained by their Division and to place in that file a response to any evaluation, comment, or other material contained in the file.
- makes recommendations to the University, after consultation with the tenured faculty, about appointments, reappointments, promotions, dismissals, and matters affecting the tenure of members of the College faculty, in accordance with procedures set forth in Faculty Rule 3335-6 Error! Hyperlink reference not valid. and the College Appointments, Promotion and Tenure Document.
- ensures that all faculty members, regardless of their assigned responsibilities and location, are offered the College privileges and responsibilities appropriate to their rank, and in general provides leadership for maintaining a high level of college morale.
- ensures that adequate supervision and training are given to those members of the faculty and staff who may benefit by such assistance.
- approves annual budget recommendations submitted to the university and reviews salary appeals and other faculty issues in a professional and timely manner.
- presents candidates for degrees to the president on behalf of the college faculty and to serve as a member of the Council of Deans (see Rule 3335-3-22 of the Administrative Code).
- approves courses of study for students in the college, warns students who are delinquent in their studies, and recommends appropriate student disciplinary action to the appropriate university disciplinary body or official.
- recommends changes in student tuition and fees for consideration by university leadership.
- facilitates and participates in prescribed academic program review processes, in collaboration with the Office of Academic Affairs.
- serves as the Chair of the College of Dentistry at all OSU Hospitals.
- represents the faculty of the College in dealing with matters at the university level.
- consults with the faculty as a whole on appropriate policy matters. Such consideration will, whenever practicable, be undertaken at a meeting of the College faculty as a whole. Majority faculty rule shall be observed on matters covered by this Pattern of Administration. Whenever majority faculty vote is not followed, the Dean shall explain the reasons for the departure from this policy to enhance communication and to facilitate understanding within the College. Where possible, this statement of reasons shall be provided before the departure occurs. This explanation shall outline the decision of the majority of the faculty, the decision of the Dean, and the reasons the decisions differ. The explanation shall be communicated to
the faculty in writing, where possible, or at a faculty meeting, with an opportunity provided for faculty to comment.
- works with College and University development officers to garner resources for the College.
- serves as President of the Dental Faculty Practice (OSDFP) when the Dean holds a valid license to practice dentistry in the state of Ohio. If this is not the case, the president of OSDFP is elected by the members of the OSDFP.

Day-to-day responsibility for specific matters may be delegated to others, but the Dean retains final responsibility and authority for all matters covered by this Pattern subject when relevant to the approval of the University Office of Academic Affairs and the Board of Trustees.

Operational efficiency requires that the Dean exercise a degree of autonomy in establishing and managing administrative processes. The articulation and achievement of College academic goals, however, is most successful when all faculty members participate in discussing and deciding matters of importance. The Dean will therefore consult with the Division Chairs and faculty on all major educational and academic policy issues and will respect the principle of majority rule.

## B. Other Administration

## Assistant/Associate Deans/Executive Associate Dean/Chief Administrative Officer

General responsibilities of Associate and Assistant deans are found in Faculty Rule 3335-3-32. In the College of Dentistry, there is one Executive Associate Dean, and four Associate DeansAssociate Dean for Academic Affairs, Associate Dean for Advanced Education and Graduate, Associate Dean for Clinical Administration and Patient Care, and Associate Dean for Research. There is an Assistant Dean for Diversity, Equity and Inclusion, and an Assistant Dean for Student Clinic Operations. There is a Chief Administrative Officer who, is in charge of College Administrative Services and serves as the senior fiscal officer. These individuals are appointed and annually evaluated by the Dean, and function with delegated authority from the Dean.

The Associate Dean for Academic Affairs has responsibility for the quality of the DDS program and the two dental hygiene programs (undergraduate and graduate). This responsibility, assisted by the DDS and Dental Hygiene Curriculum Committees, includes ensuring that the curriculum reflects contemporary content, assuring that the DDS and dental hygiene programs are in compliance with CODA accreditation standards, generating and reporting outcomes, ensuring a quality educational experience for students, and overseeing a staff dedicated to providing needed student services. This Associate Dean oversees predoctoral and dental hygiene admissions and the post-baccalaureate DentPath Program, which is responsible for collaborating with campus offices to increase the numbers of underrepresented minorities in the applicant pool for the DDS and Dental Hygiene programs. The staff in this Office includes the Director of Admissions who works closely with the DDS and the Dental Hygiene Admission Committees (to oversee the process for admissions in both programs), the Director and Assistant Director of the Dental Hygiene Program, the Director of Student Affairs, the College Registrar, and the Admissions Counselors.

The Associate Dean for Advanced Education and Graduate Studies, assisted by a Program Coordinator, has administrative oversight over the College's advanced education programs and graduate programs. Office functions include curriculum management for graduate courses, course registration and scheduling, assisting programs with recruitment and admissions, assisting accepted students with licensure and visa issues, appointment and orientation of new GTAs and

House Staff, assisting programs with accreditation site visits, collection and analysis of program assessment data, and answering questions from graduate students, residents, and program faculty. (The Oral Biology PhD Program interacts directly with the Graduate School on these matters.)

The Associate Dean for Clinical Administration and Patient Care has overall responsibility and authority for all clinic operations, equipment used in the clinics and clinical laboratories, the College sterilization facility, and the Electronic Health Record (EHR) system. This Associate Dean has responsibility for HIPAA Privacy, Clinical Health and Safety, risk management, and clinical compliance. This Associate Dean is aided by the Assistant Dean for Student Clinic Operations, Clinical Risk and HIPAA Privacy Officer, Senior Clinical Health and Safety Officer, Receiving and Distribution Manager, Materials and Supply Manager, Patients Records Supervisor, Instrument Management Services Supervisor, faculty directors of the Student Comprehensive Care Clinics, and a Clinic Manager who reports to Patient Financial Services. There is also a Credentialing Specialist in this Office and many other supporting staff because of the wide range of responsibilities.

The Assistant Dean for Diversity, Equity and Inclusion leads and facilitates diversity, equity, and inclusion (DEI) efforts that advance College of Dentistry's and the University's values, vision and mission with emphasis on sustained action and cultural transformation. The Assistant Dean partners with the Office of Human Resources and the Office of Academic Affairs to advance the recruitment and retention of a diverse workforce and an inclusive, equitable academic and work environment for all College of Dentistry faculty, staff, and students.

The Associate Dean for Research assists faculty in the development and implementation of their programs of research and is aided by a Grants and Contracts Administrator for research grant applications. This Office also oversees the awarding of College Faculty Seed Grants, the Student Research Day, and organizes a research lecture series for College faculty. The Associate Dean for Research assigns research space to faculty and is the College Research Officer for University interactions.

The Executive Associate Dean assists the Dean when requested with the management and implementation of College strategic planning and outcome studies and innovative academic programs to address complex and evolving health care needs and systems; facilitation of College goals and objectives; overseeing accreditation activities and reports; administering college policies and procedures; and assisting with other duties as assigned to enhance overall college operations.

The Chief Administrative Officer serves as the senior fiscal officer for the College, overseeing the building maintenance, including renovations and repairs, and has overall responsibility for development and monitoring of the College budget. This administrator is responsible for ensuring that all fiscal operations conform to University auditing standards and that College expenditures are kept within budget. The HIPAA Security Officer, the Director of IT, and the EHR Manager report to this position.

## Division Chairs

The duties of each Division Chair are to:

- have general administrative responsibility for the Division's programs, subject to the approval of the Dean, and to conduct the business of the Division efficiently. This broad responsibility includes the acquisition and management of funds and the hiring and supervision of faculty and staff in accordance with University policies and procedures.
- plan with members of the Division faculty and the Dean a progressive education program that encourages and fosters research.
- evaluate and improve instructional and administrative processes on an ongoing basis, and to promote improvement of instruction by providing for the evaluation of each course when offered, including written evaluation by students of the course and instructors and periodic course review by the faculty. (These matters are coordinated with the Office of the Associate Dean for Academic Affairs.)
- evaluate faculty members annually in accordance with both University and College established criteria, to inform faculty members when they receive their annual review of their right to review their primary personnel file maintained by their Division and to place in that file a response to any evaluation, comment or other material contained in the file. These annual reviews are submitted to the Dean for review of the overall Division performance. The Division Chair will provide a recommendation to the Dean if there are special circumstances in which the activities of a faculty member may warrant additional compensation or warrant an adjustment in FTE or workplace time allocation percentages.
- recommend appointments, promotions, dismissals, and matters affecting the tenure of members of the Division faculty to the Dean, in accordance with procedures set forth in Faculty Rule 3335-6 and 3335-7, and the College Appointments, Promotion and Tenure Document.
- ensure that all faculty members, regardless of their assigned location, are offered the Division privileges and responsibilities appropriate to their rank and provide leadership for maintaining a high level of morale within the Division.
- ensure that adequate supervision and training are given to those members of the faculty and staff who may profit by such assistance.
- assist the faculty and the College leadership in meeting the goals of the Strategic Plan.
- effectively manage, with their faculty, the curricular content assigned to their Division, and
- facilitate inter-Divisional cooperation.


