1	Pattern of Administration
2	for
3	The Ohio State University
4	Department of Molecular Medicine
5	and Therapeutics

Approved by the Faculty:6/30/23

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I Introduction

This document provides a brief description of the Department of Molecular Medicine and Therapeutics as well as a description of its guidelines and procedures. It supplements the <u>Rules of the University Faculty</u>, and other policies and procedures of the university to which the department and its faculty are subject. The latter rules, policies and procedures, and changes in them, take precedence over statements in this document.

This Pattern of Administration is subject to continuing revision. It must be reviewed and either revised or reaffirmed on appointment or reappointment of the department chair. However, revisions may be made at any time as needed. All revisions, as well as periodic reaffirmation, are subject to approval by the college office and the Office of Academic Affairs.

II Department Mission

The <u>mission</u> of the Department of Molecular Medicine and Therapeutics is to utilize molecular, structural, engineered, cell biological, and preclinical models to advance basic science to clinical translation and improve health.

This mission will be achieved advancing the fundamental components of The Ohio State University and the College of Medicine; Education, Research, Diversity, Equity and Inclusion, and Service.

The <u>vision</u> of the Department is to enhance translation of basic to clinical science by developing a collaborative, inclusive, and innovative early translational science department designed to advance fundamental knowledge in the molecular and cellular basis of disease linking basic science to the clinic in key research areas with impact throughout OSU and the OSU College of Medicine through collaborative research, education programs, and involvement with Departments linking basic sciences to clinical care.

The Department intends to be a national/international leader in innovative translational science focused on three scientific areas of emphasis:

1. <u>Therapeutics:</u> Identify molecular targets for human disease and utilize structural and chemical biology to design and develop and test new therapeutic and delivery methods using new technologies.

2. <u>Tissue Engineering and Stem Cells:</u> To advance stem cell biology and tissue engineered system to enhance clinical translation and define mechanisms of tissue response to therapeutic and environmental challenges.

3. <u>Molecular Medicine:</u> To advance reductionist basic science biology to preclinical whole organ/body biology and clinical correlation using innovative model systems and computational biology.

III Academic Rights and Responsibilities

In April 2006, the university issued a <u>reaffirmation</u> of academic rights, responsibilities, and processes for addressing concerns.

IV Faculty and Voting Rights

Faculty Rule <u>3335-5-19</u> defines the types of faculty appointments possible at The Ohio State University and the rights and restrictions associated with each type of appointment. For purposes of governance, the faculty of this department includes tenure-track, research and associated faculty with compensated FTEs of at least 50% in the department, and associated faculty.

The department of Molecular Medicine and Therapeutics makes tenure-track appointments with titles of assistant professor, associate professor, or professor. Tenure-track faculty may vote in all matters of department governance.

The department of Molecular Medicine and Therapeutics makes research appointments. Research faculty titles are research assistant professor, research associate professor, and research professor. Research faculty may vote in all matters of department governance except tenure-track appointment, promotion, and tenure decisions. Research faculty may participate in discussions and vote on decisiosn related to research faculty matters including promotion reviews.

A. Research Faculty Appointment Cap

In accordance with Faculty Rule <u>3335-7-32</u>, unless otherwise authorized by a majority vote of the tenure-track faculty in a department, research faculty must comprise no more than 20% of the number of tenure-track faculty in the department. In all cases, however, the number of research faculty positions must constitute a minority with respect to the number of tenure-track faculty in the unit.

The department of Molecular and Cellular Medicine makes associated faculty appointments. Associated faculty titles include tenure-track faculty on less than a 50% appointment, adjunct titles and visiting titles. Associated faculty, with the exception of visiting faculty, may vote in all matters of department governance except personnel decisions. Visiting faculty may be invited to participate in discussions on non-personnel matters, but may not participate in personnel matters, including appointment, promotion and tenure reviews, and may not vote on any matter.

Emeritus faculty in this department are invited to participate in discussions on non-personnel matters, but may not participate in personnel matters, including appointment, promotion and tenure reviews, and may not vote on any matter.

Detailed information about the appointment criteria and procedures for the various types of faculty appointments made in this department is provided in the <u>Appointments, Promotion and Tenure</u> Document.

V Organization of Department Services and Staff

The Department of Molecular Medicine and Therapeutics is comprised of the Department Chair, Vce-chair(s), tenure-track faculty, research faculty, associated faculty, research scientists and staff. Vice chairs will be appointed by the department chair. The department chair will review the Vice Chairs for reappointment annually and may replace the Vice Chairs if they are not meeting expectations. It also includes a department administrator, a chair's administrative assistant and a department assistant.

VI Overview of Department Decision-Making

Policy and program decisions are made in a number of ways: by the department faculty as a whole, by standing or special committees of the department, or by the department head. The nature and

importance of any individual matter determine how it is addressed. department governance proceeds on the general principle that the more important the matter to be decided, the more inclusive participation in decision making needs to be. Open discussions, both formal and informal, constitute the primary means of reaching decisions of central importance.

