Pattern of Administration
College of Engineering

Approved by the College Faculty:
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I Introduction

Purpose
This document describes the organizational structure, policies and procedures as they relate to
the governance of the College of Engineering and Knowlton School of Architecture and the
orderly conduct of College Faculty business. It supplements the Rules of the University Faculty,
and other policies and procedures of the university to which the College and its Faculty are
subject. The latter rules, policies and procedures, and changes in them, take precedence over
statements in this document.

Revision
This Pattern of Administration (POA) is subject to continuing revision. It must be reviewed and
either revised or reaffirmed on appointment or reappointment of the Dean of the College.
However, revisions may be made at any time as needed. All revisions, as well as periodic
reaffirmation, are subject to approval by the Office of Academic Affairs.

Scope
All College matters in which the Faculty has power to act are governed by this POA. Powers of
the Faculty are delegated to committees or otherwise only by this POA. The College recognizes
the presumption favoring faculty rule on those matters in which faculty have primary
responsibility, including: curricula, subject matter and methods of instruction, research,
appointments, promotion and tenure of faculty, faculty governance including college and unit
faculty meetings, peer mentoring and peer evaluation of teaching, and those aspects of student
life related to the educational process.

Interpretation
This POA shall be interpreted consistently with applicable statutes of the State of Ohio, the
By-Laws of the Board of Trustees, and the Rules of the University Faculty. All references to
periods of time in days refer to calendar days; in computing a period of time, the date of the act
or event from which the period of time begins to run shall not be included.

Meetings
The College accepts the fundamental importance of full and free discussion but also recognizes
that such discussion can only be achieved in an atmosphere of mutual respect and civility.
Normally, Faculty meetings will be conducted with no more formality than is needed to attain
the goals of full and free discussion and the orderly conduct of business. However, Robert’s
Rules of Order will be invoked when more formality is needed to serve these goals. Meetings
shall be open to all persons except where compelling reasons require otherwise.

II College Mission
The College of Engineering and the Knowlton School of Architecture will create, transfer and
preserve knowledge in the disciplines of engineering, design and planning to enhance economic
competitiveness and promote societal well-being.
III Academic Rights and Responsibilities

Academic freedom and intellectual diversity have been hallmarks of the ethic of The Ohio State University since it opened its doors in 1873. The Office of Academic Affairs leads the institutional effort to inform faculty and students about relevant policies and procedures and to promote frank, open, and respectful discussion about the issues of freedom of thought and expression. This statement can be found on the Office of Academic Affairs website, http://oaa.osu.edu/rightsandresponsibilities.html.

IV Faculty

Faculty Rule 3335-5-19 defines the types of faculty appointments possible at The Ohio State University and the rights and restrictions associated with each type of appointment. For purposes of governance, the College faculty includes tenure-track, clinical, and research faculty members with compensated FTEs of at least 50%, and associated faculty. Detailed information about the appointment criteria and procedures for the various types of faculty appointments is provided in the College Appointments, Promotion and Tenure Document.

Clinical Faculty Appointment Cap and Governance Rights

In accordance with Rules of the University Faculty (Faculty Rule 3335-7-03), clinical faculty can comprise no more than 20% of the combined tenure-track, clinical and research faculty membership. Clinical faculty may vote in all matters of college governance except tenure-track and research appointment, promotion and tenure decisions. TIUs that appoint clinical faculty determine the level of participation within TIU governance and administrative structures in accordance with the Rules of the University Faculty (Faculty Rule 3335-7-11). Any clinical faculty member appointed by the college unit may stand for election to serve as a representative in the University Senate subject to representation restrictions noted in the University Faculty Rules (Faculty Rule 3335-7-11(C)(2)).

Research Faculty Appointment Cap and Governance Rights

In accordance with Rules of the University Faculty (Faculty Rule 3335-7-32), research faculty membership can comprise no more than 20% of the tenure-track faculty membership. Research faculty may vote in all matters of college governance except tenure-track appointment, promotion and tenure decisions and clinical appointment and promotion decisions.

Associated Faculty Governance Rights

Persons with associated titles are permitted to participate in college and academic unit governance unless restricted by a vote of at least a majority of its tenure-track, clinical and research faculty in the unit where their primary appointment resides. Under no circumstances may persons with associated faculty titles vote in matters pertaining to tenure-track, clinical, research appointment, promotion and tenure decisions.

Emeritus Faculty Governance Rights
Emeritus faculty in the college are invited to participate in discussions on non-personnel matters, but may not participate in personnel matters, including promotion and tenure reviews, and may not vote on any matter.

Special Circumstances
Because it is an adjunct TIU in the College of Engineering, college faculty members from the Department of Food, Agricultural and Biological Engineering shall have voting rights on all matters before the College except those concerned with the organization of the College.

Only faculty members of the Austin E. Knowlton School of Architecture shall vote on recommendations of recipients of tagged degrees and supporting course and curricular proposals.

Distinguished Professor
Distinguished faculty members within the College of Engineering and Knowlton School of Architecture may be awarded the title College of Engineering Distinguished Professor or Knowlton School of Architecture Distinguished Professor as appropriate in recognition of excellence in teaching, scholarship, and service. Appointment as a Distinguished Professor is based upon rank at the professor level, a minimum of five years of service in the College, national and international recognition of the highest level of intellectual leadership, excellence and performance in research, teaching and professional service. This appointment includes annual discretionary funding. This honorific is limited to no more than 10% of the full professors in the College. The Dean will solicit nominations from department chairs who may submit a nomination letter outlining the key achievements of the faculty member, along with a copy of the faculty member’s vita. The Dean will review the nominations and select a candidate to receive the honorific. Reappointment is possible based successful performance and the recommendation of the Dean.