## Directors

There are several Directors of various offices/programs in the College:

- Senior Director of Alumni and Development
- Executive Communications Director
- Director of Marketing and Communications
- Director of Continuing Education
- Director of Finance
- Director of Information Systems
- Director of Patient Financial Services
- Director of Building Services
- Senior Human Resources Business Partner
- Director of the Office of Outreach and Community Engagement (OHIO Project)
- Director of Student Research (including dental hygiene)
- Directors of Postdoctoral Advanced Education Programs and General Practice Residency Program
- Director of Global Health Initiatives

The Office of Alumni and Development, led by the Senior Director, is responsible for fundraising activities in the College and works closely with the Alumni Board of Governors.

The Office of Marketing and Communications, led by the Director, is responsible for formal communications within the College, between the College and the University, and College communications outside of the University to the general public and the State of Ohio.

The Office of Continuing Education, led by the Director, maintains an extensive list of CE courses available to dentists, dental hygienists, and dental auxiliaries, and handles the registration for these courses. Current third-year and fourth-year predoctoral students may receive written permission from their Clinic Director to attend lecture-based CE courses at no charge. The Continuing Education Advisory Committee assists the Director of Continuing Education for the planning and evaluation of CE course offerings.

The Office of Human Resources, under the direction of the University HR Business Partner assigned to the College, and supported by the University Office of Human Resources, is responsible for employee recruitment, employee relations, organizational and employee development, compensation and payroll, human resources (University and extra-University) compliance, and information management in the area of human resources. This Office provides guidance in the development, implementation, and equitable administration of policies and procedures, with the goal of the College providing a high-performance high-morale environment for all employees. This HR Business Partner supports the Dean for overall human resources strategy matters and the Chief Administrative Officer for day-to-day human resources activities.

The Office of Outreach and Community Engagement, led by the Program Director for the OHIO Project, is responsible for the evaluation and selection of community sites and the placement of DDS students in those external sites. The Director is also responsible for monitoring these sites for quality and for recommending community dentists for adjunct College appointments. The Director also has overall responsibility for the operation of the mobile dental van that travels to schools and provides care to children in need, and for the evaluation of students and staff involved in these outreach activities. The Director is the College liaison to community organizations that deal with community dental health matters.

The Director of Student Research is responsible for the planning and execution of student research programs in the College. The Director works closely with the Associate Dean for Research for student travel support to deliver presentations at the annual meetings of the International/American Association for Dental Research and with the arrangements for student Research Day at which student presentations for forthcoming IADR/AADR and other professional meetings are delivered and an eminent outside speaker is invited to discuss an important aspect of current dental research.

There is a Program Director for each postdoctoral CODA-approved advanced education program and for the General Practice Residency program. Each Director is responsible to the Chair of the respective Division in which the Program is located. This responsibility includes ensuring that the program provides students with advanced educational and clinical experiences that meet the accreditation standards needed to qualify for the particular clinical specialization. These Program Directors also work closely with the Associate Dean for Advanced and Graduate Education.

## C. Committees

Much of the development and implementation of College policies and programs are carried out by standing committees and ad hoc committees appointed by the Dean. The Dean is ex officio member of all College committees and may vote as a member on all committees except the Committee of Eligible Faculty and the Appointments, Promotion and Tenure Committee.

Standing committee members are appointed by the Dean from recommendations of the Faculty Council. Committee Chairs are designated by the Dean unless specifically noted otherwise. All committees are expected to meet as necessary to fulfill the committee charges. Minutes must be maintained by the Committee Chair and filed annually with the Office of the Dean. Minutes are also typically posted to the Committee website. There is student representation on all appropriate committees.

The standing committees are: Executive; Appointments, Promotion and Tenure; Clinic Operations; Continuing Education Advisory; Credentialing and Privileging; Cultural Awareness; DDS Academic Progress; DDS Admissions; DDS Program Curriculum; Dentistry Faculty Council; Ethics and Professionalism; Graduate Studies (separate Committees for MS and PhD Programs); Institutional Effectiveness; Investigations; Masters in Dental Hygiene Graduate Studies; Program Directors; Research; Student Professional Conduct. As needs arise, the Dean may propose the creation of a new standing committee to the Executive Committee.

The three Graduate Studies Committees (Masters, PhD, and Masters in Dental Hygiene) function under the Rules of the University Graduate School.

Information about the charges and membership for the College standing committees is provided in Appendix C.

In accordance with Office of Academic Affairs requirements, the College of Dentistry has an ad hoc Faculty Salary Appeals Committee. It is composed of the members of the college executive committee, excluding the associate deans, plus two professors from divisions other than that of the appellant. A division chair whose salary decision is being appealed does not serve on the committee. The committee elects its own chair when the committee is convened to hear an appeal.

The Faculty Salary Appeals Committee follows the appeals process established in the Office of Academic Affairs Policies and Procedures Handbook.

Task Forces have been appointed for the areas of Professionalism and of Safety and Infection and Control, as well as for updating the Appointments, Promotion and Tenure Document.

## VIII. Faculty Meetings

The Dean, in consultation with the Chair of Faculty Council, shall provide a schedule of Collegewide faculty meetings to all faculty members at the beginning of each academic term. The schedule will provide for one meeting per semester (autumn, spring and summer). A call for agenda items and completed agenda will be delivered to faculty by e-mail before a scheduled meeting. The agenda shall be determined by the Chair of Faculty Council and the Dean. Reasonable efforts will be made to call for agenda items at least seven days before the meeting, and to distribute the agenda by e-mail at least three business days before the meeting. The College faculty meetings are run by the Chair of Faculty

Council, and the Dean presents special announcements and serves as a resource person if the need arises. A special meeting of the College faculty can also be scheduled on written request of $25 \%$ of the full-time faculty or by the Chair of the Faculty Council. The Dean will make a reasonable effort to have the special meeting take place within one week of the request.

The Dean's Office will distribute minutes of College-wide faculty meetings to faculty by e-mail within seven days of the meeting, if possible. These minutes may be amended at the next faculty meeting by a simple majority vote of the faculty who were present at the meeting covered by the minutes.

Special policies pertain to voting on personnel matters, and these policies are set forth in the Appointments, Promotion and Tenure Document.

For purposes of discussing College business other than personnel matters, and for making decisions where consensus is possible and there is a reasonable basis for action, a quorum will be defined as a simple majority of all faculty members eligible to vote (FTE 75\% and greater).

Either the Dean or one-third of all faculty members eligible to vote may determine that a formal vote conducted by written ballot (which may be electronic) is necessary on matters of special importance. For purposes of a formal vote, a matter will be considered decided when a particular position is supported by at least a majority of all faculty members eligible to vote ( $75 \%$ FTE). Balloting will be conducted by mail or e-mail when necessary to assure maximum participation in voting. When conducting a ballot by mail or e-mail, faculty members will be given one week to respond.

When a matter must be decided and a simple majority of all faculty members present and eligible to vote cannot be achieved, the Dean will necessarily make the final decision.

The College accepts the fundamental importance of full and free discussion, but also recognizes that such discussion can only be achieved in an atmosphere of mutual respect and civility. Normally College meetings will be conducted with no more formality than is needed to attain the goals of full and free discussion and the orderly conduct of business. However, Robert's Rules of Order will be invoked when more formality is needed to serve these goals.

## IX. Distribution of Faculty Duties and Responsibilities

The University Office of Academic Affairs has guidelines on the distribution of faculty duties and responsibilities. (See the OAA Policies and Procedures Handbook, Volume 1, Chapter 2, Section 1.4.3.)

Faculty assignments are described in the initial letter of offer. Assignments and expectations for the upcoming year are addressed as part of the annual review by the Dean, based on College needs as well as faculty productivity and career development.

During on-duty periods, faculty members are expected to be available for interaction with students, research, and College meetings and events, even if they have no formal course assignment. The normal office hours in the College are from 7:30 AM - 4:30 PM. On-duty faculty members should not be away from campus for extended periods of time unless on an approved leave (see section XII) or on approved travel.

Telework exception: Faculty members with responsibilities requiring in-person interaction are to
work at a university worksite to perform those responsibilities. Telework and the use of remote, virtual meetings may be allowed at the discretion of the Dean if such work can be performed effectively, and faculty members are able to fulfill their responsibilities. The University has a process for the determination of whether telework would be possible for a particular faculty member. The Dean has the discretion to require faculty to work on campus if there are concerns that responsibilities are not being fulfilled through telework.

The guidelines outlined here do not constitute a contractual obligation. Fluctuations in the demands and resources of the College and the individual circumstances of faculty members may warrant temporary deviations from these guidelines. Assignments and expectations for the upcoming year are addressed as part of the annual review by the Dean.

A full-time faculty member's primary professional commitment is to Ohio State University, and the guidelines below are based on that commitment. Faculty who have professional commitments outside of Ohio State during on-duty periods (including teaching at another institution, conducting research for an entity outside of Ohio State, and external consulting) must disclose and discuss these activities with the Division Chair and Dean in order to ensure that no conflict of commitment exists. Information on faculty conflicts of commitment is presented in the OAA Policy on Faculty Conflict of Commitment.