VII Department Administration

A Department Chair

The primary responsibilities of the department chair are set forth in Faculty Rule <u>3335-3-35</u>. This rule requires the department chair to develop, in consultation with the faculty, a Pattern of Administration with specified minimum content. The rule, along with Faculty Rule <u>3335-6</u>, also requires the department chair to prepare, in consultation with the faculty, a document setting forth policies and procedures pertinent to appointments, reappointments, promotion and tenure.

Other responsibilities of the department chair, not specifically noted elsewhere in this Pattern of Administration, are paraphrased and summarized below.

- To have general administrative responsibility for department programs, subject to the approval of the dean of the college, and to conduct the business of the department efficiently. This broad responsibility includes the acquisition and management of funds and the hiring and supervision of faculty and staff.
- To plan with the members of the faculty and the dean of the college a progressive program; to encourage research and educational investigations.
- To assign workload according to the department's workload guidelines (see Section IX) and faculty appointment type (and rank).
- To evaluate and improve instructional and administrative processes on an ongoing basis; to
 promote improvement of instruction by providing for the evaluation of each course when offered,
 including written evaluation by students of the course and instructors, and periodic course review
 by the faculty.
- To evaluate faculty members annually in accordance with both university and department established criteria; to inform faculty members when they receive their annual performance and merit review of their right to review their primary personnel file maintained by their department and to place in that file a response to any evaluation, comment, or other material contained in the file.
- After consultation with the eligible faculty, to make recommendations to the dean of the college regarding appointments, reappointments, promotions, dismissals, and matters affecting the tenure of members of the department faculty, in accordance with procedures set forth in Faculty Rules 3335-6 and 3335-7 and this department's Appointments, Promotion and Tenure Document.
- To see that all faculty members, regardless of their assigned location, are offered the privileges and responsibilities appropriate to their rank; and in general to lead in maintaining a high level of morale.
- To maintain a curriculum vitae for all personnel teaching a course in the department's curriculum.

• To see that adequate supervision and training are given to those members of the faculty and staff who may profit by such assistance.

- To prepare, after consultation with the faculty, annual budget recommendations for the consideration of the dean of the college.
- To facilitate and participate in prescribed <u>academic program review</u> processes, in collaboration with the dean of the college and the Office of Academic Affairs.

Day-to-day responsibility for specific matters may be delegated to others, but the department chair retains final responsibility and authority for all matters covered by this Pattern, subject when relevant to the approval of the dean, Office of Academic Affairs, and Board of Trustees.

Operational efficiency requires that the department chair exercise a degree of autonomy in establishing and managing administrative processes. The articulation and achievement of department academic goals, however, are most successful when all faculty members participate in discussing and deciding matters of importance. The department chair will therefore consult with the faculty on all educational and academic policy issues and will respect the principle of majority rule. When a departure from majority rule is judged to be necessary, the department chair will explain to the faculty the reasons for the departure, ideally before action is taken.

B Other Administrators

At the discretion of the Department Chair, the Vice Chair is appointed for a 4 year term, renewed annually following yearly evaluation by the Department Chair.

The Vice-Chair is responsible for working with the Department Chair in establishing the direction of the Department in its core missions focused on education, academic affairs, and diversity, equity and inclusion.

The Vice Chair is responsible for directing and guiding new hires through the Promotion and Tenure process by assisting with documentation and obtaining letters for initial hires. The Vice Chair also focuses efforts on and provides leadership supporting initiatives focused on diversity, equity and inclusion. This includes ensuring proper emphasis is placed to attract diverse candidates for job searches, ensuring that all faculty take annual courses in identifying and mitigating unconscious biases, and collaborating with College of Medicine, University, and Wexner Medical Center leadership to develop metrics and monitor adherence to goals diversity, equity and inclusion. As a leader in the Department, the Vice Chair works closely with the Chair to advise and implement other aspects of Department operations (e.g. recruitment, strategic planning, finances, development, faculty wellness) at the discretion of the Department Chair.

As the Department of Molecular Medicine and Therapeutics grows, it is expected that separate Vice Chairs for Education and Diversity, Equity, and Inclusion will be required. The Department Chair may also establish other administrative positions if necessary to meet the needs of the Department.

C Committees

Much of the development and implementation of the Department of Molecular Medicine and Therapeutics policies and programs is carried out by standing and ad hoc committees. The

Department Chair is an ex officio member of all Department committees and may vote as a member on all committees except the Committee of Eligible Faculty, and the Appointment, Promotion and Tenure Committee. Committee members will serve three year terms.

Unless otherwise specified below, the Chair, in consultation with the Vice Chair, appoints the chairs and members of all committees and determines if additional committees are needed.