Organization of the College

Departments, School
The College shall be organized into the following school and departments, which are Tenure Initiating Units (TIUs): Biomedical Engineering (BME); William G. Lowrie Department of Chemical and Biomolecular Engineering (CBE), Civil, Environmental, and Geodetic Engineering (CEGE); Computer Science and Engineering (CSE); Electrical and Computer Engineering (ECE); Engineering Education (EED); Integrated Systems Engineering (ISE); Materials Science and Engineering (MSE); Mechanical and Aerospace Engineering (MAE); and The Austin E. Knowlton School of Architecture (KSA). The Department of Food, Agricultural and Biological Engineering (FABE) in the College of Food, Agricultural, and Environmental Sciences is an adjunct department in the College of Engineering. Each TIU in the College shall have a Pattern of Administration that describes the organizational structure, policies and procedures as related to the governance of the TIU enabling orderly conduct of business. POA documents are to contain policies governing faculty responsibilities and teaching assignments within that TIU. TIU POA documents, as well as any revisions and affirmations thereto, must be approved by the Dean of the College and the Office of Academic Affairs.
**Power of the Faculty**

The Faculty of the College shall have the power to "create and abolish schools, departments and divisions of instruction within the college, subject to approval of the council on academic affairs, the university senate, the president, and the board of trustees." (See Rules of the University Faculty 3335-5-14 (C).) "Instruction" shall include on-campus classroom and laboratory education, graduate student research, distance education and continuing education.

**VI Overview of College Administration and Decision-Making**

The College operates on the premise that all faculty members, staff and students have unique talents and skills that contribute to the pursuit of excellence, and the opinions of all are valued. While deliberation and decision-making may occur in different ways based on the nature of the issue or action, consensus is the preferred vehicle as often as possible. Decisions are made by the College faculty as a whole, by standing or ad hoc committees of the College, or by the Dean with the nature and importance of any specific matter determining how the issue is to be addressed. College governance proceeds on the general principle that the more important the matter to be decided, the more widespread the agreement on a decision needs to be. Open discussions of formal and informal nature are encouraged and constitute the primary means of reaching consensus on decisions of central importance.

**VII College Administration**

**Dean of the College**

The Dean shall be the administrative head of the College and shall carry out the duties prescribed in Faculty Rule 3335-3-29. The Dean shall appoint, pursuant to University Rules, a Secretary of the College and such Associate and Assistant Deans and other administrative officers as are needed to carry out the programs of the College. In matters of business, finance, human resources and other areas, the Dean shall seek the advice of Executive College Staff members whose expertise pertains to the particular matter at hand. The Dean shall fully consider such advice in advance of any decision or action. To promote efficiency and expediency, college-level decision-making and approval authority may be delegated to the Associate and Assistant Deans, and the College Executive Staff at the discretion of the Dean. At least annually, a roster of the administrative personnel within the Office of the Dean shall be provided to all Faculty. At least annually, the Dean will address the Faculty of the College to present academic and strategic initiatives, budget and budgetary trends, and to recommend new or modified policies for carrying out the mission of the College.

**Other Administrators**

The College shall have the following Associate Deans, Assistant Deans and Executive Staff. In the execution of their responsibilities, the individuals in these positions are accountable to the Dean of the College of Engineering. Accountability to the Dean does not abrogate, but supports, any responsibility or accountability to other offices or officers of the University as may be designated or apparent for particular duties or functions.
Associate Dean for Academic Affairs and Administration: Responsible for recruitment, retention and advancement of faculty and the administrative operation of the College including auxiliary operations. The Associate Dean is responsible for the planning, orderly development, administration, success and effectiveness of the College. The Associate Dean will serve as the Secretary of the College.

Associate Dean for Research: Responsible for planning, development, and administration of the College research enterprise; promotes externally sponsored research; facilitates establishment of externally funded research centers; oversees the Engineering Research Operations and other auxiliary operations as designated.

Associate Dean for Undergraduate Education and Student Services: Responsible for curriculum, student recruitment and retention, scholarships/financial aid, enrollment management, graduation certification, undeclared student advising, orientation, Engineering Career Services, Dean’s List, student awards, and student organizations.

Executive Director of Finance and Business: Responsible for budgeting, strategic planning, finance, procurement and human resources for the college. Responsible for the oversight of the shared services center for procurement and payroll. Manages and directs the allocation of both financial and human capital resources in the college. Works in partnership with the Office of Academic Affairs and Office of Business and Finance on all finance and budget matters. Works in partnership with the Associate Dean for Academic Affairs and the Associate Dean for Research on the allocation of resources for departments, faculty and college research operations. Responsible for ensuring compliance with university, state and federal regulations in all financial and human resources activities.

Chief Information Officer: Responsible for College information technology (IT) infrastructure and works in partnership with other university and external systems to support the teaching, research and administration needs of the College. Determines long-term College IT needs and develops strategy for systems development, acquisition and integration. Represents the College’s IT interests to the University Office of the Chief Information Officer and other external organizations.

Assistant Dean for Diversity, Outreach and Inclusion: Responsible for leading college-wide diversity and inclusion initiatives, the Office of Diversity, Outreach and Inclusion and its respective programs. Leads efforts to partner and collaborate with internal and external constituents and stakeholders to advance diversity and inclusion for students, faculty, staff, and alumni in the College, in academic and career preparation programs and in the engineering field, across the university and in the broader community.

Assistant Dean for Curriculum and Assessment: Responsible for college level activities dealing with curriculum and assessment, academic actions, student projects and organizations, international programs, and advising departments in these areas. Serves as secretary for CCAA.

Assistant Dean of Graduate Programs: Responsible for all college-level initiatives supporting graduate programs and graduate students. Partners with the units and the College Diversity and
Outreach office to ensure recruitment of a diverse graduate student population. Serves as the liaison to between internal and external entities on matters affecting graduate studies, and as an ex officio member and Chair of the College’s Graduate Studies Chair Committee and the Graduate Program Coordinators Committee.

Assistant Dean for Facilities Planning and Management: Provides administrative oversight, planning, prioritization and coordination of capital projects, renovation projects and physical space management in the college.

Director of Professional & Distance Education Programs: Provides administrative oversight, planning, prioritization and coordination of professional programs and short courses, on-line degree programs, and customized education programs in engineering and architecture.

Committees
The development and implementation of College policies and programs are carried out by standing and ad hoc committees. The Dean is an ex officio member of all College committees and may vote as a member on all committees except the Committee of Eligible Faculty and the Promotion and Tenure Committee. The existing College Committees and the procedures for establishing and reviewing College Committees are described in Appendix A.

Centers
College Centers may be established to support the research and educational mission of the College. The procedures for establishing, reviewing and abolishing College Centers are described in Appendix B.