In crisis situations, such as life-threatening disease (COVID, for example) or physical dangers (natural disasters, for example), faculty duties and responsibilities may be adjusted by the Dean, in consultation with the Division Chair, to take into account the impact over time of the crisis. These adjustments may include modifying research expectations in order to maintain teaching obligations. These assignment changes must be considered in annual reviews.

## A. Tenure-track Faculty

Tenure-track faculty are expected to contribute to the College and University missions via teaching, scholarship, and service. When a faculty member's contributions decrease in one of these three areas, additional activity in one or both of the other areas is expected. The normal workload allocation for College faculty is $50 \%$ teaching, $30 \%$ scholarship, and $20 \%$ service, which includes OSDFP for faculty who have a valid license to practice. The time allocation can vary if the faculty member has an administrative responsibility or special circumstances arise.

## Teaching

All tenure-track faculty members are expected to contribute to the College teaching activities. The specific teaching workload may vary, dependent upon the background, expertise, experience, rank of the individual faculty member, and Division needs. A faculty member may be asked to advise predoctoral and/or graduate students or residents in postgraduate specialty programs, including serving as a research mentor and/or a member of an MS thesis or PhD dissertation committee.

Adjustments to the teaching responsibilities of a faculty member may be made to account for teaching a new class, the size of the class, whether the class is taught on-line or team-taught, and other factors that may affect the preparation time involved in teaching the course.

The teaching assignment may also vary for an individual faculty member, based on research and/or service activity. Faculty members who are especially active in research can be assigned an enhanced research time allocation that includes a reduced teaching assignment. Likewise, faculty members who are relatively inactive in research can be assigned an enhanced teaching time
allocation that includes an increased teaching assignment. Faculty members who are engaged in extraordinary service activities (to the Division, College, University, and in special circumstances professional organizations within the discipline) can be assigned an enhanced service time allocation that includes a reduced teaching assignment.

The workload for a faculty member is contractually assigned by the Dean. The Division Chair is responsible for making detailed teaching assignments on an annual basis, in consultation with the Dean, who may decline to approve requests for adjustments by faculty members when approval of such requests is not judged to be in the best interests of the College. All faculty members (other than those with research faculty appointments) are expected to perform some teaching, which can include advising, over the academic year.

## Scholarship

All tenure-track faculty members are expected to be engaged in scholarship appropriate to the discipline of dentistry, as defined in the College Appointments, Promotion and Tenure Document. Over a four-year rolling period a faculty member who is actively engaged in scholarship will be expected to publish regularly in high-quality peer-reviewed journals as well as in other appropriate venues, such as edited book chapters of similar quality and length as articles. Faculty are expected to obtain internal (College or University) funding and/or extramural funding, and teaching assignments will be reduced proportionate to the percentage of funded release time from extramural sources that provide full indirect cost recovery. Faculty members are encouraged to seek appropriate opportunities to obtain patents and engage in other commercial activities stemming from their research.

## Service

Tenure-track faculty members are expected to be engaged in service and outreach to the College, University, community, and profession. Typically, this will include service on three committees within the Division and/or College, and additional committee service outside of the College is encouraged. This pattern can be adjusted depending on the nature of the assignment (e.g., serving as a committee chair, service on a particularly time-intensive committee, organizing a professional conference, leadership in an educational outreach activity, and service in an administrative position within the Division, College, or University).

All faculty members are expected to attend and participate in Division and College faculty meetings, recruitment activities, and other Division/College events.

## i. Special Assignments

Information on special assignments (SAs) is presented in the Office of Academic Affairs Special Assignment Policy. The information provided below supplements these policies.

An untenured College faculty member will normally be provided a Special Assignment (SA) to perform research/scholarly activities for one semester during the probationary period. Reasonable efforts will be made to award SA opportunities to all faculty members, subject to the quality of proposals, including potential benefit to the College or University, and the need to assure that sufficient faculty are always present to carry out Division responsibilities. The Division Chair will evaluate SA proposals from Division faculty, and make recommendations to the Dean for the final decision. The Division Chair recommendation will be based on the quality of the SA proposal and its potential benefit to
the Division or College, and to the faculty member, as well as the ability of the Division to accommodate the SA at the time requested.

## B. Clinical Faculty

Clinical faculty members are expected to contribute to the College mission via teaching and service, and to a lesser extent scholarship. Service expectations are similar to those for the tenuretrack. The normal workload for a clinical faculty member is $80 \%$ teaching and $20 \%$ service, which includes OSDFP for faculty with a valid license to practice.

All clinical faculty are expected to contribute to the College teaching in didactic courses or instructional situations involving patients, courses or instructional situations involving simulation of patients, or courses or instructional situations involving professional skills.

## C. Research Faculty

Research faculty members are expected to contribute to the College mission via research. In accord with Faculty Rule 3335-7-34, a research faculty member may, but is not required to, participate in limited educational activities in the area of his or her expertise. Teaching opportunities for each research faculty member must be approved by a majority vote of the tenure-track faculty. Under no circumstances may a member of the research faculty be continuously engaged over an extended period of time in the same instructional activities as tenure-track faculty.

Research expectations are similar to those for the tenure-track, albeit proportionally greater since $100 \%$ of the formal effort for research faculty members is devoted to research. Specific expectations are spelled out in the letter of offer, and additional details are provided in the Appointments, Promotion and Tenure Document.

## D. Associated Faculty

Compensated associated faculty members are expected to contribute to the College mission via teaching or research, depending on the terms of their individual appointments. Expectations for compensated visiting faculty members will be based on the terms of their appointment and are comparable to those for tenure-track faculty members except that service is not required.

## E. Modification of Duties

The College of Dentistry strives to be a family-friendly unit in its efforts to recruit and retain highquality faculty members. To this end, the College is committed to adhering to the guidelines on modification of duties to provide its faculty members flexibility in meeting work responsibilities within the first year of childbirth/adoption/fostering, or care for an immediate family member who has a serious health condition, or a qualifying exigency arising out of the fact that the employee's immediate family member is on covered active duty in a foreign country or call to covered active duty status.

For a faculty member requesting the modification of duties for childbirth/adoption/fostering, the division chair and college dean should be creative and flexible in developing a solution that is fair to both the individual and the unit while addressing the needs of the university. Expectations must be spelled out in an MOU that is approved by the dean. See the OHR Parental Care Guidebook for additional details. See also the Parental Leave Policy in Section XII.

## X. Course Offerings and Teaching Schedule

The Office of the Associate Dean for Academic Affairs will annually develop a schedule of course offerings and teaching schedules for predoctoral students, in consultation with the Division Chairs and faculty. While every effort will be made to accommodate the individual preferences of faculty, the first obligation of the College is to offer the courses needed by the students at times and in formats most likely to meet student needs. Reasonable efforts are made to distribute didactic, laboratory and clinical course offerings across the day and week to accommodate the schedules and needs of the students in the predoctoral program. The dental hygiene, postdoctoral specialty and Oral Biology PhD programs handle their respective course schedules. Predoctoral course schedules are set in the Office of the Associate Dean for Academic Affairs. The dental hygiene and postdoctoral specialty course schedules are arranged by the individual Directors, and advanced biosciences courses for the Oral Biology PhD program are arranged by the PhD Graduate Studies Committee in consultation with the Chair of the Division of Biosciences. Even though the class size may be limited because of the small enrollment in some of these programs, all necessary courses will be scheduled to accommodate student needs.

Faculty are responsible for fulfilling their teaching assignment. If unable to do so, they must notify the Division Chair. All planned absences must be approved by the Division Chair well in advance of the absence. Every effort will be made to accommodate these requests. However, meeting the teaching responsibilities is the first priority. In the event of an unplanned absence, faculty members must notify the Division Chair as soon as possible. Faculty are expected to cooperate when asked to substitute for another faculty member who is unexpectedly absent.

## XI. Allocation of College Resources

The Dean is responsible for the fiscal and academic health of the College and for assuring that all resources-fiscal, human, physical, and electronic are allocated in a manner that will optimize achievement of College goals.

The Dean will discuss the College budget at least annually with the faculty and attempt to achieve consensus regarding the use of funds across general categories. The Dean also provides periodic information about fiscal matters at monthly meetings of the Executive Committee, and Division Chairs communicate this information at subsequent Division meetings to their faculty. However, final decisions on budgetary matters rest with the Dean.

Research space shall be allocated on the basis of research productivity, including external funding, and will be reallocated periodically as these faculty-specific variables change. The Associate Dean for Research is responsible for the allocation of research space to the faculty.

The allocation of office space will include considerations such as achieving proximity of faculty in subdisciplines, productivity, and the grouping of staff functions to maximize efficiency. The Division Chair is responsible for the allocation of faculty and staff office space within the Division, in consultation with the Dean.

Allocation of salary funds is based upon faculty annual reviews, and is discussed in the Appointments, Promotion and Tenure Document. The Division Chairs have access to discretionary resources to be used for the benefit of faculty in their Divisions. College funds will support travel of faculty to professional meetings where they are representing the College at large at the request of the Dean.