1. EXECUTIVE COMMITTEE

A. Composition

1. The Executive Committee will be comprised of the Chairperson, Vice Chair(s), and Department Administrator.

B. Duties and Functions

1. The Executive Committee will review budget considerations, strategic planning and search priorities, changes in education programs, and review other administrative policies or recommendations from the chairperson and the committees.

2. Voting Items: Formal selections for funding and recommendations to the larger faculty for consideration for policy changes require a simple majority vote of a quorum of this committee.

3. This committee will also serve as the SPACE committee for the Department.

2. APPOINTMENT, PROMOTION, AND TENURE (P&T) COMMITTEE

Detailed descriptions of the composition and duties of the AP&T committee are found in the department's Appointments, Promotion and Tenure document.

3. DIVERSITY, EQUITY, INCLUSION, AND ACCESSIBLITY (DEIA) COMMITTEE

A. Composition

 1. The DEIA committee will be comprised of the Vice Chair for DEI, when appointed, and three elected faculty members serving three year staggered terms (two new members added in the fall semester).

B. Duties and Functions

 1. The DEIA committee shall review departmental faculty, staff, and trainee diversity and evaluate programs and policies for inclusiveness, equity, accessibility, and fairness annually.

 2. The DEIA committee shall make recommendations to the Department Chair for suggested changes in policies and/or procedures if appropriate to ensure there is full consideration of DEIA aspects.

3. Committee members may be selected to serve on search committees and serve as DEI officers.

4. DEPARTMENT RECOGNITION COMMITTEE

A. Composition

 1. The Recognition Committee shall consist of four elected faculty members serving three-year staggered terms (two new members added per year in the fall semester).

B. Duties and Functions

- 1. The Recognition Committee shall review individual faculty accomplishments in teaching, research, and service for special recognition. They also will constitute the review panel for solicited proposals for annual Departmental funding if available.
- 2. The Recognition Committee shall review and make recommendations to the Department Chair for Faculty Awards and Recognitions available in the Department, the College of Medicine, the University level, and at the national and international awards.
- 3. The Recognition Committee will create a rank order of submitted proposals for any available departmental bridge and pilot funding to inform final funding decisions by the Department Executive Committee

4. GRADUATE STUDIES COMMITTEE (GSC)

A. Composition

- 1. The committee will consist of three faculty members.
- 2. The term of the office for faculty renewable on one occasion.

B. Duties and Functions

- 1. GSC will provide departmental oversight for graduate students and coordinate graduate program activities between the Department of Molecular Medicine and Therapeutics, the Advisors within the Department and graduate programs of The Ohio State University.
- 2. Members of the committee will represent the department on the Graduate Studies Committees as appropriate based on involvement of faculty in those programs.
- 3. The committee will serve to promote recruitment of graduate students into faculty laboratories.
- 4. The committee will organize the annual department research day, which shall feature research activities of all trainees of the department, including Graduate Students.
- 5. The committee will promote outstanding graduate student applications for internal and external funding and award opportunities.

6. SEARCH COMMITTEE

This will be a standing committee for the initial three years of the Department of Molecular Medicine and Therapeutics. After that time, the formation of ad hoc search committees will be done when a new faculty search is initiated.

7. TEACHING COMMITTEE

A. Composition:

- 1. Vice Chair of the Department
- 2. Course Directors, if as appointed associated education programs and clinical programs with the agreement of the Department Chair.

3. Directors and Administrators of College of Medicine pathways as indicated based on course work taught.

B. Duties and Functions:

- 1. The committee will evaluate course offerings as to availability, adequacy, sequence and frequency.
- 2. Recommendation of new courses and the deletion of old courses will be determined as required to maintain the academic program of the department at a modern-robust level.
- 3. Recommendations will be made to the faculty concerning changes in course offerings and programs.
- 4. The committed will submit any changes in existing courses as well as additions to or deletions from present course offerings to the College of Medicine Curriculum Committee and the University Office of Academic Affairs; or to the appropriate fellowship or T32 director
- 5. The committee will report periodically to the faculty on the status of the curriculum and regularly contact members of the faculty for suggested changes that might improve the curriculum.
- 6. The committee will advise the Department Chair on yearly assignment of teaching responsibilities to the members of the faculty.
- 7. The committee will review and coordinate procedures and outcomes of student evaluations of courses and faculty. Provide peer review of instruction upon request.
- 8. The committee will serve as the primary contact for faculty concerning any teaching issue.
- 9. Teaching is an essential component of promotion of tenured faculty. Peer-reviews of faculty teaching will be coordinated by the committee prior to annual review for discussion between the Chairperson and faculty member.