VIII Faculty Meetings

Membership of Faculty
Except as otherwise determined in accordance with the Rules of the University Faculty, the College Faculty shall be constituted as follows: members of the University Faculty, including clinical, research, and tenure-track, holding a salaried regular appointment, or having emeritus status, in the College or in a TIU of the College; University administrators who are members of the University Faculty and hold an appointment in a TIU of the College; members of the Faculty of the Department of Food, Agricultural and Biological Engineering who have been certified by the Chair of the Department to the Secretary of the College as participating in resident instruction for the academic year in programs leading to a degree administered by the College. Modified voting rights for the faculty of Food Agriculture and Biological Engineering and the Knowlton School of Architecture are described in Section IV Faculty under the subsection entitled “Special Circumstances”.

The Dean may annually appoint other University Faculty members who play active or supportive roles in the programs of the College as Associate Members of the Faculty of the College. Associate Members shall not have voting rights.
Rosters
On or about October 1st of each year, the Secretary of the College shall distribute to each faculty member official lists showing those holding voting rights in each TIU and in the College.

Regular Meetings
Regular Meetings: The Faculty shall meet upon the call of the Dean but at least once per year.

Special Meetings: The Secretary of the College shall promptly schedule a special meeting when requested by the College Committee on Academic Affairs, by a majority of the faculty of any department, or by a minimum of twenty-five faculty members by signed petition.

Quorum: Thirty members of the Faculty each having power to vote on a matter shall constitute a quorum as to that matter.

Announcement and Agenda: The Secretary of the College shall give reasonable notice of each meeting to members of the Faculty. The Secretary shall also distribute a proposed agenda for each meeting, at least one week in advance of the meeting when practicable, to members of the Faculty.

Ballots: Either the Dean or one-third of all faculty members eligible to vote may determine that a formal vote conducted by written ballot is necessary on matters of special importance. For purposes of a formal vote, a matter will be considered decided when a particular motion is supported by at least a majority of all faculty members eligible to vote. Balloting may be conducted by mail or e-mail when necessary to assure maximum participation in voting. When conducting a ballot by mail or email, faculty members will be given one week to respond. If a motion fails to receive a vote due to lack of quorum, then the dean at his or her discretion may act to adopt or reject the motion on behalf of the faculty; for the dean to invoke such action, the meeting must have been scheduled during business hours of a day on which Autumn or Spring semester classes are in session, and the motion must have been distributed to the faculty, together with an agenda and notice of the faculty meeting, at least one week prior to the meeting. Additionally, when a simple majority of all faculty members eligible to vote cannot be achieved on behalf of any motion, the Dean will necessarily make the final decision.

Appeals: Any vote of the assembled Faculty at a meeting shall be subject to appeal by a ballot of the entire Faculty with voting rights as to that matter either upon request by twenty-five percent of the faculty members present at the meeting at which the vote is taken, or upon written petition addressed to the Secretary of the College by twenty-five faculty members, such petition being received at the administrative offices of the College within seven days of the time the vote is taken. Ballots shall be distributed by the Associate Dean for Academic Affairs and Administration, who is the Secretary of the College with the Committee on Academic Affairs acting as tellers.

Minutes: Minutes of each meeting of the Faculty shall be prepared and preserved by the Secretary of the College as a record of the proceedings of the College. A copy of the minutes shall be distributed to each faculty member as soon as it is practicable.
Presiding Officer: Regular and special meetings of the Faculty shall be presided over by the Dean. In the absence of the Dean or at the request of the Dean, the Associate Dean for Academic Affairs and Administration who is the Secretary of the Faculty shall preside.

IX Distribution of Faculty Duties and Responsibilities

The Office of Academic Affairs requires TIUs to have guidelines on the distribution of faculty duties and responsibilities (See the OAA Policies and Procedures Handbook, Volume 1, Chapter 2, Section 1.4.5).

During on-duty periods, faculty members are expected to be available for interaction with students, research, and departmental meetings and events even if they have no formal course assignment. On-duty faculty members should not be away from campus for extended periods of time unless on an approved leave (see section XI) or on approved travel.

The guidelines outlined here do not constitute a contractual obligation. Fluctuations in the demands and resources of the department and the individual circumstances of faculty members may warrant temporary deviations from these guidelines. Assignments and expectations for the upcoming year are addressed as part of the annual review by the department chair.

A full-time faculty member’s primary professional commitment is to Ohio State University and the guidelines below are based on that commitment. Faculty who have professional commitments outside of Ohio State during on-duty periods (including teaching at another institution, conducting research for an entity outside of Ohio State, or for external consulting) must disclose and discuss these with the chair in order to ensure that no conflict of commitment exists. Information on faculty conflicts of commitment is presented in the OAA Faculty Conflict of Commitment Policy.

Tenure-track Faculty

Tenure-track faculty members are expected to contribute to the university’s mission via teaching, scholarship, and service. When a faculty member’s contributions decrease in one of these three areas, additional activity in one or both of the other areas is expected.

Teaching

All tenure-track faculty members are expected to contribute to teaching in the TIU, including large enrollment and specialized courses in both the undergraduate and graduate curricula. The standard teaching assignment for full-time tenure-track faculty members is determined by a faculty member’s TIU. Faculty members are also expected to advise undergraduate and graduate students and to supervise independent studies and thesis and dissertation work.

Adjustments to the standard teaching assignment may be made to account for teaching a new course, the size of the course, whether the course is taught on-line or team-taught, and other factors that may affect the preparation time involved in teaching the assignment.
The standard teaching assignment may vary for individual faculty members based on their research and/or service activity. Faculty members who are especially active in research may have a reduced teaching assignment. Likewise, faculty members who are relatively inactive in research may have an increased teaching assignment. Faculty members who are engaged in extraordinary service activities (to the department, college, university, and in special circumstances professional organizations within the discipline) may have a reduced teaching assignment.

TIUs are responsible for making teaching assignments on an annual basis, and may decline to approve requests for adjustments when approval of such requests is not judged to be in the best interests of the TIU and its programs. All faculty members must perform some formal instruction and advising over the course of the academic year.