## XII. Leaves and Absences

In general, there are four types of leaves and absences taken by faculty (in addition to parental leave, which is detailed in the Parental Care Guidebook). The university's policies and procedures with respect to leaves and absences are set forth in the Office of Academic Affairs Policies and Procedures Handbook and Office of Human Resources Policies and Forms website. The information provided below supplements these policies.

## A. Discretionary Absence

Faculty are expected to complete a travel request or a request for absence form well in advance of a planned absence (for attendance at a professional meeting or to engage in consulting) to provide time for its consideration and approval and time to assure that instructional and other commitments are covered. Discretionary absence from duty is not a right, and the Dean retains the authority to disapprove a proposed absence when it will interfere with instructional or other comparable commitments. Such an occurrence is most likely when the number of absences in a particular semester is substantial. Rules of the University Faculty require that the Office of Academic Affairs approve any discretionary absence longer than 10 consecutive business days (see Faculty Rule 3335-5-08).

## B. Absence for Medical Reasons

When absences for medical reasons are anticipated, faculty members are expected to complete a request for absence form as early as possible. When such absences are unexpected, the faculty member, or someone speaking for the faculty member, should let the Division Chair know promptly so that instructional and other commitments can be managed; the Office of the Dean should also be informed. Faculty members are always expected to use sick leave for any absence covered by sick leave (personal illness, illness of family members, medical appointments). Sick leave is a benefit to be used—not banked. For additional details see OHR Policy 6.27.

## C. Unpaid Leaves of Absence

The university's policies with respect to unpaid leaves of absence and entrepreneurial leaves of absence are set forth in OHR Policy 6.45. The information provided below supplements these policies.

## D. Faculty Professional Leave

Faculty Professional Leaves are intended to provide faculty an uninterrupted period of time to devote to their professional development and the enhancement of their pedagogical and research skills. Information on faculty professional leaves is presented in the OAA Policy on Faculty Professional Leave. The information provided below supplements these policies.

The College Appointments, Promotion and Tenure Committee will review all requests for faculty professional leave and make a recommendation to the Dean based on the following criteria:

- Overall quality and impact of the planned activities.
- Assessment of the planned activities for relevance to the future career goals of the applicant.
- Relevance of the planned activity to the ability of the applicant to continue contributing to the College mission and goals.

The Division Chair will make a recommendation about the proposed FPL to the Dean. The decision of the Dean will be based on the quality of the proposal, its potential benefit to the College and the faculty member, and the ability of the Division to accommodate the leave at the time requested.

## E. Parental Leave

The University and the College recognize the importance of parental leave to faculty members. Details are provided in the OHR Parental Care Guidebook, Paid Time Off Program Policy 6.27, and the Family and Medical Leave Policy 6.05.

## XIII. Supplemental Compensation and Paid External Consulting

Information on faculty supplemental compensation is presented in the OAA Policy on Faculty Compensation. Information on paid external consulting is presented in the University Policy on Faculty Paid External Consulting. The information provided below supplements these policies.

The College of Dentistry adheres to these policies in every respect. In particular, the College expects faculty members to carry out the duties associated with their primary appointment with the University at a high level of competence before seeking other income-enhancing opportunities. All activities providing supplemental compensation must be approved by the Dean regardless of the source of compensation. External consulting must also be approved. Approval will be contingent on the extent to which a faculty member is carrying out regular duties at an acceptable level, the extent to which the extra-income activity appears likely to interfere with regular duties, and the academic value of the proposed consulting activity to the Division and College. In addition, it is University policy that faculty may not spend more than one business day per week on supplementally compensated activities and external consulting combined. Faculty with an administrative position (for example, Division Chair, Associate/Assistant Dean, Program Director) remain subject to the Policy on Faculty Paid External Consulting and with appropriate approval, are permitted to engage in paid external work activities. However, faculty members with administrative positions are not permitted to accept compensation/honoraria for services that relate to or are the result of their administrative duties and responsibilities.

Should a College faculty member wish to use a textbook or other material that is authored (or edited) by the faculty member and the sale of which results in a royalty being paid to him or her, such textbook or material may be required for a course by the faculty member only if (1) the faculty member's Division Chair and Dean or designee have approved the use of the textbook or material for the course taught by the faculty member, or (2) an appropriate committee of the College reviews and approves the use of the textbook or material for use in the course taught by the faculty member.

Faculty who fail to adhere to the University policies on these matters, including seeking approval for external consulting, will be subject to disciplinary action.

## XIV. Financial Conflicts of Interest

Information on faculty financial conflicts of interest is presented in the University Policy on Faculty Financial Conflict of Interest. A conflict of interest exists if financial interests or other opportunities for tangible personal benefit may exert a substantial and improper influence upon a faculty member or administrator's professional judgment in exercising any university duty or responsibility, including designing, conducting or reporting research.

Faculty members with external funding or otherwise required by University policy are required to file conflict of interest screening forms annually and more often if prospective new activities pose the possibility of financial conflicts of interest. Faculty who fail to file such forms or to cooperate with University officials in the avoidance or management of potential conflicts will be subject to disciplinary action.

In addition to financial conflicts of interest, faculty must disclose any conflicts of commitment that arise in relation to consulting or other work done for external entities. Further information about conflicts of commitment is included in section IX above.

## XV. Grievance Procedures

Members of the College with grievances should discuss them with the Division Chair, and as needs arise with the Dean, who will review the matter as appropriate and either seek resolution or explain why resolution is not possible. The content below describes procedures for the review of specific types of complaints and grievances.

## A. Salary Grievances

A faculty or staff member who believes that his or her salary is inappropriately low should first discuss the matter with the Division Chair, who may refer the matter to the Dean for further discussion. The faculty should provide documentation to support the complaint.

Faculty members who are not satisfied with the outcome of the discussion(s) with the Division Chair/Dean and wish to pursue the matter may be eligible to file a more formal salary appeal (see the Office of Academic Affairs Policies and Procedures Handbook).

Staff members who are not satisfied with the outcome of the discussion with the Division Chair and wish to pursue the matter should contact Employee and Labor Relations in the Office of Human Resources.

## B. Faculty Promotion and Tenure Appeals

Promotion and tenure appeals procedures are set forth in Faculty Rule 3335-5-05.

## C. Faculty and Staff Misconduct

Complaints alleging faculty misconduct or incompetence should follow the procedures set forth in Faculty Rule 3335-5-04.

Any student, faculty, or staff member may report complaints against staff to the division chair and / or college dean. The Office of Employee and Labor Relations in the Office of Human Resources can provide assistance with questions, conflicts, and issues that arise in the workplace.

## D. Harassment, Discrimination, and Sexual Misconduct

The Office of Institutional Equity exists to help the Ohio State community prevent and respond to all forms of harassment, discrimination, and sexual misconduct.
1 Ohio State's policy and procedures related to affirmative action, equal employment opportunity, and non-discrimination/harassment are set forth in the University's policy on affirmative action and equal employment opportunity.
2 Ohio State's policy and procedures related to sexual misconduct are set forth in the University's policy on nondiscrimination, harassment, and sexual misconduct.

## E. Violations of Laws, Rules, Regulations, or Policies

Concerns about violations of laws, rules, regulations, or policies affecting the university community should be referred to the Office of University Compliance and Integrity. Concerns may also be registered anonymously through the Anonymous Reporting Line.

## F. Complaints by and about Students

Normally student complaints about courses, grades, and related matters are brought to the attention of individual faculty members. In receiving such complaints, faculty should treat students with respect regardless of the apparent merit of the complaint and provide a considered response. When students bring complaints about courses and instructors to the Dean, the Dean will first ascertain whether or not the students require confidentiality. If confidentiality is not required, the Dean will investigate the matter as fully and fairly as possible and provide a response to both the students and any affected faculty. If confidentiality is required, the Dean will explain that it is not possible to fully investigate a complaint in such circumstances and will advise the student(s) on options to pursue without prejudice as to whether the complaint is valid or not. See Faculty Rule 3335-8-23.

Faculty complaints regarding students must always be handled strictly in accordance with University rules and policies. Faculty should seek the advice and assistance of the Division Chair, and others in the Office of the Associate Dean for Academic Affairs who have appropriate knowledge of policies and procedures when problematic situations arise. The Associate Dean for Academic Affairs may refer the complaint to the Dean for further consideration and a decision.

## XVI. Expectations for Honor and Professional Conduct

The College has expectations of honorable behavior and professional conduct by faculty, staff, students, and administrators. Evidence of academic misconduct by students is generally investigated within the College by the Student Professional Conduct Committee (see Appendix C). For cases involving academic misconduct in the preparation of an MS thesis or PhD dissertation, the Graduate School and the University Committee on Academic Misconduct become involved, since these students are enrolled in the Graduate School. Faculty misconduct or incompetence should follow the procedures set forth in Faculty Rule 3335-5-04.