8. EARLY CAREER FACULTY ADVISORY COMMITTEE

Each member of the faculty appointed at the rank of assistant professor will be assigned a three-person advisory committee. The Committee will be composed of members of the tenured faculty and will be appointed by the departmental chairperson in consultation with the advisee. At least two of the members will be from the Department of Molecular Medicine and Therapeutics. Each Early Career Faculty Advisory Committee will serve until their advisee is either promoted to associate professor or departs from the department. The committee will meet at least twice annually. Responsibility of these committees is to provide overall guidance and support for the junior faculty member in all aspects of adjustment to the university and the pursuit of academic progress, promotion, and tenure (if appropriate based on track). They will review grant proposal submissions and may serve form mentoring roles on these applications. The advisory committee will provide the required teaching evaluations of the early career faculty as detailed in the AP&T document and provide written feedback. All early career faculty will be expected to participate in the Faculty Advancement, Mentoring, and Engagement (FAME) program offerings during their first year on faculty. This will include specific course work and teaching regarding ensuring

Diversity, Equity, Inclusion, and Accessibility best practices are incorporated into their faculty role.

Mid-career professional development is essential to promote and develop Team Science leadership. All faculty hired or promoted to Associate professor will have a faculty mentor with experience in team science for the first three years. Annual reports will not be required but they should meet to review progress twice per year and the mentor is expected to discuss any concerns or positive attributes with the Department Chair annually.

VIII Faculty Meetings

The department chair will provide to the faculty a schedule of department faculty meetings at the beginning of each academic term. The schedule will provide for at least one meeting per semester and normally will provide for monthly meetings. A call for agenda items and completed agenda will be delivered to faculty by e-mail before a scheduled meeting. Reasonable efforts will be made to call for agenda items at least seven days before the meeting, and to distribute the agenda by e-mail at least three business days before the meeting. A meeting of the department faculty will also be scheduled on written request of 25% of the faculty. The department chair will make reasonable efforts to have the meeting take place within one week of receipt of the request. The department chair will distribute minutes of faculty meetings to faculty by e-mail—within seven days of the meeting if possible. These minutes may be amended at the next faculty meeting by a simple majority vote of the faculty who were present at the meeting covered by the minutes.

Special policies pertain to voting on personnel matters, and these are set forth in the department's Appointments, Promotion and Tenure Document.

For purposes of discussing department business other than personnel matters, and for making decisions where consensus is possible and a reasonable basis for action, a quorum will be defined as a simple majority of all faculty members eligible to vote.

Either the department chair or one-third of all faculty members eligible to vote may determine that a formal vote conducted by written ballot is necessary on matters of special importance. For purposes of a formal vote, a matter will be considered decided when a particular position is supported by at least a majority of all faculty members eligible to vote. Balloting will be conducted by mail or e-mail when necessary to assure maximum participation in voting. When conducting a ballot by mail or email, faculty members will be given one week to respond.

When a matter must be decided and a simple majority of all faculty members eligible to vote cannot be achieved on behalf of any position, the department chair will necessarily make the final decision.

The department accepts the fundamental importance of full and free discussion but also recognizes that such discussion can only be achieved in an atmosphere of mutual respect and civility. Normally department meetings will be conducted with no more formality than is needed to attain the goals of full and free discussion and the orderly conduct of business. However, Robert's Rules of Order will be invoked when more formality is needed to serve these goals.

IX Distribution of Faculty Duties and Responsibilities

Faculty assignments are described in the initial letter of offer. Assignments and expectations for the upcoming year are addressed as part of the annual review by the department chair based on department needs as well as faculty productivity and career development.

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During on-duty periods, faculty members are expected to be available for interaction with students, research, and department meetings and events even if they have no formal course assignment. For Molecular Medicine and Therapeutics faculty teaching a course, regular office hours should be made available to students with a schedule made available to students at the beginning of the semester. Office hours should be in-person but remote, virtual meetings can be utilized if necessary. On-duty faculty members should not be away from campus for extended periods of time unless on an approved leave (see section XII) or on approved travel.

Telework exception: Faculty members with responsibilities requiring in-person interaction are to work at a university worksite to perform those responsibilities. Telework and the use of remote, virtual meetings are allowed at the discretion of the department chair if such work can be performed effectively and faculty members are able to fulfill their responsibilities. Telework will be encouraged under certain circumstances if it serves the needs of the department, college, university, and/or community. The department chair has the discretion to require faculty to work on campus if there are concerns that responsibilities are not being fulfilled through telework.

The guidelines outlined here do not constitute a contractual obligation. Fluctuations in the demands and resources of the department and the individual circumstances of faculty members may warrant temporary deviations from these guidelines.

A full-time faculty member's primary professional commitment is to Ohio State University and the guidelines below are based on that commitment. Faculty who have professional commitments outside of Ohio State during on-duty periods (including teaching at another institution; conducting research for an entity outside of Ohio State; external consulting) must disclose and discuss these with the department chair in order to ensure that no conflict of commitment exists. Information on faculty conflicts of commitment is presented in the university's Policy on Outside Activities and Conflicts.