Scholarship
All tenure-track faculty members are expected to be engaged in discovery, scholarly and creative work, applied research, and/or the scholarship of pedagogy as defined in their TIU Appointments, Promotion, and Tenure Document. Faculty engaged in basic or applied research are expected to attract extramural funding that supports their efforts. Faculty members are also expected to seek appropriate opportunities to obtain patents and to engage in other commercial or entrepreneurial activities stemming from their research.

Service
Faculty members are expected to be engaged in service and outreach to the university, profession and community. Expectations are set by TIUs and can be adjusted depending on the nature of the assignment. All faculty members are expected to attend and to participate in faculty meetings, faculty and student recruitment activities, and other TIU and College events.

Special Assignments
Information on special assignments (SAs) is presented in the OAA Special Assignment Policy. Faculty may request an SA, consistent with the TIU’s requirements for SA proposals. The TIU head shall make a recommendation to the Dean regarding an SA proposal. Award of the SA will be based on the quality of the proposal and its potential benefit to the department or university and to the faculty member as well as the ability of the department to accommodate the SA at the time requested.

Clinical Faculty
Clinical faculty members are expected to contribute to the university’s mission through teaching and service, and to a lesser extent through research and scholarship. Service expectations are similar to those for the tenure-track. All clinical faculty are expected to contribute to the teaching of courses in the TIU, or to courses or instructional situations involving professional skills. The standard teaching assignment for full-time clinical faculty members as defined by the university is seven courses per academic year.

Research Faculty
Research faculty members are expected to contribute to the university’s mission through research. In accord with Faculty Rule 3335-7-34, a research faculty member may, but is not
required to, participate in educational activities in the area of his or her expertise on a limited basis. Under no circumstances may a member of the research faculty be continuously engaged over an extended period of time in the same instructional activities as tenure-track faculty. Research expectations are similar to those for the tenure-track, albeit proportionally greater since the majority of effort for faculty members on the research track is devoted to research. Specific expectations are spelled out in the letter of offer.

**Associated Faculty**

Compensated associated faculty members are expected to contribute to the university’s mission via teaching or research depending on the terms of their individual appointments. Faculty members with tenure-track titles and appointments <50% FTE will have reduced expectations based on their appointment level. Expectations for compensated visiting faculty members will be based on the terms of their appointment and are comparable to that of tenure-track faculty members except that service is not normally required. The standard teaching assignment for full-time lecturers is to be specifically defined in the TIU governance documents and should be prescribed with the understanding that the University standard for a lecturer full-time teaching load is 8 courses per year.

**Guidelines for Determining FTE Exceptions to Faculty Appointments Policy**

The College of Engineering and the Knowlton School of Architecture recognize that lecturers are professionals committed to educating Ohio State students. Lecturers provide a valuable service to their units and must be compensated and treated fairly. There are instances where the workload associated with a course is greater than or less than the university-wide ratio of one (1) 3-credit course to 0.25 FTE. In such cases, the unit should request approval for an FTE adjustment. In all cases, units must provide evidence to justify requests to increase or decrease the credit-hour to FTE equivalency beyond the university-wide ratio of one (1) 3-credit course to 0.25 FTE.

**Modification of Duties**

The College of Engineering strives to be a family-friendly unit in its efforts to recruit and retain faculty members. To this end, the college is committed to adhering to its guidelines on modification of duties to provide its faculty members flexibility in meeting work responsibilities associated with birth or adoption of a child, or care for an immediate family member who has a serious health condition, or a qualifying exigency arising out of the fact that the employee’s immediate family member is on covered active duty in a foreign country or call to covered active duty status. See Appendix C for details.

A faculty member requesting a modification of duties for childbirth/adoption/fostering and the TIU head should be creative and flexible in developing a solution that is fair to both the individual and the TIU while addressing the needs of the university. Expectations must be spelled out in an MOU that is approved by the Dean.

**Course Offerings and Teaching Schedule**
Each TIU head will develop annually a schedule of course offerings and teaching schedules in consultation with the faculty, both collectively and individually. While every effort will be made to accommodate the individual preferences of faculty, the department's first obligation is to offer the courses needed by students at times and in formats, including on-line instruction, most likely to meet student needs. To assure classroom availability, reasonable efforts must be made to distribute course offerings across the day and week. To meet student needs, reasonable efforts must be made to assure that course offerings match student demand and that timing conflicts with other courses students are known to take in tandem are avoided. A scheduled course that does not attract the minimum number of students required by Faculty Rule 3335-8-17 will normally be cancelled and the faculty member scheduled to teach that course will be assigned to another course for that or a subsequent semester. Finally, to the extent possible, courses required in any curriculum or courses with routinely high demand will be taught by at least two faculty members across semesters of offering to assure that instructional expertise is always available for such courses.

XI Leaves and Absences

The university's policies and procedures with respect to leaves and absences are set forth in the Office of Academic Affairs Policies and Procedures Handbook and Office of Human Resources Policies and Forms website. The information provided below supplements these policies.

Discretionary Absence

Faculty are expected to complete a travel request or an Application for Leave Form well in advance of a planned absence (for attendance at a professional meeting or to engage in consulting) to provide time for its consideration and approval and time to assure that instructional and other commitments are covered. Discretionary absence from duty is not a right and the chair retains the authority to disapprove a proposed absence when it will interfere with instructional or other comparable commitments. Such an occurrence is most likely when the number of absences in a particular semester is substantial. Rules of the University Faculty require that the Office of Academic Affairs approve any discretionary absence longer than 10 consecutive business days (See Faculty Rule 3335-5-08); such absences must be requested at https://eleave.osu.edu/ prior to the leave.

Absence for Medical Reasons

When absences for medical reasons are anticipated, faculty members are expected to complete an Application for Leave form as early as possible. When such absences are unexpected, the faculty member, or someone speaking for the faculty member, should inform the chair promptly so that instructional and other commitments can be managed. Faculty members are always expected to use sick leave for any absence covered by sick leave (personal illness, illness of family members, medical appointments). Sick leave is a benefit to be used—not banked. For additional details see OHR Policy 6.27.