## APPENDICES

Appendix A. Organizational Chart for College of Dentistry
Appendix B. Intramural Practice Plan for College Faculty
Appendix C. Standing Committees for College of Dentistry




Chapter 3335-107
The Ohio State University College of Dentistry
Intramural Practice Plan (OSDFP)

## 3335-107-01 Introduction.

The Ohio State University College of Dentistry, recognizing that the intramural practice of dentistry by all full-time members of the faculty of the College of Dentistry who are licensed to practice dentistry in the state of Ohio will serve to enhance the teaching, research, and patient care missions of the College, hereby grants to each member the privilege of conducting such intramural practice subject to the terms and conditions incorporated into this practice plan. All persons beginning service as full-time faculty members at The Ohio State University on July 1, 1982, and thereafter, shall be subject to this plan as a condition of their employment at The Ohio State University, and all persons who began service as a full-time faculty member prior to July 1, 1982, shall have the opportunity to join this practice plan and, upon joining the plan, compliance with the terms of this plan shall be a condition of their employment at The Ohio State University.

## 3335-107-02 Definitions.

For the purposes of rules 3335-107-01 to 3335-107-06 of the Administrative Code:
(A) "Full-time faculty member" shall mean a member of the faculty of The Ohio State University College of Dentistry who is appointed to the College on an appointment requiring one hundred percent service to the University or a person who holds an appointment to the title of "emeritus faculty" as that term is defined by section 3335-5-19(D) of the Ohio Administrative Code who, at the time of such appointment, was required to provide one hundred percent service to the University. In order to qualify as a "full-time faculty member," an individual shall be currently licensed to practice dentistry in the state of Ohio.
(B) "Salary" shall mean the individual faculty member's base salary specified in the annual notice of appointment issued by The Ohio State University.
(C) "Practice income" shall mean total receipts (after deductions for expenses and costs made in accordance with the rules of this Chapter) from patient care fees generated by a fulltime faculty member covered under this plan from the performance of patient care services.
(D) "College" shall mean The Ohio State University College of Dentistry.
(E) "Dean" shall mean the Dean of The Ohio State University College of Dentistry.
(F) "University" shall mean The Ohio State University.
(A) Practice time.

Each full-time faculty member will be permitted to practice up to an average of one day per week within The Ohio State University in accordance with the policies and procedures regarding outside professional services as adopted by the University. No practice by a full-time faculty member outside the University will be permitted. Practice time and location will be agreed upon the Dean of the College, each full-time faculty member and the chairman of the section in which the full-time faculty member serves.
(B) (1) The College of Dentistry will supply clinical facilities known as the "intramural practice clinic," where the full-time faculty member is to conduct his intramural patient care practice. Such clinical facilities shall include designated practice space and fixed equipment, including a dental chair, and fixed hand pieces associated therewith, laboratory equipment, and operating equipment. The College shall see that the personnel or mechanisms necessary to support the practitioner and to coordinate appointments and billings in accordance with paragraph (C) of rule 3335-107-03 of the Administrative Code are provided the full-time faculty member.
(2) Each full-time faculty member shall be responsible for furnishing and paying for his or her own specialized materials, instruments, and laboratory fees as determined by the Dean.
(C) Appointments, billings and collections.

The College shall be responsible to see that a professional fee office will be maintained as a part of this practice plan. This office shall be responsible to see that billing statements are issued, fees collected, and that appropriate records of all billings and payments are maintained for all full-time faculty participation in this plan. The records will be kept current and be open for inspection by the Dean of the College, section chairpersons, or any full-time faculty member participating in this practice plan. Appointment books recording the daily private practice of the full-time faculty members will be kept in the intramural practice clinic by individuals designated by the Dean.

These books are subject to review by the Dean or his representatives.

## 3335-107-04 Allocation of fee income and costs associated with production of fees.

(A) Distribution of costs.

The College shall maintain accurate records of the total costs (direct or indirect) incurred in University facilities to support patient care practice. It is recognized that these costs are appropriately shared by The Ohio State University, the College of Dentistry, and the full-time faculty member.
(B) Distribution of practice income.

In addition to his or her "salary," each full-time faculty member participating in this practice plan shall be permitted to generate practice income from his or her patient care activities. Practice income shall be distributed as follows:
(1) Fifty percent of a full-time faculty member's practice income shall be payable to the full-time faculty member, subject to no further University limitations.
(2) A percentage of a full-time faculty member's practice income, as agreed upon by the authorized fiscal representative of The Ohio State University and the Dean, shall be paid to The Ohio State University to compensate the University for the costs (direct and indirect) associated with the dental facilities provided at the University. This percentage amount shall be no less than ten percent of practice income.
(3) The remaining percentage of a full-time faculty member's practice income, after deducting the percentage paid pursuant to paragraphs (B) (1) and (B) (2) of rule 3335-107-04 of the Administrative Code, shall be paid to the College of Dentistry to be used as determined by the Dean.

3335-107-05 Academic program considerations.
(A) Patient care.

Every patient treated within the intramural practice clinic shall be assigned (or will select on the advice of a referring dentist or physician) a full-time faculty member as his or her "personal dentist." The personal dentist will be responsible for the professional care of the patient. The personal dentist shall obtain the necessary consent from each of his or her patients, such that every patient treated within the intramural practice clinic and such patient's records shall be available for student observation and training.
(B) Records.

All records pertaining to patient care, billing, appointments and collections within the intramural practice clinic shall be made available for student observation and training.

## 3335-107-06 Dental Practice Group.

A dental practice group may be established with the approval of the Dean. The dental practice group shall contract with the College to render dental services to the intramural practice clinic pursuant to the plan and to administer the patient care practice of the full-time faculty members conducted pursuant to the terms of the plan; provided, however, Section 3335-107-04(B) shall not apply to the operation of the plan by the dental practice group. Upon establishment of the dental practice group, the revenues collected for the delivery of dental services by the dental practice group pursuant to the plan shall be distributed as follows:
(1) An amount equal to fifty percent of the portion of such revenues attributable to services rendered by a full-time faculty member pursuant to the faculty member's contract with the dental practice group (after deduction from such portion of private dental technology laboratory expenses and certain other expenses specified by the Dean) shall be payable to such full-time faculty member, subject to no further University limitations.
(2) A percentage of such revenues, as agreed upon by the authorized fiscal representative of the University and the Dean, shall be paid to the University to compensate the University for the costs (direct and indirect) associated with the dental facilities provided at the University. This percentage amount shall be no less than ten percent of such revenues.
(3) The remaining revenues, after deducting the amounts paid pursuant to paragraphs (B) (1) and (B) (2) of this rule, shall be retained by the dental practice group to be used as determined by the dental practice group.

Upon establishment of a dental practice group, all dentistry services rendered at the intramural practice clinic pursuant to the plan shall be rendered exclusively by full-time faculty members who have contracted with the dental practice group to render such services. In addition to the other requirements of the plan, all full-time faculty members shall contract with the dental practice group to render dental services at the intramural practice clinic pursuant to the plan.

Board of Trustees<br>Amendments to the Dental Faculty Practice Plan

Resolution No. 92-46
Synopsis: The Academic Affairs Committee recommended the approval of amendments to the Dental Faculty Practice Plan (OSDFP).

WHEREAS a corporation named Dental Faculty Practice Association, Inc. - William R. Wallace, D.D.S., Dean, has been incorporated and states as its purpose:

The purposes for which the corporation is formed are to render any type of professional service in the practice of dentistry authorized under Chapter 4715 of the Ohio Revised Code as a charitable, educational and scientific activity for the benefit of The Ohio State University ("University") and patients of the Intramural Practice Clinic of the University; including:
a. Providing dental services at the Intramural Practice Clinic to persons who may come to the Intramural Practice Clinic for diagnosis, treatment, and care;
b. Engaging in dental research and providing benefits and support to the University's College of Dentistry and clinical and classroom instruction to students enrolled in it; and
c. Engaging in those lawful activities for which corporations may be formed under Chapter 1785 of the Ohio Revised Code that are not inconsistent with the foregoing; and

WHEREAS it is in the best interests of the University for the corporation to be organized and functioning and to have representatives of the University participate in connection with the operations of the corporation; and

WHEREAS the resignation of William R. Wallace, D.D.S. as Dean of The Ohio State University College of Dentistry and the appointment of Henry W. Fields, Jr., D.D.S. as his successor, each effective as of September 1, 1991, have necessitated that the name of the corporation be changed in order to remain in compliance with the Ohio statute which requires the name of a dentist to be included in the name of the professional association rendering dental services:

## NOW THEREFORE

BE IT RESOLVED, That the formation of the Corporation and the participation of the University in its incorporation and organization are hereby ratified, adopted, confirmed and approved; and

BE IT FURTHER RESOLVED, That the Dean of the College of Dentistry is authorized, designated and directed to serve as the trustee of the trust which holds the sole issued and outstanding share of the corporation for the benefit of the University and to serve in that capacity as official representative of the University, such service to be part of his official responsibility to
the University, entitling him to any insurance or indemnity protection to which officers or employees of the University now are or hereafter may become entitled; and

BE IT FURTHER RESOLVED, That each person elected as a director or an officer of the corporation or appointed to the position of Trustee under the Dental Faculty Practice Association, Inc. Trust Agreement who is also an employee, faculty member or other official of the University is authorized, designated and directed to serve in his or her capacity as a directoror an officer of the corporation or as Trustee under the Trust Agreement as an official representative of the University and as part of their official responsibility to the University, such service entitling them to any insurance or indemnity protection to which officers or employees ofthe University now are or hereafter may become entitled; and

BE IT FURTHER RESOLVED, That the actions of the officers and directors of the corporation in incorporating, organizing, and operating the corporation in the interests of the University are hereby ratified, adopted, confirmed, and approved.