In crisis situations, such as life-threatening disease (COVID, for example) or physical dangers (natural disasters, for example), faculty duties and responsibilities may be adjusted by the department chair to take into account the impact over time of the crisis. These adjustments may include modifying research expectations in order to maintain teaching obligations. These assignment changes must be considered in annual reviews.

A Tenure-track Faculty

Tenure-track faculty members are expected to contribute to the university's mission via teaching, scholarship, and service. When a faculty member's contributions decrease in one of these three areas, additional activity in one or both of the other areas is expected.

Teaching

All tenure-track faculty are expected to contribute to the department's teaching, including courses in the graduate and post-graduate curriculums; some faculty may be involved in undergraduate education; some faculty may be involved in medical student and clinical trainees (interns, residents, clincal fellows) education. Faculty members are also expected to advise undergraduate and graduate students and supervise independent studies and thesis and dissertation work. Faculty members are expected to serve on graduate student thesis committees. Faculty members may present invited lectures at Ohio State or at other Universities, at national/international professional meetings, at Continuing Medical Education courses, and at community events.

Adjustments to the planned teaching assignment may be made to account for teaching a new class, the size of the class, number of lectures assigned when a course is team-taught, whether the class is taught on-line, and other factors that may affect the preparation time involved in teaching the course.

Tenure track faculty are expected to be engaged in educational activities for 50% of effort. However, teaching assignment may vary for individual faculty members based on their research and/or service activity. Faculty members who are especially active in research can be assigned an enhanced research status that includes a reduced teaching assignment. Likewise, faculty members who are relatively inactive in research can be assigned an enhanced teaching status that includes an increased teaching assignment. Faculty members who are engaged in extraordinary service activities (to the department, college, university, and in special circumstances professional organizations within the discipline) can be assigned an enhanced service assignment that includes a reduced teaching assignment.

The department chair is responsible for making teaching assignments annually. The department chair will consider requests for adjustments, but may decline these adjustments when approval of such requests is not judged to be in the best interests of the department. For physician-scientists with clinical obligations for partnering departments, teaching in that context will be considered when assigning department-specific teaching needs.

Scholarship

All tenure-track faculty members are expected to be engaged in scholarship as defined in the department's <u>Appointments</u>, <u>Promotion</u>, <u>and Tenure Document</u>. Faculty members who are actively engaged in scholarship will be expected to publish regularly in high quality peer-reviewed journals as well as in other appropriate venues, such as edited book chapters of similar quality and length as articles. Faculty engaged in basic or applied research are expected to attract extramural funding that supports 50% release time and also supports trainees such as graduate students and postdoctoral researchers. Faculty members are also expected to seek appropriate opportunities to obtain patents and engage in other commercial activities stemming from their research.

Scholarship expectations may be modified during the annual review process with the department chair based on productivity. Activities to be considered include manuscripts published, preprints and manuscripts in press, funded extramural grants, leadership and service at the nation/international levels, proposals submitted, research and invited presentations at national meetings, patents and technology transfer, engagement in collaborative team science including clinical research and clinical trials, book chapters, community engaged research and translation, and other activities that are part of the department scholarship agenda.

Service

Faculty members are expected to be engaged in service and outreach to the department, university, profession, and community. Typically, this will include service on two committees within the department and one outside the unit. This pattern can be adjusted depending on the nature of the assignment (e.g. service as committee chair, service on a particularly time-intensive committee, organizing a professional conference, leadership in an educational outreach activity, service in an administrative position within the department, college, or university).

All faculty members are expected to attend and participate in faculty meetings, recruitment activities, and other department events.

Service assignments may be modified during the annual review process by the department chair with the faculty member. Increases in service may be required to meet the specific needs of the department. In addition, based on teaching and scholarship assignments, clinical responsibilities, service the College or University, and special assignments (see below), service requirements may be lower for some faculty members at the discretion of the department chair.

i Special Assignments

Information on special assignments (SAs) is presented in the Office of Academic Affairs Special Assignment Policy. The information provided below supplements this policy.

Untenured faculty will normally be provided an SA for research for one semester during their probationary period. Reasonable efforts will be made to award SA opportunities to all other faculty members subject to the quality of faculty proposals, including their potential benefit to the department or university, and the need to assure that sufficient faculty are always present to carry out department work. The department's EXECUTIVE COMMITTEE will evaluate all SA proposals and make recommendations to the department chair. The chair's/director's recommendation to the dean regarding an SA proposal will be based on the quality of the proposal and its potential benefit to the department or university and to the faculty member as well as the ability of the department to accommodate the SA at the time requested.

B Research Faculty

Research faculty members are expected to contribute to the university's mission via research.