Unpaid Leaves of Absence

The university's policies with respect to unpaid leaves of absence and entrepreneurial leaves of absence are set forth in OHR Policy 6.45.
Faculty Professional Leave

Information on faculty professional leaves is presented in the OAA Policy on Faculty Professional Leaves. The information provided below supplements these policies. Requests for professional leave from eligible faculty members will be reviewed at the TIU level according to established procedures. The TIU head will submit the approved requests in rank order with recommendations to the Dean. Requests that reach the Dean will be reviewed by the College Faculty Professional Leave committee, as described in the appendix, that will rank them in priority order and submit them to the Dean with their recommendations for the Dean's submission to the Provost. Highest priority in the review process will be given to those applicants who have a positive record of achievement, service, and commitment to the University and can show the benefits of the requested leave to their continuing professional development and to the University. Specifically, the committee assesses applications based on:

1. The degree to which the proposed activity meets the stated objectives of the Professional Leave Program, which are: a) to enhance their teaching effectiveness, scholarly interests and overall performance. b) the University's academic programs can be strengthened and developed.
2. The degree to which the applicant's goals can realistically be achieved during the period requested. In the event that the number of qualified applicants exceeds the number of leaves available, applicants will be judged on quality of the proposal and elapsed time since any previous leave.

The application should follow the form provided by the Office of Academic Affairs. The application should provide the committee with:

1. A clear indication of the activity to be undertaken during the leave,
2. Insight into the motivation for the leave,
3. The expected outputs and outcomes to be realized from the leave experience,
4. Letters of invitation or support from sponsors of the planned leave activity.

XI Supplemental Compensation and Paid External Consulting

The College of Engineering and the Knowlton School of Architecture expect faculty members to carry out the duties associated with their primary appointment with the university at a high level of competence before seeking or engaging in other income-enhancing opportunities. All activities providing supplemental compensation including external consulting must be approved by the TIU head regardless of the source of compensation. Approval will be contingent on the extent to which a faculty member is carrying out regular duties at an acceptable level, the extent to which the extra income activity appears likely to interfere with regular duties, and the academic value of the proposed consulting activity to the department. In addition, it is university policy that faculty may not spend more than one business day per week on supplemental compensation activities and external consulting combined. Faculty who fail to adhere to the university's policies on these matters, including seeking approval for external consulting, will be subject to disciplinary action. Information on faculty supplemental compensation is presented in the OAA Policy on Faculty Compensation. Information on paid external consulting is presented in the university’s Policy on Faculty Paid External Consulting.
XII  Financial Conflicts of Interest

A conflict of interest exists if financial interests or other opportunities for tangible personal benefit may exert a substantial and improper influence upon a faculty member or administrator's professional judgment in exercising any university duty or responsibility, including designing, conducting or reporting research. Faculty members with external funding or otherwise required by university policy are required to file conflict of interest screening forms annually and more often if prospective new activities pose the possibility of financial conflicts of interest. Faculty who fail to file such forms or to cooperate with university officials in the avoidance or management of potential conflicts will be subject to disciplinary action. In addition to financial conflicts of interest, faculty must disclose any conflicts of commitment that arise in relation to consulting or other work done for external entities. Information on faculty financial conflicts of interest is presented in the university’s Policy on Faculty Financial Conflict of Interest.

XIII  Grievance Procedures

Members of TIUs with grievances should discuss them with the TIU head who will review the matter as appropriate and either seek resolution or explain why resolution is not possible. The content below describes procedures for the review of specific types of complaints and grievances.

Salary Grievances

A faculty or staff member who believes that his or her salary is inappropriately low should discuss the matter with the chair. The faculty or staff member should provide documentation to support the complaint.

Faculty members who are not satisfied with the outcome of the discussion with the chair and wish to pursue the matter may be eligible to file a more formal salary appeal the Office of Academic Affairs Policies and Procedures Handbook. Volume 1, Chapter 3, Section 2.0.

Staff members who are not satisfied with the outcome of the discussion with the chair and wish to pursue the matter should contact Consulting Services in the Office of Human Resources.

Faculty Misconduct

Complaints alleging faculty misconduct or incompetence should follow the procedures set forth in Faculty Rule 3335-5-04.

Faculty Promotion and Tenure Appeals

Promotion and tenure appeal procedures are set forth in Faculty Rule 3335-5-05.

Sexual Misconduct

The university's policy and procedures related to sexual harassment misconduct are set forth in OHR Policy 1.15.

Student Complaints
Normally student complaints about courses, grades, and related matters are brought to the attention of individual faculty members. In receiving such complaints, faculty members should treat students with respect regardless of the apparent merit of the complaint and provide a considered response. When students bring complaints about courses and instructors to the TIU head, the head will first ascertain whether or not the matter requires confidentiality. If confidentiality is not required, the head will investigate the matter as fully and fairly as possible and provide a response to both the student(s) and faculty member affected. If confidentiality is required, the head will explain that it is not possible to fully investigate a complaint in such circumstances and will advise the student(s) on options to pursue without prejudice as to whether the complaint is valid or not.

Faculty complaints regarding students must always be handled strictly in accordance with university rules and policies. Faculty members should seek the advice and assistance of the chair and others with appropriate knowledge of policies and procedures when problematic situations arise. In particular, evidence of academic misconduct must be brought to the attention of the Committee on Academic Misconduct.

**Code of Student Conduct**

In accordance with the [Code of Student Conduct](#), faculty members will report any instances of academic misconduct to the Committee of Academic Misconduct.
Appendix A: Overview of College Committees

1. COLLEGE COMMITTEE ON ACADEMIC AFFAIRS (CCAA)

1.1 Faculty Membership: One member shall be elected from each undergraduate degree-granting program, including the Department of Food, Agricultural and Biological Engineering, Center for Aviation Studies and the Engineering Physics Program; but excluding the Austin E. Knowlton School of Architecture. The Engineering Education Department, while not granting an undergraduate degree, will have one member. Each College Center offering an approved undergraduate degree program will be permitted to appoint a member. The term of membership shall be three years, such terms beginning at the start of autumn semester.

1.2 Each program from which members may be elected, as defined in paragraph 1.1 of this POA document, shall have one vote on the committee. If a department wants only one vote, even when it has two or more degree granting programs within it, the department must declare as such at the beginning of the academic year. That department shall have one vote until the beginning of the next academic year, at which point the declaration must be made again.