Upon motion of Ms. Casto, seconded by Mr. Kessler, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

## CERTIFICATE

This is to certify that the foregoing is a true and accurate excerpt from the minutes of theBoard of Trustees meeting held November 1,1991.

Madison H. Scott
Secretary

# THE OHIO STATE UNIVERSITY COLLEGE OF DENTISTRY COMMITTEE CHARGES \& ASSIGNMENTS 

(Updated July 21, 2022)
Standing committee members are appointed through the mechanism outlined in the College Pattern of Administration (POA) with staggered three-year terms of office. Standing committee members are appointed by the Dean from recommendations of the Faculty Council. Committee members serve a maximum of two consecutive terms. Committee Chairs are appointed by the Dean, unless specifically noted below. All committees are expected to meet as necessary to fulfill the committee charges. Minutes must be maintained by the Chair and annually reported to the faculty and placed on the College website, except as noted below. Ad hoc committees are appointed periodically with aspecific, time-limited charge. Students are represented on the Clinic Operations Committee, Cultural Awareness Committee, DDS Admissions Committee, DDS Program Curriculum Committee, Ethics and Professionalism Committee, Institutional Effectiveness Committee, and Student Professional Conduct Committee. Student members can vote on all of these committees except for the DDS Program Curriculum Committee.

## EXECUTIVE COMMITTEE

Functions of the Committee are to:

- Establish, review, amend and implement administrative policies and rules of the College.
- Advise the Dean regarding faculty concerns and administrative operations of the College.
- Advise the Dean on budgetary matters.
- Bring faculty concerns forward for resolution.

Membership:

- Dean, chair
- Associate Dean for Academic Affairs
- Associate Dean for Advanced Education and Graduate Studies
- Associate Dean for Clinic Administration and Patient Care
- Associate Dean for Research
- Assistant Dean for Diversity, Equity and Inclusion and Chief Diversity Officer
- Assistant Dean for Pre-doctoral Clinic Operations
- Division Chairs
- Chair and Chair-elect of Faculty Council
- Chief Administrative Officer
- Senior Director, Development and Alumni Affairs
- Senior Human Resources Business Partner
- Director, Executive Communications
- Director, Information Systems
- Director, Marketing and Communications


## APPOINTMENTS, PROMOTION AND TENURE COMMITTEE

A 7-member College Appointments, Promotion and Tenure Committee serves as a representative of the eligible faculty. Membership is limited to faculty of at least associate professor rank. Term of appointment is 5 years. Each year the Dean appoints a new member from nominations received from the Elections and Appointments Committee, and the Chair exits the committee. The committee functions in accordance with Faculty Rules 3335-6-02 and 3335-7-05, 08, 09, 10.

The Roles of Chair, Secretary, and Procedural Oversight Designee will be assigned by the Dean annually. The Chair will always be at the rank of Professor in the last year of his/her term.

Functions of the Committee are to:

- Recommend to the Dean appropriate rank for new appointments.
- Guide candidates for promotion in the preparation of the dossier.
- Solicit external letters.
- Determine a candidate's suitability for non-mandatory review.
- Determine if there is a conflict of interest of any faculty member at the meeting of the eligible faculty.
- At a meeting of the eligible faculty, review and analyze the candidate's dossiers indicating the extent to which the evidence meets the established criteria/standards for promotion. This analysis will provide the starting point for a full and open discussion by the full eligible faculty of the candidate's documented accomplishments followed by a vote of that body.
- Compose a letter to the Dean including the vote of the eligible faculty and summarizing the discussion of the eligible faculty on each criterion and the rationale for the final vote of the faculty.
Membership:
- Six tenured faculty members, at least 3 of whom are at the rank of Professor and one Professor of Clinical Dentistry.
- The chair is a Professor appointed by the dean in the $3^{\text {rd }}$ year. The chair's term continues through the $3^{\text {rd }}$ and $4^{\text {th }}$ years, and that person serves as advisor to the succeeding chair in the $5^{\text {th }}$ year. The chair's term of appointment would not exceed 5 years.


## CLINIC OPERATIONS COMMUTEE

Providing comprehensive clinical care to dental patients is one of the primary objectives of the College ofDentistry. The Clinic Operations Committee will assist the Assistant/Associate Dean for Clinic Administration and Patient Care in the development and implementation of efficient, safe and practical clinic operations that maximize the opportunity to provide comprehensive care to dental patients in addition to supporting an optimal environment for teaching and student learning.

Functions of the Committee are to:

- Develop and implement a patient care quality assurance/quality improvement (QA/QI) programto include: Clinical quality measures, Benchmarking, Patient experience surveys, Adverse incident reports, Chart audits, Patient Rights/Patient Responsibilities, Patient confidentiality, Patient complaints/grievances, Active/completed treatment reviews
- Provide oversight of the ongoing monitoring, evaluation and improvement of clinical care processesand system
- Develop policies and procedures to ensure the highest quality of patient care, satisfaction and riskreduction
- Develop and implement evidence-based 'best practice' clinical guidelines
- Ensure that the patient record and reporting systems are sufficient to support the QA/QI program
- Committees with which this committee interacts:
- Executive Committee
- Institutional Effectiveness Committee
- Safety and Infection Prevention Steering Committee and subcommittees
- Student Clinic Operations Advisory Team

Membership:

- Associate Dean, Clinic Administration and Patient Care, Chair, Ex-officio
- Assistant Dean for Predoctoral Clinic Operations, Ex-officio
- Clinical Director of Dental Hygiene Program, Ex-officio
- Five faculty with clinical and/or pre-clinical teaching responsibilities
- One Dental Faculty Practice faculty member
- One advanced training program director
- One advanced training clinic staff member
- One resident/graduate student
- One predoctoral student
- One dental hygiene student
- Student Clinic Manager, Ex-officio
- Clinic Health and Safety Manager, Ex-officio
- Chief Administrative Officer, Ex-officio
- Clinical Risk and Privacy Officer, Ex-officio

This committee serves as an advisory body to the Director of Continuing Education for the purposeof planning and evaluation of Continuing Education offerings. Terms are for 2 years.

Functions of the Committee are to evaluate CE offerings in light of:

- quality
- demand
- satisfaction
- cost, and
- marketing/publicity

Membership:

- Director, Continuing Education, Chair, Ex-officio
- Seven Faculty
- One Practicing Community Dentist
- One Practicing Community Dental Hygienist
- One Practicing Community Dental Assistant


## CREDENTIALING AND PRIVILEGING COMMITTEE

The credentialing and privileging responsibilities of the dentists and dental hygienists are delegated to the College of Dentistry (CoD) Credentialing and Privileging (C\&P) committee.

## Responsibilities of the committee are to:

- Review all of the CoD's verified credentialing information for dentist and dental hygiene appointments, as well as all requests for initial, re-credentialing, amendment or appeals of clinical privileges;
- Ensure, through the chairperson of the committee, that all records of peer review activity obtained with the practitioner's consent and taken by the committee, including committee minutes, are maintained in the strictest of confidence in accordance with the federal law and state of Ohio laws. The committee may conduct investigations and interview applicants as needed to discharge its duties. The committee may refer issues and receive issues as appropriate from other college committees;
- Inform the dean, division chair, associate dean of clinic administration and patient care, Dental Faculty Practice (DFP) president and applicant, through the chairperson of the committee, of decisions regarding initial and subsequent requests for clinical privileges, including any restriction or limitation of a dentist's/dental hygienist's clinical privileges for noncompliance or any other matter related to its responsibilities; and
- Review and grant temporary privileges.


## Membership:

The composition of the $\mathrm{C} \& \mathrm{P}$ committee shall include licensed clinical division chairs as voting, ex-officio members. The dean of the CoD appoints one (1) full-time faculty member with clinical teaching responsibilities as a voting member for a two (2) year term. The associate dean of clinic administration and patient care at the CoD serves as a non-voting, ex-officio member. The DFP president shall serve as voting, ex-officio member. The DFP director shall serve as non-voting, ex-officio member and is responsible for communication to the DFP Board. An appointed assistant supports the administrative needs of the committee. In the absence of a division chair, the dean appoints a full-time, clinically experienced faculty member with teaching responsibilities at CoD to serve in the interim until the division chair is appointed.

The chair of the $\mathrm{C} \& \mathrm{P}$ committee must be a licensed dentist/dental hygienist voting member elected by the members of the committee. The term of the chair appointment is two (2) years. The chair may serve two (2) consecutive terms.