In accord with Faculty Rule 3335-7-34,

a research faculty member may, but is not required to, participate in limited educational activities in the area of his or her expertise. However, teaching opportunities for each research faculty member must be approved by a majority vote of the department's tenure-track faculty. Under no circumstances may a member of the research faculty be continuously engaged over an extended period of time in the same instructional activities as tenure-track faculty.

Research faculty expectations for research are similar to those for the tenure-track, albeit proportionally greater since 100% of effort for research faculty members is devoted to research. Specific expectations are spelled out in the letter of offer.

C Associated Faculty

Compensated associated faculty members are expected to contribute to the university's mission via teaching or research depending on the terms of their individual appointments.

The standard teaching load for associated facuty is eight courses a year, which is 100% of the workload.

Faculty members with tenure-track titles and appointments <50% FTE will have reduced expectations based on their appointment level.

required.

Expectations for compensated visiting faculty members will be based on the terms of their appointment and are comparable to that of tenure-track faculty members except that service is not

D Modification of Duties

The department of Molecular Medicine and Therapeutics strives to be a family-friendly unit in its efforts to recruit and retain high quality faculty members. To this end, the department is committed to adhering to the College of Medicine's guidelines on modification of duties to provide its faculty members flexibility in meeting work responsibilities within the first year of childbirth/adoption/fostering or care for an immediate family member who has a serious health condition, or a qualifying exigency arising out of the fact that the employee's immediate family member is on covered active duty in a foreign country or call to covered active duty status. See the OHR Parental Care Guidebook, the college pattern of administration, and the Parental Leave Policy in Section XII for details.

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The faculty member requesting the modification of duties and the department chair should be creative and flexible in developing a solution that is fair to both the individual and the unit while addressing the needs of the university. Expectations must be spelled out in an MOU that is approved by the dean.

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X Course Offerings, Teaching Schedule, and Grade Assignments

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The Education program will emphasize education for graduate, professional students, and postdoctoral and clinical fellows in Molecular Medicine and Therapeutics focused on translation to clinical research and eventually clinical practice. The Department is not proposing an undergraduate major or minor program. Undergraduate education involvement will occur through interactions across campus with aligned units in Colleges such as Engineering, Arts and Sciences, Veterinary Medicine, Pharmacy and others. While the Department also is not proposing a unique Graduate school program, its department members will be expected to be didactic lecturers, primary advisors, and/or committee members for Ph.D. students and M.D., Ph.D. students in the Biomedical Sciences Graduate Program (BSGP), the Molecular, Cellular and Developmental Biology Graduate Program (MCDB), the Biophysics Graduate Program, the Neurosciences Graduate Program (NGP), the Ohio State Biochemistry Program (OSBP), and the Medical Scientist Training Program (MSTP). Other programs may be appropriate for individual faculty as appropriate. Because the structure is expected to include collaboratively appointed tenure-track physician scientists (>50% in MMT), it is anticipated that some faculty will be involved in clinical fellowship education in the collaborating clinical department/division. This may include mentoring as part of T32 training programs, programs such as CAMELOT, and those through the OSU Center for Clinical and Translational Sciences (CCTS).

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The department chair will annually develop a schedule of course offerings and teaching schedules in consultation with the faculty and the associated education prorams, both collectively and individually. While every effort will be made to accommodate the individual preferences of faculty, the department's first obligation is to offer the courses needed by students at times and in formats, including on-line instruction, most likely to meet student needs. To assure classroom availability, reasonable efforts must be made to distribute course offerings across the day and week. To meet student needs, reasonable efforts must be made to assure that course offerings match student demand and that timing conflicts with other courses students are known to take in tandem are avoided. A scheduled course that does not attract the minimum number of students required by Faculty Rule 3335-8-16 will normally be cancelled and the faculty member scheduled to teach that course will be assigned to another course for that or a subsequent semester. Finally, to the extent possible, courses required in any curriculum or courses with routinely high demand will be taught by at least two faculty members across semesters of offering to assure that instructional expertise is always available for such courses.

If an instructor of record is unable to assign grades due to an unexpected situation (i.e. health or travel), or if they have not submitted grades before the university deadline and are unreachable by all available routes of communication, then the department chair may determine an appropriate course of action, including assigning a faculty member to evaluate student materials and assign grades for that class. The University Registrar will be made aware of this issue as soon as it is known and will be provided a timeline for grade submission.

XI Allocation of Department Resources

The department chair is responsible for the fiscal and academic health of the department and for assuring that all resources—fiscal, human, and physical—are allocated in a manner that will optimize achievement of unit goals.

The department chair will discuss the department budget at least annually with the faculty and attempt to achieve consensus regarding the use of funds across general categories. However, final decisions on budgetary matters rest with the department chair.