1.3 Appointment of Members: Members shall be appointed by the chair or director of each concerned program as they are defined in paragraph 1.1 of this POA document.

1.4 Representation by Members: Each member is expected to become familiar with and advance before the Committee proposals originating in the program from which the member is selected. Each member also has the responsibility to act for the benefit of the College as a whole.

1.5 Officers: During each Spring semester, the Committee shall elect from its continuing members a Chair for the following year beginning at the start of autumn semester. The Associate Dean for Undergraduate Education and Student Services shall arrange for appointment of a Secretary of the Committee, usually the Assistant Dean for Curriculum and Assessment, with the right to discuss but without the right of vote.

1.6 Powers Delegated: Notwithstanding the separate powers of the Austin E. Knowlton School of Architecture, the Committee shall (a) certify at the end of each semester lists of students who have fulfilled the requirements for a degree or for whom special recommendation is made and recommend candidates for degrees to the Faculty membership of the University Senate and the Board of Trustees. The Committee may delegate this task or any portion of it to the Secretary of the Committee. (b) review and approve or disapprove proposals for new courses and proposals for changes in courses and curricula which are recommended by departments or College Centers approved for such purposes, reporting its decisions directly to the departments or centers concerned and, subject to appeal as described in paragraph 1.10 of this document, to the University Council on Academic Affairs. The
Committee may delegate to the Secretary of the Committee approval of minor changes to an existing course, that do not reduce student access to the course. The Secretary will provide a monthly report of all such requests and approvals to CCAA.

1.7 Responsibility of Academic Policy: The Committee shall be responsible for making recommendations to the Faculty of the College concerning the educational and academic policies of the College. This shall include, but shall not be limited to, the responsibility to make recommendations concerning the establishment, alteration, and abolition of all curricula and courses offered by the College or any division thereof, of all degrees and certificates supervised by the College, of all departments, schools and divisions of the College, and of all College Centers authorized to offer for-credit courses or degree programs. In carrying out its activities under this paragraph, the Committee shall, when appropriate, utilize its counterpart committee in the Austin E. Knowlton School of Architecture.

1.8 Action of the Committee: No actions of the Committee other than degree certifications shall be effective until it appearing in the form of an approved motion in the published minutes of the Committee.

1.9 Minutes: The Secretary of the Committee shall prepare minutes of Committee meetings and shall distribute them to each member of the Committee and to the chair of each department represented on the Committee.

1.10 Appeal: Any action of the Committee may be appealed to the Faculty of the College by twenty-five percent of the members of the Committee present at the meeting at which the vote is taken or upon written petition, addressed to the Secretary of the College, signed by twenty-five faculty members or by the majority of the faculty members of any department, such petitions being received at the administrative offices of the College within ten days after publication of the minutes containing a report of the action. The action being appealed shall be placed on the agenda, referred to in Section VIII of the College of Engineering’s POA, for the next regular or special meeting of the Faculty of the College.

2. ADDITIONAL COMMITTEES

2.1 Faculty Salary Appeals Committee: In accordance with the OAA Policy and Procedures Handbook, volume 1, chapter 3, section 2.0, the Dean will convene a faculty salary appeals committee to review faculty salary appeals that cannot be settled at the department level, and to make recommendations to the Dean concerning the disposition of such cases. A faculty salary appeals committee comprises three persons, each of whom is a department chair or school director, selected from among those College units not party to the appeal.

2.2 Faculty Investigations Committee: In accordance with Faculty Rule 3335-5-04, the Dean will convene a grievance committee to review and recommend to the Dean disposition of an appeal, or referral by department chairs or school directors, of a
complaint against regular or associated faculty members. Each investigations
committee comprises three persons, each of whom is a tenured faculty member,
selected from among those College units not party to the complaint.

2.3 Staff Grievances: Grievances against staff members should be referred to the staff
member’s supervisor. The Office of the Dean will provide consultative advice and
a point of referral for complaints that cannot be handled within the staff member’s
unit. The Office of the Dean will interact with the parties and the Office of Human
Resources, as appropriate, to facilitate resolution of the grievance.

2.4 Promotion and Tenure Committee: In accordance with Faculty Rule 3335-6-04(C),
there shall be a standing College Promotion and Tenure Committee. The purposes
of the College Promotion and Tenure Committee are:

1. To ensure that high standards of excellence are maintained in the college
promotion and tenure process.

2. To serve as an advisory body to the Dean on matters concerning faculty
promotion and/or tenure. [ref: Faculty Rule 3335-6-04(C)(2)]

3. To determine whether TIUs have conducted a rigorous promotion and/or tenure
review and reached a recommendation consistent with College and TIU policies,
procedures, practices, and standards. [ref: Faculty Rule 3335-6-04(C)(1)(a)]

4. To determine where the weight of the evidence lies in promotion and/or tenure
cases in which there is not a clear or consistent recommendation from the review
conducted in the TIU. [ref: Faculty Rule 3335-6-04(C)(1)(b)]

5. If requested by the Dean or the Dean’s designee, to review TIU Appointments,
Promotion and Tenure documents and recommend to the Dean that the document
submitted to the Dean for approval be: (1) approved and forwarded to the Office of
Academic Affairs, or (2) returned to the TIU with changes recommended.

6. To review proposed changes to the College’s Appointments, Promotion and
Tenure document, and recommend to the Dean that the proposed changes be (a)
approved and forwarded to the Office of Academic Affairs, (b) not approved, or (c)
revised.

2.4.1 Membership

Membership consists of at least six regular tenure track faculty at the rank of
Professor appointed by the Dean, each serving a three-year term. Up to an
additional two senior members from the regular clinical track faculty may be
appointed by the Dean, each serving a three-year term, to assess regular clinical
track faculty candidates only. Up to an additional two senior members from the
regular research track faculty may be appointed by the Dean, each serving a three-
year term, to assess regular research track faculty candidates only. Regular clinical or research track faculty cannot participate or vote on promotion and tenure matters of regular tenure track faculty [ref: Faculty Rule 3335-7-04(A)]. Membership shall be rotated to ensure fair and balanced participation among the TIUs. For the purpose of establishing committee membership, the Sections in The Knowlton School of Architecture shall be treated as TIUs with the stipulation that no more than two sections be represented on the committee at any time. Committee members from any one section need not automatically recuse themselves from assessment of candidates originating from the other sections. Each year, four regular tenure track faculty members will remain on the P&T Committee for the following year. One of these shall be appointed by the Dean in spring to serve as P&T Committee Chair the following year. New appointments will be made in spring to commence the following year.