- Division Chairs, ex-officio (voting)
- Dental Hygiene - Oral and Maxillofacial Radiology
- Endodontics
- Orthodontics \& Dentofacial Orthopedics
- Oral and Maxillofacial Surgery \& Dental Anesthesiology
- Pediatric Dentistry
- Periodontology
- Oral and Maxillofacial Pathology
- Restorative \& Prosthetic Dentistry
- One (1) full-time faculty member with clinical teaching responsibilities (voting)
- Associate Dean, Clinic Administration and Patient Care, ex-officio (non-voting)
- President, Dental Faculty Practice, ex-officio (voting)
- Director, Dental Faculty Practice, ex-officio (non-voting)
- Credentialing Specialist (non-member, non-voting)


## CULTURAL AWARENESS COMMITTEE

The Cultural Awareness Committee advises the Dean regarding opportunities for the college to enhance the understanding of the role of diversity within the College.

Functions of the Committee are:

- Suggest programs designed to assist faculty and staff to increase their appreciation andsupport of continuing diversity.
- Develop and implement programs to enhance diversity and cultural awareness among faculty,students and staff.
- One member to represent the college as the diversity advocate at the Office of Diversity andInclusion meetings.

Membership:

- Chair
- Faculty Council Chair
- Two faculty-at-large
- Staff member from the Office of Community Education
- Four student representatives:
- President (or elected representative) of Student Government Association
- President (or elected representative) of Dental Hygiene
- President (or elected representative) of one of the college student organizations withspecial interest in race and/or gender
- One graduate student
- Assistant Director of Admissions, Ex-officio
- Chair of Dental Staff Development Committee, Ex-officio
- Senior Human Resources Officer, Ex-officio


## DDS ACADEMIC PROGRESS COMMITTEE

Functions of the Committee are to:

- Reviews the academic progress of all DDS students.
- Makes recommendations regarding these students' academic progress.
- Determines advanced standing when appropriate.
- Reviews and approves or denies appeals of committee decisions and requests forreinstatement to the DDS program.

Decisions of the Committee are final, subject to final appeal, which is made to the Dean.
Membership:

- Associate Dean for Academic Affairs, Chair, Ex-officio
- Chair of Restorative and Prosthetic Dentistry or their designee, Ex-officio
- Chair of Biosciences or their designee, Ex-officio
- Vice Chair of Restorative and Prosthetic Dentistry or their designee, Ex-officio
- Two Faculty Members
- Basic Science
- DDS faculty member engaged in clinical teaching
- Chair and Chair-elect of Faculty Council, Ex-officio
- Associate Dean for Clinic Administration and Patient Care or their designee, Ex-officio
- Director of Student Affairs, Ex-officio, non-voting
- Registrar, Ex-officio, non-voting

The chair of this committee will maintain confidential minutes only within the Office of AcademicAffairs, and will provide a summary report at a General Faculty meeting annually.

## DDS ADMISSIONS COMMITTEE

The DDS Admissions Committee of The Ohio State University, College of Dentistry is charged with the responsibility of matriculating the most qualified applicants (using a holistic process that carefully considers underrepresented, underserved, economically and educationally disadvantaged, and female academically talented individuals) for positions available in the DDS class each academic year. This charge is met through matriculating students into the predoctoral dental program 1) directly (including students requesting transfer from U.S. or Canadian dental programs), and 2) through the post-baccalaureate DentPath program.
The selection processes for direct admission and through the DentPath program are to be undertaken in keeping with affirmative action policies as established by The Ohio State University that provide for equal access to educational programs regardless of race, color, creed, religion, national origin, sex, handicap or veteran status.
The Committee is charged with recommending recruitment and selection methods for direct admission and the DentPath program based on outcomes of student performance determined by the Office of Academic Affairs and aligned with the goals of the College. The College is committed to matriculating and graduating academically talented individuals from underrepresented, underserved, economically and educationally disadvantaged communities. It is the further responsibility of the Committee to make recommendations to the faculty of the College of Dentistry regarding adjustments in required pre-dental curricula.

The Committee is responsible for evaluating the DentPath curriculum and coordinating the overall growth and development of the program. This includes periodically re-evaluating the mission, vision, purpose, and goals for the program, assisting in restructuring the program to best meet the needs of the students and the College, assisting in determining the appropriate curriculum, and supporting and promoting the program internally to the College community.
The Committee (all members with voting privileges except as indicated) is chaired by the Director of Admissions and is composed of selected faculty and staff members from the College as follows:

- Director of Admissions, Chair, Ex-officio (non-voting)
- Two preclinic course directors
- Predoctoral clinic group director
- Biomedical science course director
- Tenured professor
- Division chairperson
- Director of Student Research, Ex-officio
- Dental Hygiene faculty member
- Two at-large clinical faculty members
- Three faculty members who are past or current DentPath mentors
- D3 student
- D4 student
- DentPath alumni/current DDS student
- Director of the DentPath Program, Ex-officio (nonvoting)
- College of Dentistry Student Affairs staff member (nonvoting)

The Office of Admissions staff is advisory to the committee.
This committee reports on its activities and outcomes annually at a general faculty meeting.

## DDS PROGRAM CURRICULUM COMMITTEE

The DDS Program Curriculum Committee of The Ohio State University College of Dentistry is responsible for the coordination of the continual development, and evaluation of the pre-doctoral curriculum which includes didactic, laboratory and clinical components.
Functions of the Committee are to:

- Monitor curriculum compliance with Commission on Dental Accreditation Standards
- Monitor significant trends in Dental Education and Higher Education and provide information and recommendations to faculty as appropriate
- Work with the College Office of Academic Affairs to assure curriculum compliance with University policies and procedures
- Provide biannual updates to faculty regarding committee activities, directions and outcomesdata

The following proposals for change should be brought before the Curriculum Committee for approval:

- Significant content of structure changes to didactic, laboratory or clinic courses
- Changes to program competencies
- Changes to competency evaluation measures

Issues may be brought before the Committee by individual faculty, Division Chairs, Faculty Council, students and administrators as well as individual Committee members. Proposals for major curriculum changes recommended by the Committee will be brought before the College Executive Committee and the full faculty for approval.

Membership: (all voting unless indicated)

- Associate Dean for Academic Affairs, Chair, Ex-officio (non-voting)
- Curriculum Block Managers, Ex-officio
Behavioral Sciences Oral Pathology

Biomedical Sciences Oral Radiology
Anatomy (non-voting) Pharmacology Oral Surgery (non-voting)
Early Clinic
Endodontics
Fixed Prosthodontics
Operative Dentistry

Orthodontics
Pediatric Dentistry
Periodontics
Practice Management
Removable Prosthodontics

- Director of Community Based Education, Ex-officio
- Associate Dean for Clinic Administration and Patient Care, Ex-officio
- Chair of Dental Hygiene, Ex-officio
- Clinic Scheduler/OHIO Project Assistant, Ex-officio (non-voting)
- Director of Educational Resources, Administrative Chair, Ex-officio (non-voting)
- Registrar, Ex-officio (non-voting)
- Director of Student Affairs, Ex-officio (non-voting)
- Student Representatives, Ex-officio (non-voting)

SGA President
D2 President
D4 President
D3 President

## DENTISTRY FACULTY COUNCIL

The Faculty Council of The Ohio State University College of Dentistry is a representative group of faculty that provides for formal faculty representation and voice to the administrative structure.
Term of appointment is 2 years. It has the responsibility of promoting faculty interests.
Functions of the committee are to:

- Propose nominations for standing Committees to the Dean.
- Chair Faculty forums.
- Coordinate the Campus Campaign.
- Organize the annual faculty retreat.
- Plan and implement the faculty orientation program in coordination with the Office of Academic Affairs.
- Promote and facilitate a faculty climate indicative of professionalism, collegiality, intellectual curiosity, dedication to excellence in all aspects of the faculty role.

Membership:

- Seven full-time faculty members elected by the faculty according to the Faculty Governance Constitution
- One part-time faculty member (associated faculty, non-voting)

Provides a report at each faculty meeting and an annual report.

## ETHICS AND PROFESSIONALISM COMMITTEE

The measure of positive impact in any organization is congruent with its commitment to ethical practices and professional conduct. The College of Dentistry seeks not merely to meet these standards, but instead strives to advance them. The long-held traditions of excellence in educational programming, research and patient care are testament to the college's success, yet maintaining such a high level of esteem requires continued reflection and development of our shared goals. The Ethics andProfessionalism Committee is thereby charged with the responsibility to further promote, recognize and award the best exemplars of ethics and professionalism within the college.

## Functions of the committee are to:

1. Raise awareness of the college community's aspirations for upholding ethics and professionalism and provide opportunities to strengthen each college community member's commitment to those shared goals.
2. Provide clear examples of model behaviors that demonstrate ethical and professional conduct.
3. Develop and implement initiatives that promote positive role-modeling of ethical and professional behaviors among all members of the college community.
4. Create and conduct periodic assessments to measure ethical and professional behaviors in didactic, clinical, and administrative settings within the college.
5. Develop systems to recognize and incentivize positive behaviors that elevate collegiality across the college community.
6. Identify and showcase examples of model behaviors that demonstrate ethical and professional conduct that the college espouses.
7. Provide guidance to, counsel, and support the college's Student Professionalism and Ethics in Dentistry Association (SPEA).