Research space shall be allocated based on the most current College of Medicine research space policy that is based on factors including research productivity, including external funding, and may be reallocated periodically as these faculty-specific variables change.

The allocation of office space will include considerations such as achieving proximity of faculty in subdisciplines and productivity and grouping staff functions to maximize efficiency.

The allocation of salary funds is discussed in the Appointments, Promotion and Tenure Document.

All tenured, tenure-track and research faculty of the Department of Molecular Medicine and Therapeutics will have access to an annual academic enrichment fund. The intent of this fund is to support travel to annual meetings for education and scientific presentations. This fund also can be used for chair-approved expenses such as annual meeting costs, professional memberships, or manuscript fees. Unused funds will not roll over to the following academic year.

XII Leaves and Absences

In general, there are four types of leaves and absences taken by faculty (in addition to parental leave, which is detailed in the <u>Parental Care Guidebook</u>). The university's policies and procedures with respect to leaves and absences are set forth in the Office of Academic Affairs <u>Policies and Procedures Handbook</u> and Office of Human Resources <u>Policies and Forms website</u>. The information provided below supplements these policies.

A Discretionary Absence

Faculty are expected to complete a travel request or a <u>request for absence form</u> well in advance of a planned absence (for attendance at a professional meeting or to engage in consulting) to provide time for its consideration and approval and time to assure that instructional and other commitments are covered. Discretionary absence from duty is not a right, and the department chair retains the authority to disapprove a proposed absence when it will interfere with instructional or other comparable commitments. Such an occurrence is most likely when the number of absences in a particular

semester is substantial. <u>Rules of the University Faculty</u> require that the Office of Academic Affairs approve any discretionary absence longer than 10 consecutive business days (see Faculty Rule <u>3335-5-08</u>).

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B Absence for Medical Reasons

 When absences for medical reasons are anticipated, faculty members are expected to complete a request for absence form as early as possible. When such absences are unexpected, the faculty member, or someone speaking for the faculty member, should let the department chair know promptly so that instructional and other commitments can be managed. Faculty members are always expected to use sick leave for any absence covered by sick leave (personal illness, illness of family members, medical appointments). Sick leave is a benefit to be used—not banked. For additional details see OHR Policy 6.27.

C Unpaid Leaves of Absence

The university's policies with respect to unpaid leaves of absence and entrepreneurial leaves of absence are set forth in OHR <u>Policy 6.45</u>.

D Faculty Professional Leave (FPL)

Information on faculty professional leaves is presented in the OAA <u>Policy on Faculty Professional</u> Leave.

The department's EXECUTIVE COMMITTEE will review all requests for faculty professional leave and make a recommendation to the department chair based on the following criteria:

Requests for FPL will be considered based on the quality of the proposal, the clarity of the rationale, the potential benefit for the faculty member and the department, and the impact on the other department faculty. For faculty with clinical practices with a collaborating department, any determination of FPL will be performed in conjunction with the department chair of the collaborating Department.

The department chair's recommendation to the dean regarding an FPL proposal will be based on the quality of the proposal and its potential benefit to the department and to the faculty member as well as the ability of the department to accommodate the leave at the time requested.

E Parental Leave

The university, the college, and this department recognize the importance of parental leave to faculty members. Details are provided in the OHR <u>Parental Care Guidebook</u>, Paid Time Off Program <u>Policy</u> 6.27, and the <u>Family and Medical Leave Policy</u> 6.05.

It is the goal of the Molecular Medicine and Therapeutics Department to support requests for parental leave. The requesting faculty will place the request in writing to the department chair and Department Administrator as the Human Resources expert for the Department. In addition, to ensure a clear understanding of the request and any changes in tenure clock and/or assignments are required, a direct discussion between the requesting faculty member and the department chair is required.

XIII Additional Compensation and Outside Activities

Information on faculty additional compensation is presented in the OAA <u>Policy on Faculty</u> <u>Compensation</u>. Information on paid external consulting is presented in the university's <u>Policy on Outside</u> Activities and Conflicts. The information provided below supplements these policies.

This department adheres to these policies in every respect. In particular, this department expects faculty members to carry out the duties associated with their primary appointment with the university at a high level of competence before seeking other income-enhancing opportunities. All activities providing additional compensation must be approved by the department chair regardless of the source of compensation. External consulting must also be approved. Approval will be contingent on the extent to which a faculty member is carrying out regular duties at an acceptable level, the extent to which the extra income activity appears likely to interfere with regular duties, and the academic value of the proposed consulting activity to the department. In addition, it is university policy that faculty may not spend more than one business day per week on supplementally compensated activities and external consulting combined.

Faculty with an administrative position (for example, chair, associate/assistant dean, center director) remain subject to the <u>Policy on Outside Activities and Conflicts</u> and with appropriate approval, are permitted to engage in paid external work activities. However, faculty members with administrative positions are not permitted to accept compensation/honoraria for services that relate to or are the result of their administrative duties and responsibilities.