2.4.2 Recommendations

Committee recommendations to the Dean shall be in writing and report the vote of the Committee on the particular matter deliberated by the Committee.

2.5 Executive Committee: The Executive Committee is responsible for the administrative leadership of the College, and the execution of its strategic plan and all pertinent policies and procedures. The Executive Committee is chaired by the Dean, and includes all Associate Deans, Knowlton School Director, and Department Chairs within the College. Other members may be added at the discretion of the Dean.

2.6 Engineering Staff Advisory (ESA) Committee: The ESA Committee shall advise the Dean on matters concerning the college staff. It is comprised of 11 members. Members of the committee and its chair are appointed for two-year terms by the Dean, and will include representation from staff throughout the College and the COE Human Resources Director. The Committee will select a rotating chair.

2.7 Faculty Professional Leave (FPL) Committee: The FPL Committee shall advise the Dean on applications for FPL. It is comprised of seven members at the rank of Associate and/or Full Professor appointed for two-year terms by the Dean. The Associate Dean of Academic Affairs and Administration shall serve as the committee chair.

2.8 Research Committee: The Research Committee shall advise the Dean on matters concerning the College’s research strategy, programs, and research centers. The Research Committee is chaired by the Associate Dean for Research, and includes directors of major college research centers and other faculty members so as to provide representation across the College.

2.9 The Dean, the Faculty of the College, or the College Committee on Academic Affairs may each establish or abolish additional committees and subcommittees. The
individual or group that establishes a committee or subcommittee has the primary responsibility to abolish it when it is no longer needed. Ordinarily such committees and subcommittees should be established with a specific charge and for a limited period of existence, but some will be standing committees, or standing subcommittees of the Committee on Academic Affairs. Faculty members of the standing subcommittees of the Committee on Academic Affairs shall be appointed by the Dean. At least one member of each standing subcommittee of the College Committee on Academic Affairs should also be a member of the College Committee on Academic Affairs. Annually, the Secretary of the College shall distribute to each faculty member the membership of each standing committee and of each standing subcommittee of the Committee on Academic Affairs.

3. STUDENT PARTICIPATION

3.1 Policy: It is the policy of the Faculty that students serve on committees of the College except where student input would not substantially enhance the effectiveness of the committee, where the business of the committee has insubstantial effect on student interests, or where compelling reasons require exclusion. Appointments of students to committees should be made only after consultation with representatives of appropriate student organizations.

3.2 Lists of Interested Students: The Associate Dean for Undergraduate Education and Student Services shall at least annually make known to the students associated with the College, including students in graduate and advanced professional degree programs, opportunities for participation in the committee work of the College. The Associate Dean shall devise convenient means for students to indicate their interest in such participation and shall, from time-to-time, compile lists of those who have done so. All student appointments to committees shall be made from these lists, each student first having been informed of the nature of the committee and the normal workload associated with membership.

3.3 Committee on Academic Affairs: The lists referred to in paragraph 3.2 of this POA document shall be made available to the Chair of the Committee on Academic Affairs who shall appoint from the lists one undergraduate student and one graduate student to the Committee for terms of office not to exceed one year. Unless otherwise determined by the Committee, at least one student shall serve on each of its subcommittees. These student members of the subcommittees, who need not be members of the Committee, shall be appointed in the same manner by the Chair of the Committee on Academic Affairs for terms not to exceed one year. Student members of the Committee on Academic Affairs or its subcommittees shall not participate in matters referred to in paragraph 1.6 (a) of this appendix.
Appendix B: Establishment and Review of College Centers

Faculty Rule 3335-3-36, “Center Establishment” contains the rules governing establishment, review and abolition of college centers. College of Engineering centers (herein after “College Centers”) will be established and reviewed consistent with this Rule.

1. Purpose
College Centers facilitate impactful, high-quality multidisciplinary research and education by aggregating faculty, students and staff across multiple departments.

2. Definition
A College Center is a unit within the College engaged in research, instruction, and/or outreach and engagement. A center is generally defined as an organized group of faculty and research staff that has come together to address an interdisciplinary research and educational mission. A College Center will typically have a substantial research/scholarship component to its mission, and this research should be interdisciplinary in nature, involving faculty members and graduate students from two or more academic units within the college.

College Centers will generally not offer for-credit courses or degree programs, but such offering may be allowed in certain cases. If the center proposes to offer for-credit or degree programs, the proposal must also be reviewed by the College Committee on Academic Affairs, and will require approval by both the College faculty and the Council on Academic Affairs (see Faculty Rule 3335-3-36). Prior to review by the College faculty, the College Committee on Academic Affairs shall review and make a recommendation on such courses or degree programs.

Each Center will have a Director who reports to the Dean of the College of Engineering. The Dean may appoint one or more associate deans to manage the formation, review, and oversight of the Center.

The College will use the word ‘Center’ for multidisciplinary college centers (those that involve faculty and students from different departments primarily within the College of Engineering). The College prefers that university-level centers (those that involve faculty and students from departments in different colleges and with significant activities across colleges) be termed ‘Institutes.” Guidelines for establishment and review of college and university centers are found in Faculty Rule 3335-3-36.

Prospective centers wishing to formally establish in the College of Engineering should consult with the Associate Dean for Research for guidance on center establishment. The establishment of the center is formally initiated by submission of a proposal package, prepared by the proposed Director and proposed oversight committee, that includes:

(a) Cover letter, describing the goals of the center, outlining its mission and scope, providing a high-level overview of the research plan and business plan, and recommending an initial Director and initial Faculty Advisory Committee. The cover letter should state
whether the proposed center is a college-level or university-level center.

(b) Draft Pattern of Administration (POA) including details about the goals, mission, scope, history, research plan, affiliated faculty, governance, and organizational structure, staff, 5-year budget and financial projections, space and facilities (including major equipment), and, performance metrics.

(c) Proposed budget and financial projections.