Committees with which this committee interacts: Curriculum Committee, Student Professional Conduct Committee, Faculty Council, Dental Staff Advisory Committee, SPEA (Student Professionalism and Ethics in Dentistry Association)

Membership: (All voting except chairperson)

## Faculty members ( 6 total)

- Chair, ex-officio, non-voting unless there is a tie
- Two full-time DDS faculty members (didactic, research, or clinical)
- One full-time basic science faculty member
- One full-time dental hygiene faculty member
- One part-time faculty member


## Staff members (3 total)

- One representative from the Office of Human Resources representative, selected by HR Business Partner
- One representative from the Dental Staff Advisory Committee, selected by the Advisory committee
- 'At-large' staff representative, selected by nomination


## Students (4 total)

- President, Student Professionalism and Ethics in Dentistry Association (SPEA), ex-officio
- One DDS student, selected by program cohorts
- One DHY student, selected by program cohorts
- One post-doctoral student enrolled in a clinical specialty program, selected by program cohorts


## Alumni member (1 total)

- One representative from the Dental Alumni Society, selected by the Dental Alumni Board


## Quorum (8 members)

- Chair of the committee
- Three faculty members
- Two staff members
- Two student members


## GRADUATE STUDIES COMMITTEES

The Dentistry MS Graduate Studies Committee (GSC-D) is responsible for the conduct and administration of the MS in Dentistry Program, and the Oral Biology PhD Graduate Studies Committee is responsible for the conduct and administration of the Oral Biology PhD Program. Both committees operate within the framework established by the University Graduate School. The GSCs each publish a program-specific graduate programhandbook containing local policies, rules and procedures, including Graduate Studies Committee structure and responsibilities. These handbooks are available on the College of Dentistry website.

Membership:
Refer to current graduate student handbook in each program.

## INSTITUTIONAL EFFECTIVENESS COMMITTEE

The measurement of outcomes of patient care, educational programs, and research provide valuable information regarding whether or not the College of Dentistry (CoD) is meeting its stated goals and objectives.

Functions of the Committee are to:

- Ensure that the CoD evaluates its effectiveness in fulfilling its mission statement and strategic initiatives by identifying relevant measurable outcomes.
- Establish benchmarks for each measurable outcomes assessment and analyze data related to these benchmarks annually.
- Review annually and refine measurable outcomes assessments; reaffirm or reestablish benchmarks for meeting the mission statement and strategic initiative of the school.
- Make recommendations to the Executive Committee for the improvement of the College's programs in an ongoing and systematic manner.
- Evaluate the effectiveness of the Executive Committee's actions in response to the IEC recommendations.
- Review and revise outcome assessment protocols as deemed necessary by the IEC.

Membership:

- Chair
- One Dental Hygiene faculty
- Three DDS faculty
- One Basic Science faculty
- President of Student Government Association
- One graduate student, MS/PhD
- Dean, Ex-officio
- Director of Community Education, Ex-officio
- Human Resources Business Partner, Ex-officio
- Chair of Dentistry Staff Development Committee, Ex-officio
- Director of Admissions, Ex-officio
- Associate Dean for Academic Affairs, Ex-officio
- Associate Dean for Clinic Administration and Patient Care, Ex-officio
- Associate Dean for Advanced Education and Graduate Studies, Ex-officio
- Chair, Division of Restorative Science and Prosthodontics, Ex-officio
- Chair, Division of General Practice and Materials Science, Ex-officio
- Associate Dean for Research, Ex-officio
- Senior Director of Development, Ex-officio
- Chief Administrative Officer, Ex-officio
- Educational Resources Director, Ex-officio


## INVESTIGATIONS COMMITTEE

In accordance with Faculty Rule 3335-5-04, the College of Dentistry shall have an Investigations Committee. The College Investigations Committee is composed of seven tenured faculty members appointed to two-year staggered terms. The senior associate dean serves as non-voting chair of the College Investigations Committee.

The Investigations Committee follows the investigations process established in Faculty Rule 3335-5-04.

## Masters in Dental Hygiene Graduate Studies Committee

The Masters in Dental Hygiene Graduate Studies Committee (MDHGSC) is responsible for the conduct and administration of the MDH Program. This committee operates within the framework established by the University Graduate School. The DHGSCs publishes a program-specific graduate program handbook containing local policies, rules and procedures, including Graduate Studies Committee structure and responsibilities. These handbooks are available on the College of Dentistry website.

Membership:
Refer to current MSDH Graduate Student Compendium.

## PROGRAM DIRECTORS COMMITTEE

The Program Directors Committee affords an opportunity for the directors of all Advanced EducationPrograms in the College of Dentistry to regularly and formally meet and discuss issues of importancethat may impact these College programs.

The functions of the Committee are to:

- Establish and review, amend and/or implement policies pertaining to the Advanced Education Programs in the College of Dentistry as appropriate and which do not conflict with the purviewof the MS Graduate Studies Committee. Typical examples are the leave policy for graduate students or clinical protocols common to all specialties;
- Review and help coordinate College activities aimed at advanced education students, e.g. Newgraduate student orientation and graduate alumni survey;
- Discuss academic and clinical progress of graduate students as necessary;
- In collaboration with the Graduate Studies Committee (GSC-M), coordinate theimplementation of the Core Curriculum of the dental M.S. program;
- Establish and review outcomes across these programs; and
- Respond to requests from the Office of Graduate Studies, the Dean or other Administrativegroups.

The Committee reports as requested to the Dean or the Dean's designee.
Membership:
There are no terms; all appointments are Ex-officio. The committee is chaired on a rotating basis, in alphabetical order based on the program names.

The directors of each of the Advanced Education Programs, including the Graduate Study Committeechairs of all three College of Dentistry graduate programs, the Associate Dean for Advanced Education and Graduate Studies, the Associate Dean for Clinic Administration and Patient Care, and the Graduate Studies program coordinator, who is a non-voting member.

## RESEARCH COMMITTEE

This committee is an advisory committee to the Dean.
Functions of the committee are to:

- Recommend policies on the utilization of research resources including but not limited to space, facilities and funds.
- Recommend the purchase and placement of common research equipment.
- Inform the faculty and students of the ongoing research in the College.
- Inform the faculty and students of all new research equipment available in the College.
- Review competitive intramural research awards.
- Nominate faculty for university and other research awards.
- Support and oversee DDS, DH and Undergraduate Research including:
- Review student research proposals.
- Support Research Day
- Establish formal criteria for judging research presentations.
- Solicit judges and conduct judging of student research.
- Promote participation in research meetings and symposia included by not limited to: Research Day, Post College Assembly, the annual session of the AADOCR/IADR, the annual session of the American Dental Association, the annual session of the Hinman Student Research Symposium, and the annual university Richard J. and Martha D. Denman Undergraduate Research Forum.

Membership:

- Associate Dean for Research, Ex-officio
- Five research active faculty and four clinical research coordinators from the divisions
- Chair to be chosen from among the committee members
- Director of student research, Ex-officio and non-voting unless appointed as one of the researchactive faculty
- One graduate student


## STUDENT PROFESSIONAL CONDUCT COMMITTEE

Students, staff, and faculty members are expected to comply with all written college and university codes, bylaws, policies and rules. Unprofessional behaviors by staff and faculty members are subject to university policies and managed by the College's Office of Human Resources. The Student Professional Conduct Committee is charged with the responsibility for protecting the academic standards, integrity and policies of the college and the university as it relates to student conduct.

Functions of the committee are to:

- Review cases forwarded to the committee by the Associate Dean for Academic Affairs or the Associate Dean for Advanced Education and Graduate Studies
- Implement the student conduct process as detailed in the Student Honor Code of Ethics and Professional Conduct
- Determine whether a student is in violation of stated charges
- Impose suitable disciplinary action(s) in any case where a code violation has been determined
- Review and revise (as needed) the Student Honor Code of Ethics and Professional Conduct no less frequently than every 5 years
- Submit proposed revisions of the Student Honor Code of Ethics and Professional Conduct to the general faculty for approval
- Hear and investigate other matters related to student professional conduct as directed by the dean.
- Develop, revise, and endorse operating procedures and protocols related to student professionalconduct
- Provide an overview of committee actions at a general faculty meeting every two years

Membership:

- Ten faculty members
- One faculty member who is a professor serves as chair for two three-year terms, nonvoting unless there is a tie
- Nine additional faculty members, all voting
- One student from the DHY4 and D2, D3, and D4 classes selected by their respective classes two-year terms, all voting
- One post-doctoral student enrolled in a clinical specialty program, voting


## Quorum

- Chair of the committee
- At least 6 faculty members
- At least 3 students (dental hygiene, dental, or post-doctoral)

This committee functions in accordance with Faculty Rules $3335-23-03,04,05,06,07,08$, and $\underline{09}$ as applicable.