Should a department faculty member wish to use a textbook or other material that is authored by the faculty member and the sale of which results in a royalty being paid to him or her, such textbook or material may be required for a course by the faculty member only if (1) the faculty member's department chair and dean or designee have approved the use of the textbook or material for the course taught by the faculty member, or (2) an appropriate committee of the department or college reviews and approves the use of the textbook or material for use in the course taught by the faculty member.

Faculty who fail to adhere to the university's policies on these matters, including seeking approval for external consulting, will be subject to disciplinary action.

XIV Financial Conflicts of Interest

Information on faculty financial conflicts of interest is presented in the university's <u>Policy on Outside Activities and Conflicts</u>.. A conflict of interest exists if financial interests or other opportunities for tangible personal benefit may exert a substantial and improper influence upon a faculty member or administrator's professional judgment in exercising any university duty or responsibility, including designing, conducting or reporting research.

Faculty members with external funding or otherwise required by university policy are required to file conflict of interest screening forms annually and more often if prospective new activities pose the possibility of financial conflicts of interest. Faculty who fail to file such forms or to cooperate with university officials in the avoidance or management of potential conflicts will be subject to disciplinary action.

In addition to financial conflicts of interest, faculty must disclose any conflicts of commitment that arise in relation to consulting or other work done for external entities. Further information about conflicts of commitment is included in section IX above.

XV Grievance Procedures

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Members of the department with grievances should discuss them with the department chair who will review the matter as appropriate and either seek resolution or explain why resolution is not possible. Content below describes procedures for the review of specific types of complaints and grievances.

A Salary Grievances

 A faculty or staff member who believes that his or her salary is inappropriately low should discuss the matter with the department chair. The faculty or staff member should provide documentation to support the complaint.

Faculty members who are not satisfied with the outcome of the discussion with the department chair and wish to pursue the matter may be eligible to file a more formal salary appeal (see the Office of Academic Affairs *Policies and Procedures Handbook*).

Staff members who are not satisfied with the outcome of the discussion with the department chair and wish to pursue the matter should contact <u>Employee and Labor Relations</u> in the Office of Human Resources.

B Faculty Promotion and Tenure Appeals

Promotion and tenure appeals procedures are set forth in Faculty Rule 3335-5-05.

C Faculty and Staff Misconduct

Complaints alleging faculty misconduct or incompetence should follow the procedures set forth in Faculty Rule 3335-5-04.

Any student, faculty, or staff member may report complaints against staff to the department chair. The <u>Office of Employee and Labor Relations</u> in the Office of Human Resources can provide assistance with questions, conflicts, and issues that arise in the workplace.

D Harassment, Discrimination, and Sexual Misconduct

The Office of Institutional Equity exists to help the Ohio State community prevent and respond to all forms of harassment, discrimination, and sexual misconduct.

 Ohio State's policy and procedures related to affirmative action and equal employment opportunity are set forth in the university's policy on affirmative action and equal employment opportunity.

2 Ohio State's policy and procedures related to nondiscrimination, harassmenet, and sexual misconduct are set forth in the university's policy on nondiscrimination, harassment, and sexual misconduct.

E Violations of Laws, Rules, Regulations, or Policies

Concerns about violations of laws, rules, regulations, or policies affecting the university community should be referred to the Office of University Compliance and Integrity. Concerns may also be registered anonymously through the Anonymous Reporting Line.

F Complaints by and about Students

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Normally student complaints about courses, grades, and related matters are brought to the attention of individual faculty members. In receiving such complaints, faculty should treat students with respect regardless of the apparent merit of the complaint and provide a considered response. When students bring complaints about courses and instructors to the department chair, the department chair will first ascertain whether or not the students require confidentiality. If confidentiality is not required, the department chair will investigate the matter as fully and fairly as possible and provide a response to both the students and any affected faculty. If confidentiality is required, the department chair will explain that it is not possible to fully investigate a complaint in such circumstances and will advise the student(s) on options to pursue without prejudice as to whether the complaint is valid or not. See Faculty Rule 3335-8-23.

Faculty complaints regarding students must always be handled strictly in accordance with university rules and policies. Faculty should seek the advice and assistance of the department chair and others with appropriate knowledge of policies and procedures when problematic situations arise.

G Academic Misconduct

Board of Trustees Rule <u>3335-23-15</u> stipulates that the <u>Committee on Academic Misconduct</u> does not hear cases involving academic misconduct in colleges having a published honor code, although some allegations against graduate students fall under the committee's jurisdiction. Accordingly, faculty members will report any instances of academic misconduct to the Chair of the Graduate Student Committee who will involve the Committee on Academic Misconduct, if appropriate, or will otherwise follow the department's procedures for addressing allegations of violations of the professional student honor code.