(d) Supporting document providing detail to back up the POA, including CV’s of Center leadership, affiliated faculty and FAC nominees; budget; evaluation criteria; and any history of collaboration.

Templates of the POA and Supporting Document can be obtained from the Associate Dean for Research. The research plan should describe the goals for conducting impactful, high-quality research and how those research objectives will be achieved. The budget discussion and financial plan should include all expected sources and uses of both internal and external funds for a period of at least the first three years of operation, and should justify the likelihood for success.

Submit the center proposal documentation to the Associate Dean for Research (ADR). The ADR will, in consultation with the Dean and the College Research Committee, recommend whether the center will be a College Center. The center will be designated a College Center following the completion of and favorable review by the Associate Dean of Research (ADR) or a committee designated by the ADR. The review should take place within three months after the application is submitted. Once approved, the center will be assigned an organization number within the College that will be used to include the center in the College’s budget process. The ADR will notify the Office of Academic Affairs of any approved College center.

4. Procedure for Establishment of a University Center or Institute.

If the center wishes to be designated as a university center, a proposal to establish an academic center must be submitted to the Council on Academic Affairs (CAA). The Associate Dean for Research in the College of Engineering should be consulted early in the process to ensure time for appropriate evaluation and endorsement by the College. Faculty Rule 3335-3-36 details the process for preparing a university-level center establishment proposal. The completed proposal, including endorsement letters from relevant department chairs and school directors, should be submitted to the Associate Dean for Research. The ADR will evaluate the proposal in consultation with the Dean and the College Research Committee as needed. The review should take place within three months after the application is submitted. Upon favorable review, the Dean will provide a letter of support to be included in the proposal package that is submitted to CAA.

5. Appointment of College Center Directors

The Center Director shall be the administrative head of a College center, and represents the members of the center to the Dean or others in the university administration. The director is responsible for leading the center in developing and maintaining a robust, high-quality program. The Director will have general administrative responsibility for the Center, subject to the approval of the Dean. The director is appointed by the Dean, and appointments are generally for a period of four years. A director shall be eligible for reappointment. The Center Director reports to the Dean, or an Associate Dean if so directed by the Dean.
6. Reporting and Review of College Centers

Each college center shall prepare and submit an annual report on the Center’s activities during the previous year, following the annual report template provided by the Associate Dean for Research. The report should describe the major activities of the Center during the previous year, and shall include a financial analysis and budget for the coming year. The report shall also provide an analysis of the Center’s activities with respect to its performance review criteria.

Each college center will undergo a formal review three years after initial establishment and at five year intervals thereafter. The review of centers will be conducted by the College Research Committee; if the center offers courses or degree programs, it will be reviewed by a committee formed from members of both the College Research Committee and the College Committee on Academic Affairs, as appointed by the Dean. The evaluating committee may, at its discretion, appoint ad hoc committees (including faculty with expertise in the relevant subject area, and usually also including administrators) to supervise the review process outlined below.

The center will prepare a self-study document that contains:

- A summary statement describing the purpose of the center and its scope of activities.
- A comprehensive self study that provides information regarding its mission, faculty, administrative structure, budget, and evaluative criteria and benchmarks, as outlined in Faculty Rule 3335-3-36.

Upon receipt of the self-study, the evaluating committee will discuss and assess the self-study with a focus on:

- relevance of the mission with respect to the College’s strategic priorities
- effectiveness of the center’s administration and leadership
- performance of the center relative to the center’s stated evaluation criteria
- appropriateness of the budget and the continued financial viability
- effectiveness of its use of space and facilities.

The committee will meet with the director, oversight committee, and administrative staff as appropriate to discuss the self-study. The committee may also consult with stakeholders or external center advisory committee members, as appropriate.

Based on the review, the evaluating committee will provide a report to the Associate Dean for Research. The report should evaluate the center’s performance with respect to the focus points above, and make recommendations about the center’s mission, operation, financing, and facilities. The report should also make an overall recommendation to either (a) renew the center, (b) conditionally renew the center with a follow-up review in 1-2 years, or (c) dissolve the center.

7. Change of Status of College Centers

Any change of a College center’s status will be reported to the Office of Academic Affairs by the Associate Dean for Research.
Appendix C: Policy on Modification of Duties

The College of Engineering at The Ohio State University is committed to providing a work environment that is healthy, supportive and considerate of employee work and personal life obligations. The Policy on Modification of Duties is intended to assist faculty with better integration of their professional and personal lives to help faculty feel more productive, engaged, and satisfied in their work environment.

The University provides specific leave benefits under the Paid Leave Programs Policy 6.27 in conjunction with the Family and Medical Leave (FML) Policy 6.05 for serious health condition of the employee, that prevents the employee from performing his or her job, birth or adoption of a child, or care for an immediate family member who has a serious health condition, or a qualifying exigency arising out of the fact that the employee’s immediate family member is on covered active duty in a foreign country or call to covered active duty status.

The College of Engineering Policy on Modification of Duties provides further assistance to faculty to manage their workload and is not subject to the 12-month minimum employment period indicated in Policy 6.05:

1) Faculty members who experience an event will receive modified duties for the semester nearest to the event, to be used in conjunction with university family or medical leave policies.
2) The modified duties would release the faculty member from 50 percent of their course teaching assignments for the academic year. Should 50 percent of the course teaching lead to a noninteger number (such as 1.5) then the fractional portion may be banked and taught in a future year or an arrangement can be made to co-teach a course if such an arrangement is reasonable given the TIU’s teaching needs.
3) The faculty member would be expected to focus their “on-duty” time on advising students, conducting research, and completing service during the modified duties semester.
4) If the event occurs within a dual career household within the College of Engineering, each partner would be eligible for modified duties which could be taken concurrently or consecutively.
5) The College will provide funding to the TIU with the event to cover 50% of the cost of reassignment of teaching responsibilities, if costs are explicitly incurred.
6) The faculty member is responsible for completing modified duties memorandum of understanding prior to the event, using a template provided by the College. The MOU will be reviewed and approved by the Chair/Director and the Dean. The MOU shall be approved in accordance with the TIU and the faculty member’s needs.
7) The modified duties shall be noted in the annual review letter for that year.
8) Exceptions will be considered on a case by case basis by the Chair/Director and Dean.